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Title	Diabetes-induced chronic heart failure is due to defects in calcium transporting and regulatory contractile proteins: cellular and molecular evidence
Туре	Article
URL	https://clok.uclan.ac.uk/43996/
DOI	
Date	2022
Citation	Rupee, Sunil, Rupee, Khemraj, Singh, Ram B, Hanoman, Carlin, Ismail, Abla Mohammed Ahmed, Smail, Manal and Singh, Jaipaul (2022) Diabetes- induced chronic heart failure is due to defects in calcium transporting and regulatory contractile proteins: cellular and molecular evidence. Heart Failure Reviews. ISSN 1382-4147
Creators	Rupee, Sunil, Rupee, Khemraj, Singh, Ram B, Hanoman, Carlin, Ismail, Abla Mohammed Ahmed, Smail, Manal and Singh, Jaipaul

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# Diabetes-induced chronic heart failure is due to defects in calcium transporting and regulatory contractile proteins: Cellular and molecular evidence

<sup>1</sup>Sunil Rupee, <sup>1</sup>Khemraj Rupee, <sup>2</sup>Ram B. Singh, <sup>1</sup>Carlin Hanoman, <sup>3</sup>Abla Mohammed Ahmed Ismail, <sup>1</sup>Manal Smail and <sup>1</sup>Jaipaul Singh

<sup>1</sup>School of Natural Sciences, College of Science and Technology, University of Central Lancashire, Preston, England, UK (Jsingh3@uclan.ac.uk); farawla-9@hotmail.com; rupeesunil@gmail.com; Khemrajrupee80@gmail.com; Carlinhanoman@hotmail.com.

<sup>2</sup>Halberg Hospital and Research Institute, Moradabad, UP, INDIA (rbs@tsimtsoum.net).

<sup>3</sup>Corniche Hospital, United Arab Emirates, <u>Ahlam402002@hotmail.com</u>

**Running title:** Diabetes-induced chronic heart failure is due to defects in calcium transporting and regulatory contractile proteins: Cellular and molecular evidence.

**Corresponding author:** Dr Sunil Rupee, School of Natural Sciences, College of Science and Technology, University of Central Lancashire, Preston, England, UK (<u>rupeesunil@gmail.com</u>)

Authors have no conflicts of interest to disclose

#### Abstract

Heart failure (HF) is a major deteriorating disease of the myocardium due to weak myocardial muscles. As such, the heart is unable to pump blood efficiently around the body to meet its constant demand. HF is a major global health problem with more than 7 million deaths annually worldwide, with some patients dying suddenly due to sudden cardiac death (SCD). There are several risk factors which are associated with HF and SCD which can negatively affect the heart synergistically. One major risk factor is diabetes mellitus (DM) which can cause an elevation in blood glucose level or hyperglycaemia (HG) which, in turn, has an insulting effect on the myocardium. This review attempted to explain the subcellular, cellular and molecular mechanisms and to a lesser extent, the genetic factors associated with the development of diabetes- induced cardiomyopathy due to the HG which can subsequently lead to chronic heart failure (CHF) and SCD. The study first explained the structure and function of the myocardium and then focussed mainly on the excitation-contraction coupling (ECC) processes highlighting the defects of calcium transporting (SERCA, NCX, RyR and connexin) and contractile regulatory (myosin, actin, titin and troponin) proteins. The study also highlighted new therapies and those under development, as well as preventative strategies to either treat or prevent diabetic cardiomyopathy (DCM). It is postulated that prevention is better than cure.

**Words for indexing**: Calcium, contraction, diabetes, hyperglycaemia, heart failure, fibrosis, proteins, sudden cardiac death

#### Introduction

Heart failure (HF) arises when the organ is unable to pump blood efficiently around the body, especially to the myocardium itself and the brain. The disease is due to several risk factors which can exert lethal synergistic effects on the heart. Figure 1 shows a flow diagram illustrating the different risk factors which can induce HF. If left untreated, the failure becomes chronic with time resulting in CHF. This can lead to cardiac arrhythmias and SCD. One major

risk factor for CHF is DM. This review is related to the subcellular, cellular, molecular and genetic factors of diabetes-induced CHF.

#### **Diabetes Mellitus**

DM is a chronic metabolic disorder characterized by elevated levels of blood glucose or HG leading over time to serious damage to the heart, blood vessels, eyes, kidneys, nerves as well as other organs of the body [1]. HG typically results from defects in insulin secretion, insulin action or both. Diabetes can be classified into 4 general categories. Type 1 diabetes (T1DM) which is due to pancreatic  $\beta$ -cell destruction, usually leading to an absolute insulin deficiency [2]. It only accounts for 5-10% of the worldwide prevalence of the disease [3]. Moreover, 80%-90% of diabetic cases in children and adolescents are of T1DM [4]. Type 2 diabetes (T2DM) results from a defect in insulin secretion on the background of insulin resistance [2]. T2DM is the most prevalent type of DM, comprising of 90-95% of the entire diabetic population [5]. Gestational Diabetes Mellitus (GDM is diagnosed in 2<sup>nd</sup> or 3<sup>rd</sup> trimester of pregnancy but may resolve after childbirth [2]. Nonetheless, there is a multiple fold higher risk of developing T2DM later in life [6]. The 4<sup>th</sup> category is a small subgroup of people with diabetes due to other causes, e.g., monogenic diabetes syndromes (such as neonatal diabetes), chemical- or drug-induced diabetes (such as HIV/AIDS treatment or treatment after organ transplant) and diseases of the exocrine pancreas (such as cystic fibrosis) [2].

Data from the Global Burden of Disease in 2017 estimated that T2DM affected 6.28% of the world's population with a prevalence rate of 6,059 cases per 100 000 – projected to increase to 7,079 cases per 100 000 by the year 2030 and 7,862 by 2040. However, these values can vary significantly from one country to another. Furthermore, its incidence peaks at 55 – 59 years of age with males showing a slightly higher prevalence than females (6,219 compared to 5,898 cases per 100 000) [7]. If left untreated or diagnosed late, diabetes can lead to several long - term macrovascular and microvascular complications. Macrovascular complications include cardiovascular diseases (CVDs), stroke and peripheral vascular disease (PVD). Microvascular complications include nephropathy, neuropathy and retinopathy [8].

A landmark study by Rubler et al. described a new clinical entity in 1972 by reporting of 4 post mortem patients with diabetes-related HF and dilated cardiomyopathy [9]. Termed diabetic cardiomyopathy (DCM), this clinical phenomenon occurs when longstanding DM causes structural and functional changes in the myocardium leading to the development of HF in the absence of microvascular atherosclerotic or myocardial ischaemic disease. This paper will concentrate on the subcellular, cellular, molecular and genetic factors of diabetes induced chronic HF.



Figure 1: Risk factors associated with the development of heart failure and sudden cardiac death

## Structure and function of the heart

The heart acts as two serial pumps that share several mechanical and electrical components. It is an organ consisting of four chambers namely, 2 atria and 2 ventricles [10]. The right atrium and right ventricle function to pump deoxygenated blood to the lungs. Deoxygenated blood returning from the superior and inferior vena cava enters the right atrium of the heart. From here, it subsequently passes through the tricuspid valve to enter the right ventricle. The right ventricle contracts to push blood through the pulmonary valve into pulmonary arteries to be transported to the lungs [10].

After offloading carbon dioxide and reloading oxygen, blood is directed from the lungs to left atrium of the heart through the pulmonary veins. From the left atrium blood moves through the mitral valves into the left ventricle. Thereafter, it is pumped through the aortic valve and into the aorta to be distributed around the body. Hence, the function of the left atrium and left ventricle is to pump oxygenated blood throughout the body to maintain normal homeostasis [11].

Of note, the wall of the left ventricle is three times bigger than that of the right ventricle. The interventricular septum bulges into the right cavity possibly due to left ventricular contraction pressure being higher that of the right during systole. A typical cross section therefore shows a circular left ventricular chamber compared to the crescentic shape of the right ventricular chamber.

Despite the naming system used for each chamber, the heart in fact, lies obliquely in the thorax with its long axis passing downwards and to the left to the apex. In this position its entire right border consists of the right atrium. The inferior border is made up almost entirely of the right ventricle with a small part of the left ventricle – forming the apex at its inferior and left borders. The left border of the heart consists of almost the entirely the left ventricle with only the auricle of the left atrium forming its uppermost surface. The posterior surface (or base of the heart) is made up almost entirely of the left atrium. The majority of its sternocostal surface consists of right ventricle with a small strip of left ventricle on the left and right atrium on the right. The diaphragmatic surface of the heart is made up of two thirds left ventricle and one third right ventricle [12].

#### Cardiac Conducting System

The heart creates its own electrical impulses and controls the timing and route of those impulses through a conducting pathway [13]. There are 5 parts to this system and they include the sinoatrial (SA) node, the atrioventricular (AV) node, the bundle of His, the right and left bundle branches and the Purkinje fibres. The SA node is located at the junction between the superior vena cava and the right atrium. It generates impulses automatically by spontaneous depolarization of its membrane at a rate quicker than any other cardiac cell type and thus, it is the natural pacemaker of the heart. This depolarization first results in atrial contraction. Next, depolarization continues to conduct slowly at the AV node; situated beneath the right atrial endocardium within the lower interatrial septum. The slow conduction at the AV node facilitates emptying of the atria into the ventricles. The AV node continues as the bundle of His, which splits into the right bundle branch and the main left bundle branch at the crest of the interventricular septum. The right bundle branch continues down the right side of the interventricular septum towards the apex then radiates and divides to form the Purkinje network throughout the right ventricle. The shorter main left bundle branch fans out into the anterior

and posterior hemi-bundles. The Purkinje network of the hemi-bundles provide electrical coverage to the left ventricle [13].

#### **Excitation-Contraction Coupling (ECC) of the Heart**

Excitation-contraction coupling (ECC) is the process where an action potential triggers myocyte contraction followed by relaxation. First, action potentials depolarize the cell membrane by travelling along the sarcolemma and down into the transverse tubule (T-tubule). Depolarization causes voltage-sensitive dihydropyridine (DHP) receptors (L-type Ca<sup>2+</sup> channels) to open in the T-tubules, allowing for a small amount of Ca<sup>2+</sup> (calcium) to enter the cytosol. This occurs during phase 2 of the action potential and contributes to cell depolarization. These "trigger" Ca<sup>2+</sup> ions bind to ryanodine receptors (calcium release channels) on the sarcoplasmic reticulum (SR) resulting in Ca<sup>2+</sup> release which were stored in the organelle. Intracellular free calcium concentration  $[Ca<sup>2+</sup>]_i$  is increased from approximately  $10^{-7}$  to  $10^{-5}$  M [14,15].

Troponin-C (TN-C) is part of the regulatory complex attached to the thin filaments.  $Ca^{2+}$  binding to TN-C induces a conformational change in the regulatory complex such that troponin-I (TN-I) exposes a site on the actin molecule that is able to bind to the myosin ATPase located on the myosin head. Upon binding, the energy provided by ATP hydrolysis causes a conformational change to occur in the actin-myosin complex, resulting in a movement ("ratcheting") between the acting and heads of myosin. These 2 filaments slide past each other resulting in reduced sarcomere length. As long as cytosolic  $Ca^{2+}$  remains elevated, the movement cycles will continue.

As  $Ca^{2+}$  movement into the cell slows, sarcoplasmic reticulum calcium-ATPase (SERCA), an ATP-dependent calcium pump, sequesters  $Ca^{2+}$  back into the sarcoplasmic reticulum (SR) resulting in lower cytosolic calcium concentration and removal of  $Ca^{2+}$  from TN-C. Some  $Ca^{2+}$  are also transported out of the cell via the sodium-calcium exchanger (NCX) and  $Ca^{2+}$ -ATPase pumps on the sarcolemma.  $Ca^{2+}$  unbinding from TN-C reverses the conformational change of the troponin complex to its original state leading to TN-I inhibition of the actin binding site. At the end of the cycle, ATP binds to the myosin head, displacing ADP and the initial sarcomere length is restored. The membrane is repolarized when potassium (K<sup>+</sup>) exits through the sarcolemma to end the action potential [14, 15]. The ECC process can be disrupted by many

insulting risk factors to the heart leading to HF and/or SCD over time (see figure 2). One such risk factor is DM.

## Hyperglycaemia on Ca<sup>2+</sup> components in the ECC

Prolongation of the action potential duration (APD), slower decay of  $Ca^{2+}$  and modified sensitivity of contractile elements are consistently observed in diabetic cardiomyocytes [16-18]. In theory, the reduced rate of  $Ca^{2+}$  removal from the cytosol results in slowed decay in  $Ca^{2+}$  transient in diabetic cardiomyocytes [16]. Reduction of protein levels of sarcoendoplasmic reticulum calcium-ATPase 2a (SERCA2a) was reported in multiple animal model studies of DM [17]. Moreover, increased  $Ca^{2+}$  leakage from the SR also contributes to APD changes. One study showed that under blockades of ryanodine receptors and NCX,  $Ca^{2+}$ leakage was still significantly increased in diabetic mice (32%) compared to nondiabetic mice (12%), leading to elevated diastolic  $[Ca^{2+}]_i$  [18]. Each component of  $Ca^{2+}$  homeostasis will be discussed in more detail.



**Figure 2:** Influences and changes that occur in cardiomyocytes which predispose the heart to infarction and sudden cardiac death; (ROS = reactive oxygen species, Ca<sup>2+</sup> =calcium, SERCA = sarcoplasmic-endoplasmic Calcium -ATPase, NCX=sodium calcium exchanger, RyR = Ryanodine receptor).

# Elements that affect intracellular structures involved in Ca<sup>2+</sup> homeostasis

#### **Reactive Carbonyl Species (RCS)**

RCS are tiny electrophilic mono- and di-carbonyl species produced by glucose and lipid autooxidation, triose pathway fluxes, and enzymes such semicarbazide–sensitive amine oxidases and methylglyoxal synthase. 4-hydroxynonenal (4-HNE), malondialdehyde (MDA), which form through lipid peroxidation of polyunsaturated fatty acids (PUFA), and methylglyoxal (MGO) are all members of this category [19]. This process is very common during diabetes. A previous study has shown how MGO plays a significant role in diabetic changes to the heart by increasing mitochondrial ROS generation inside cardiac cells which disrupts intracellular Ca<sup>2+</sup> balance and increases oxidative stress [20]. While 4-HNE and MDA play major roles in diabetic complications including neuropathy, retinopathy and arterial damage, research has shown little or no significant effect on cellular Ca<sup>2+</sup> homeostasis [21].

#### Methylglyoxal

MGO is a by-product of glycolysis. Though it has physiological roles [22], MGO is an RCS that can be toxic to the cell by inducing post-transitional modifications through an irreversible non-enzymatic reaction with unprotonated lysine and arginine residues [23]. It is known to be significantly elevated in T1DM and T2DM [24] and has been shown to affect elements in the ECC.

Shao et al. found that while SERCA2a protein remained unchanged, its ability to hydrolyse ATP and transport  $Ca^{2+}$  was significantly reduced in STZ diabetic rats [25]. MGO adduct antibodies were found to be two times higher on SERC2a in diabetic rats with cardiomyopathy compared to control [26]. RyR2 receptors are also affected. MGO was found to increase the openness of an already open RyR2 [25] as well as increase the mean open probability (P<sub>0</sub>) of low activity RyR2 [27]. Furthermore, these features reduced the conductance of RyR2 by approximately 20% [26].

Alterations are also observed on the contractile apparatus of the heart. Papadaki et al. found that left ventricular (LV) myofilament from patients with diabetes and HF had increased MGO modifications when compared to control. MGO-modification of K293 on actin was speculated to affect actin-tropomyosin interaction via disruption of the position of tropomyosin on actin leading to decreased  $Ca^{2+}$  sensitivity. On myosin, MGO-modification at R370 was thought to

prevent a strong bond between myosin and actin which is required for full activation of the filament. Additionally, MGO-modifications were also found on myosin at sites known to be involved with disease-causing mutations: K384 (implicated in hypertrophic cardiomyopathy) and K1899 (familial dilated cardiomyopathy) [28].

#### **Reactive Oxygen Species and Oxidative Stress**

Oxidative stress is known to play a prominent role in the development and progression of DM. In the heart, reactive oxygen species (ROS) production is mainly produced by the mitochondria, NADPH oxidases, xanthine oxidase and uncoupled nitric oxide synthase (NOS) [29]. ROS directly damages phospholipids and proteins through oxidation; or secondarily by oxidising generating reactive nitrogen species (RNS) from nitric oxide and oxidizing lipids to reactive lipid peroxides [30]. Evidently, it is widely accepted that these molecules play an important part in the pathogenesis of diabetic cardiomyopathy. Lack of insulin-mediated glucose metabolism can cause elevated free fatty acid (FFA) accumulation in cardiomyocytes leading to accumulation of ROS and RNS via activation of NADPH oxidases (NOX) from the mitochondria and modulation of mitochondrial lection chain to generate superoxide (non-mitochondrial source) [31]. Some studies suggest that cytosolic ROS and RNS defence mechanisms might be more impacted than those of the mitochondria. These include modulation of signal transduction pathways that initiate cardiomyocyte hypertrophy [32] and apoptosis [33] as well as extracellular matrix alteration structure via matrix metalloproteinase (MMP) activation [34].

#### Renin-Angiotensin-Aldosterone System (RAAS) in DM

Despite a situation of salt and volume excess, inappropriate RAAS activation plays a key role in the development of diabetes-related heart changes. It has been shown that increased angiotensin II type 1 receptor and mineralocorticoid receptor signalling in the myocardium boost the adaptive proinflammatory immune response and inflammation [35]. This involves NF-kB activation directly or indirectly by triggering other pathways such as the production of ROS as well as leukocyte adherence, cytokine production, and macrophage infiltration which inevitably contribute to cardiac fibrosis, diastolic dysfunction and heart failure [36].

#### Inflammation and DM

DM can be described as a systemic inflammatory condition. HG may cause cardiac cells to secrete cytokines, which encourage the migration of monocytes and lymphocytes, resulting in a persistent inflammatory state [37]. Many cytokines and chemokines interact with one another, making it difficult to assess the contribution of specific mediators to the phenotypes seen in DC. TNF- $\alpha$ , IL-6, IL-1 $\beta$ , IL-8 and C-reactive protein (CRP) are some of the most well-known markers of inflammation. Because certain mediators cause the release of other mediators downstream, it is difficult to predict which one of these cytokines causes direct unfavourable cardiac alterations. However, it has been demonstrated that inflammation in diabetes can lead to the activation of nuclear factor kappa-B (NF- $\kappa$ B). This transcription factor causes cytokine-mediated myocardial and vascular damage when expressed, eventually leading to myocyte hypertrophy and myocardial calcium abnormalities [38]. Over time, these changes contribute to HF with eventual ventricular arrythmias leading to SCD [39].

## Effect of DM on Ca<sup>2+</sup> transporting components

#### L-Type Ca<sup>2+</sup> Channels

The L-type Ca<sup>2+</sup> channel, Ca<sub>v</sub>1.2 is the main pathway of Ca<sup>2+</sup> entry into the cell. The channel is more localized in the T-tubule in comparison to the surface sarcolemma [40]. The Ca<sub>v</sub>1.2 channel is a hetero-tetrameric polypeptide complex containing the pore-forming unit, Ca<sub>v</sub>a1c, in addition to other accessory subunits [41]. Some studies have shown a reduction in the Ltype Ca<sup>2+</sup> current in ventricular myocytes of STZ-induced diabetic rats [18, 42, 43]. Another study done by Bracken et al. showed the L-type Ca<sup>2+</sup>density was significantly reduced throughout the voltage ranges in myocytes from STZ-treated rats compared to age matched controls. Furthermore, the amplitude of contraction was also found to be significantly lower in STZ-treated rats [44]. Howarth et al. studied the changes in genetic expression associated with ventricular myocyte function and found upregulation of genes encoding the L-type Ca<sup>2+</sup> voltage dependant ("Cacn") channel proteins *Cacna1c* (alpha 1C subunit), *Cacna1g* (alpha 1G subunit), *Cacna1h* (alpha 1H subunit) and *Cacna2d1* (alpha2/delta subunit 1) were upregulated in Zucker diabetic fatty (ZDF) rats compared to the control. Upregulation of *Cacna1c* might alter voltage sensitivity and perhaps activation and/or inactivation properties of the L-type Ca<sup>2+</sup>channel, which may be a compensatory mechanism for the reduced density and prolonged inactivation of L-type Ca<sup>2+</sup> current [45]. Furthermore, altered phosphatidylinositol 3-kinase/ phosphatidylinositol 3,4,5-trisphosphate/ protein kinase B (PI3K/PIP3/Akt) pathway due to insulin or insulin growth factor (IGF-1) is decreased and which is possibly the most plausible mechanism postulated to be responsible for the lower L-type Ca<sup>2+</sup> current in DCM [46]. Finally, enhanced interleukin-1 activity can also play an important role in inhibiting this channel which contributes to HF [47].

#### Ryanodine Receptor Type 2 (RyR2)

RyR2 is macromolecular homo-tetrameric protein complex that regulates the release of  $Ca^{2+}$  from the SR during the ECC process in the heart [48]. Though the molecular mechanism resulting in RyR2 dysregulation is not fully understood, there is cause for the dysfunctional  $Ca^{2+}$  release from the SR in DM. This may be through oxidation of RyR2 by reactive oxygen species (ROS) [49] and/or carbonyl species [50], change in RyR2 sensitivity to  $Ca^{2+}$  activation and functional uncoupling of RyR2 from L-type  $Ca^{2+}$  channels on T-tubule membranes [51].

It has been shown that the open probability of cardiac RyR2 was increased by the ROS  $O_2^-$  and  $H_2H/OH^-$  [52, 53]. The opening of a RyR2 cluster causes local, rapid and brief elevations in intracellular free Ca<sup>2+</sup> concentration which is termed *Ca<sup>2+</sup> sparks* [54]. Analyses of these sparks can give insight into RyR2 function. Pereira et al. observed less frequency of *Ca<sup>2+</sup> sparks* in db/db (obese T2DM) mice myocytes when compared to the control group, partly because of reduced expression of RyR2 Ca<sup>2+</sup> channels [18]. Conversely, other studies found that increased RyR2 oxidation enhanced RyR2 activity and SR Ca<sup>2+</sup> leakage [55, 56].

Phosphorylation by protein kinase A (PKA) and calcium/calmodulin-dependent protein kinase II (CaMKII) could result in alterations in sensitivity of RyR2 to  $Ca^{2+}$  activation [57, 58]. Marx et al. discussed how PKA phosphorylation of RyR2 resulted in increased  $Ca^{2+}$  sensitivity for activation and elevated channel activity was associated with destabilization of the tetrameric channel complex [59]. Another study found a 1.5-fold increase in phosphorylation sites *Ser2808* and *Ser2814*; both of which are target phosphorylation sites of CaMKII. It was further shown that CaMKII activity was increased by roughly 50% [60]. RyR2 phosphorylation and/or mutations have been linked to deadly ventricular arrhythmias and atrial fibrillation, sinoatrial node and atrioventricular node dysfunction, atrial fibrillation, atrial standstill, dilated cardiomyopathy, HF, and SCD in human cardiac tissue studies. [61, 62]. In a study by Kilfoil et al., they found that in HFpEF, the Ca<sup>2+</sup> current, Cav1.2 expression, and phosphorylated RyR were all higher than in controls [63]. It was suggested that increased  $Ca^{2+}$  inflow via L-type

 $Ca^{2+}$  channels or leaking of SR  $Ca^{2+}$  into the cytosol via RyR channels could explain the increase in diastolic  $Ca^{2+}$  concentration which is responsible for a delay contraction and relaxation of the myocardium [64].

#### Sarcoplasmic reticulum calcium-ATPase (SERCA)

SERCA2a is the predominant form responsible for facilitation of  $Ca^{2+}$  storage in cardiac tissue [48]. Studies have shown that SERCA2a expression and activity were decreased in various pathophysiological conditions including diabetes [65]. Kim et al. investigated the diabetic alterations in cardiac SERCA and phoshpholamban (PLN)–an inhibitor of SERCA2a. They reported increased mRNA and protein levels of PLN while those of SERCA2a were significantly decreased in STZ induced diabetic rats. Additionally, maximal  $Ca^{2+}$  uptake and affinity of SERCA2a for  $Ca^{2+}$  were decreased [66]. Another study described the formation of advanced glycation-end products (AGEs) on SERCA which suggested a novel mechanism by which cardiac relaxation can be slowed during DM [67]. ROS impairs the oligomerization of PLN, altering its inhibitory interaction, thus enhancing SERCA2a transport [49, 68]. However, other data suggest that ROS may induce oxidative modifications on SERCA2a leading to its abnormal function [49, 69]. The protein coding gene *Atp2a2*, which is responsible for SERCA2, was found to be downregulated in ZDF myocytes [45]. Torre et al. found clear diastolic dysfunction in STZ-induced diabetic rats highlighted by clear mitral inflow changes in conjunction with downregulated SERCA2a expression and activity [70].

#### Sodium-Calcium Exchanger (NCX)

Previous studies of NCX vary from reduced activity to increased activity in a diabetic environment. Hattori et al. studied the effect of diabetes on the NCX using STZ-induced (T1DM) rats. They found a significantly reduced NCX current in STZ rats compared to the control. Furthermore, there was a 30% decrease in cardiac protein and mRNA levels of NCX1 (the dominant isoform of NCX in the heart) in diabetic rats [71]. Another study found no changes in NCX current density in high-energy (HE) induced obesity rat models compared to control [72]. Increased activity of NCX1 was found in db/db rats (T2DM) by Stølen et al. [73].

#### Connexin (Cx)

Connexin 43 (Cx43) is a member of the gap junction family. It is composed of intercellular channels which allows for direct communication between neighbouring myocytes through the exchange of small ions and metabolites [74]. In a normal functioning heart Cx43 are located at

cell poles (intercalated disk) and facilitate proper signal transduction among cells. In progressive heart failure, structural remodelling and lowered cardiac efficiency – through elements such as elevated angiotensin II – promote cardiac wall stress. The result is a migration of Cx43 from cell poles to the lateral membrane leading to dyssynchronous contraction and further cardiac deterioration [75]. Joshi et al. studied connexin in cardiac cardiomyopathy and demonstrated increased tyrosine nitration of Cx43 was linked to impaired functioning of the channels. Furthermore, it was postulated the increase was concurrent with a progressive reduction of Cx43 [76]. Some studies have shown the collagen can affect Cx43 localization and expression [77, 78].

Inflammation, via chemoattractants, enables macrophage recruitment to the myocardium. Along with the already occurring oxidative dysfunction, these processes facilitate a pro-fibrotic function by facilitating fibroblast differentiation into myofibroblasts leading to fibrosis. Furthermore, there is increased metallo-proteinases for extracellular remodelling which plant the seeds for cardiac hypertrophy [79]. Fibrosis is a process that attempts to maintain cardiac structure or remodelling of the myocardium after apoptosis of cardiomyocytes. Fibrotic tissue is unexcitable, which causes disruption and conduction delay between the isolated cardiomyocytes. As a result, electrical propagation is obliged to take on a zigzag and discontinuous pattern throughout cardiac tissue ultimately contributing to arrhythmogenesis [80].

These changes are associated with electrophysiological abnormalities such as QT prolongation -predisposing to arrhythmia, absence of P wave morphology - consistent with arial flutter/fibrillation and widening QRS complexes - associated with impaired atrioventricular (AV) conduction or heart block [76]. In another related study by Zhang et al, they demonstrated that that the dysfunction of cardiac conducting system in T1DM rats plays a major role in the development of cardiac arrhythmias due to increases in RR interval, PR interval and QRS complex duration of the ECG. These changes were associated with decreases in rate of the sino-atrial node (SAN) and HCN4 (pacemaker current) as well as downregulation of the gene expression for HCN4 channels, neuro-filament-M and  $\beta_z$ -adrenergic receptor within the SAN of the myocardium during T1DM. It is postulated that alterations in the expression of these proteins within the SAN is closely associated with the regulation of the electrical signalling of the heart and as a result adversely affect cardiac action potential generation and propagation which in turn leads to arrythmia [81].

## Effect of DM on regulatory contractile proteins

#### Troponin (Tn)

Troponin is the sarcomeric  $Ca^{2+}$  regulator for cardiac and skeletal muscle contraction. When troponin binds to Ca<sup>2+</sup>, it transmits signals, via structural changes, throughout the actintropomyosin filaments, activating myosin ATPase activity and muscle contraction [82]. Troponin changes have been seen in inherited cardiomyopathies [83]. Changes in structure have also been seen in DM. Janssens et al. suggested that cardiac troponins may be irreversibly modified by glycation. In their study using diabetic rats, they found advanced glycation end product (AGE) modification of troponin-I (TnI) in diabetic rats but not in the control group [84]. Troponin-I functions to inhibit the actin-myosin interaction and it is tightly activated by phosphorylation. Troponin phosphorylation activity was also seen to be affected in DM. One study found no significant changes in TnI and gene expression of TnI in DM rats but phosphorylation of TnI was 40% higher compared to control. It further indicated that this increased phosphorylation may contribute towards cardiac myofibrillar ATPase activity depression in DM [85]. Conversely, from their study, Greenman et al. deduced that increased myofilament calcium sensitivity is associated with decreased cardiac TnI phosphorylation in diabetic rats [86]. Since TnI is involved in controlling cardiomyocyte contraction, phosphorylation of troponin contributes to decreased cardiac contractility [37].

#### Myosin

Myosin is a large motor protein that generates force through interaction with actin. It is involved in cellular processes such as muscle contraction, cell migration, cytokinesis and karyokinesis [87]. Jenkins et al. investigated whether changes in cross bridge disposition and myosin inter-filament spacing underline early development in diabetic cardiomyopathy. They found abnormal cross bridge deposition in diabetic hearts but no changes in inter-filament spacing in both groups. However, myosin head transfer by dobutamine was significantly blunted in diabetic rats [88]. Another study found decreased cardiac content of alpha-myosin in STZ rats compared to control [89]. In early diabetes, reduced myosin and myofibrillar ATPase activity is linked to contractile dysfunction [90].

Maholtra et al. found decreased actomyosin ATPase activity in diabetic myocytes (STZ rats) as well as shifts in cardiac myosin heavy chain (V1 to V3) which may play a role in impaired cardiac function [91]. Likewise, Howarth et al. found upregulation of the gene *Myh7* (coding

for  $\beta$ -myosin heavy chain) and downregulation of *Myh6* ( $\alpha$ -myosin heavy chain) and *Myl2* (myosin light chain 2) in ZDF diabetic rats compared to control group. They deduced that these changes may underlie alterations in the time course of contraction [48]. Other studies have proven the shift of myosin heavy chain forms from  $\alpha$  to  $\beta$  [92, 93].

#### Actin

Actin is a family of multifunctional proteins that form thin filaments in muscle fibrils and microfilaments in the cytoskeleton. The down regulation of  $\alpha$ -actin has been observed in myocyte cells in a diabetic environment [93]. Pappritz et al. studied the levels of some contractile proteins in STZ rats at intervals of 6, 9 and 12 weeks. At week 6 they found higher distribution of  $\alpha$ -actin, myosin light chain 3, ATP synthase and titin in STZ rats (T1DM) compared to control – which was thought to be a compensatory mechanism to hyperglycaemic insult. However, all these variables, except titin, were decreased in STZ rats, compared to control group, at week 12 [94]. In the cardiac sarcomere, the creation and dissociation of actin-myosin cross-bridges (CBs) is a critical determinant of force development and contractility. Poor cyclic transfer of myosin heads to actin filaments contributes to sarcomere contractile failure. Weddingham et al. found that in diabetic rats, a reduction in the distance between myosin heads and actin filaments during end diastole was linked to a slower rate of LV pressure depreciation [90].

#### Titin

Titin is the largest sarcomere protein and exists in 2 isoforms: N2BA and N2B. N2BA is the longer more compliant form while N2B is the shorter and stiffer form [95]. A shift in the titin isoform profile or general titin hypo-phosphorylation is linked in the development of cardiac dysfunction. Changes in titin vary in DM ranging from increased [94] to decreased distribution. In another subsequent study, Pappritz et al. found significantly lower titin intensity distribution in *db/db* mice (T2DM) compared to non-diabetic mice. In addition, protein level evaluation found a lower N2BA/N2B ratio and titin hypo-phosphorylation in *db/db* mice [96]. These results would conform to the literature that DM is associated with low protein kinase G (PKG) and protein kinase A (PKA) activity and would lead to titin hypo-phosphorylation and cardiomyocyte stiffness [97-101].

## Functional Ventricular changes in diabetic cardiomyopathy (DCM)

In addition to factors discussed previously (hyperglycaemia and  $Ca^{2+}$  homeostasis impairment), other pathological elements contribute to ventricular changes and they include increased free fatty acid (FFA) levels, cardiac and systemic insulin resistance, systemic and tissue inflammation, and the activation renin-angiotensin-aldosterone system (RAAS) and the sympathetic nervous system [102].

In the hyperglycaemic environment, extracellular matrix (ECM) protein overproduction causes increased myocardial stiffness and subsequent cardiac dysfunction, eventually leading to cardiac failure [103]. Stimulation of transforming growth factor-beta (TGF- $\beta$ ) induces differentiation of cardiac fibroblasts into myofibroblasts leading to excessive collagen production [104]. Matrix metalloproteinases (MMPs) are a proteolytic enzyme family that plays a significant role in the destruction of EC matrix. Studies have shown a subtype, MMP-2, is downregulated, with reduced activity in diabetic hearts which contributes to fibrosis [105, 106].

In the early stage, diabetic cardiomyopathy is clinically asymptomatic and characterized by increased fibrosis and stiffness. However, there is increase in atrial filling and enlargement, reduction of early diastolic filling as well as an elevated left ventricular end-diastolic pressure [107]. These changes can be detected with magnetic resonance imaging (MRI) and echocardiography.

In the advanced stage of diabetic cardiomyopathy, changes at the cellular level such as impaired autophagy of apoptotic and/or necrotic cells, maladaptive immune response and oxidative stress increase cardiac fibrosis which initially result to impairment of left ventricular diastolic function as well as a slight decrease in ejection fraction. Early systolic dysfunction also begins to occur at this stage [92, 102]

Late-stage myocardial fibrosis can further impair diastolic and systolic function. It also begins to affect coronary microcirculation [108]. Increases in ROS and inflammation promote interstitial collagen deposition and crosslinking which is associated with interstitial fibrosis and impaired myocardial relaxation [109]. The dysfunction along with hypertrophy, thickened sclerotic small vessels, basement membrane thickening, hyaline arteriolar sclerosis and capillary microaneurysms predispose the heart to eventual failure or diabetic cardiomyopathy [110, 111].

#### **Heart Failure**

Heart failure can be divided into 2 main groups based on left ventricular function. These are HF with reduced left ventricular ejection fraction (HFrEF) where left ventricular (LV) ejection output is < 40% - also known as systolic dysfunction, and HF with preserved ejection faction (HFpEF) where LV is > 50% - known as diastolic dysfunction [112]. A third group termed HF with mid-range ejection fraction (HFmrEF) has been described covering the grey area between 40% to 50%.

DM may play a role in the development of HFpEF due to an inflammatory reaction in adipose tissues. This results to downstream inflammation from the epicardium to myocardium, causing further changes in myocytes leading to increased stiffness. With combination of several other external factors discussed above, this deranged myocardial metabolism aggregates to HF [113].

#### Arrhythmias in DM

Ventricular arrhythmias have been found to be more frequent in diabetic patients [114]. QTc prolongation is linked to an increased incidence of ventricular arrhythmias and is a powerful predictor of cardiovascular mortality [115].

Reduction in outward transient K<sup>+</sup> ( $I_{to}$ ) current plays a major role in delayed action potential duration. Although not fully understood, two hypotheses have been proposed regarding the effects of T1DM on potassium currents in cardiac muscle [116]. The first hypothesis involves changes in gene expression of many proteins (including potassium channel proteins) in the absence of insulin [117]. Shimoni et al. demonstrated this event when diabetic myocytes were incubated with insulin for 6 hours to restore  $I_{to}$  values to control levels [118]. The second hypothesis assumes that defective glucose metabolism is the cause of decreased cardiac  $I_{to}$  in DM. Torres-Jacome et al. highlighted this by describing the recovery of  $I_{to}$  current amplitudes in diabetic cardiomyocytes after a 6 hour-incubation with pyruvate [119].

Furthermore, a functional knockout of  $I_{to}$  leads to prolongation of QT intervals [120]. Sato et al., demonstrated how the fast-recovering component of  $I_{to}$  was found to be significantly reduced in OLETF rats (a rat model for T2DM) compared to LETO rats (control group) in both sub-endocardial and sub-epicardial myocytes. The mRNA level of *KCND2* – a gene coding for Kv4.2 (one of the  $\alpha$ -subunit subfamilies of the voltage-gated K<sup>+</sup> channel) – and KChIP2 (an  $I_{to}$ 

accessory subunit) were significantly lower in the cardiomyocytes of OLETF rats in comparison to LETO rats. As expected, results of the Kv4.2 protein followed the similar significant trend of *KCND2* in cardiomyocytes. Finally, the levels of Irx5 (a transcription factor that negatively regulates Kv4.2) was significantly higher in the cardiomyocytes of OLETF rats [121].

Anderson et al. examined the risk of cardiac arrhythmias in insulin- treated T2DM and control subjectss. They found progressively increasing heart rate corrected QT interval prolongations during hypoglycaemia in the group of patients with type 2 diabetes [122]. In acute hyperglycaemia, arrhythmias may originate from persistent alteration of Ca<sup>2+</sup>/calmodulindependent protein kinase II (CaMKII) by O-linked N-acetylglucosamine (O-GlcNAc), which causes enhanced activation of spontaneous sarcoplasmic reticulum  $Ca^{2+}$  release [123]. Interestingly, a study by Zhang et al. [81] demonstrated that diabetes can elicit neuropathy in the conductive tissues of diabetic heart by inducing downregulation of neuro-filament M (NF-M) in the sympathetic nerve and beta- adrenergic receptors. These changes were accompanied by downregulation of the expression of a number of channel proteins in the diabetic heart including RyR2, SERCA2, NCX1, CX40-, CX-43 and CX-45, Cav1.3, Cav3.1 and HCN4, the fancy (fi) potassium current and AChKir-3 (potassium efflux channel). The downregulation of the gene expression of NF-M and  $\beta_2$ -adrenergic receptor, as well as cation channel transporting proteins, could be linked to the reduced autonomic control of the heart and myocardial contraction, all leading to arrhythmias which are a major cause of mortality in patients with diabetes [124].

## Sudden Cardiac Death (SCD)

The natural course of untreated diabetic cardiomyopathy may lead to SCD which is an unexpected death due to cardiac causes. This lethal process occurs in a short period of time (generally within 1 hour of symptom onset) in a person with known or unknown cardiac disease [125]. It is responsible for some 300,000 deaths per year in the United States alone [126] and more than 7 million deaths annually worldwide [127]. Processes described above (see also figure 2) contribute to structural and functional changes in the heart such as left ventricular muscle disarray and hypertrophy, interstitial fibrosis, oxidative stress and increased cell death [128]. The result is diastolic and systolic dysfunctions as well cardiac arrhythmias and eventually SCD [129].

**Table 1:** Some common therapies used to manage diabetes [130, 133]

Current Diabetic Therapies (main groups)	Target molecule/site	Effects and combinations
Insulin <ul> <li>Rapid acting</li> <li>Short acting</li> <li>Intermediate acting</li> <li>Long acting</li> <li>Inhaled</li> </ul>	Structurally similar to human insulin and activates insulin receptors	Lowers blood glucose as human insulin would Long and short types can be combined to achieve optimal glucose control
Biguanides • Metformin	Inhibits glycerophosphate dehydrogenase, indirectly activates AMPK and reduces dihydroxyacetone phosphate	1 <sup>st</sup> line treatment for T2DM Reduced lactate levels, liver gluconeogenesis and endogenous glucose production
Sulfonylureas • E.g., glibenclamide/glyburide, glipizide, glimepiride	Interact with sulfonylurea receptors (SURs) on $\beta$ cells causing depolarization of membrane leading to opening of voltage-gated Ca <sup>2+</sup> channels and ultimately insulin release	Assists with insulin release Can be used as monotherapy or combined with metformin
Sodium Glucose Cotransporter 2 (SGLT2) Inhibitors • E.g., canagliflozin, dapagliflozin, empagliflozin	Blocks SGLT2 in the renal proximal convoluted tubule preventing glucose absorption and thus causing glycosuria	Can be combined with metformin and DPP4 inhibitors as well as thiazolidinediones
Dipeptidyl Peptidase-4 (DPP4) inhibitors • E.g., sitagliptin, sexagliptin, linagliptin	Increases glucagon-like peptide 1 concentration and inhibits glucagon secretion	Improves insulin sensitivity and glucose- dependent insulin secretion
<ul> <li>Glucagon-like Peptide 1 (GLP1)</li> <li>Receptor Agonists</li> <li>E.g., exenatide, liraglutide, dulaglutide</li> </ul>	Stimulates glucose dependent insulation by stimulating GLP1 receptors and suppresses glucagon secretion	Liraglutide may have cardioprotective effects Associated with weight loss
<ul> <li>Thiazolidinediones (TZD)</li> <li>E.g., rosiglitazone, pioglitazone</li> </ul>	Activates PPAR-γ expressed in adipose tissue	Used with metformin Reduced liver gluconeogenesis Increased skeletal muscle glucose uptake and adipogenesis

Other medications used in DM		
Meglitinides • E.g., repaglinide, nateglinide	Binds to SURs but at a different site than sulfonylureas but accomplish the same goal of insulin release from β cells	Potency increases at higher glucose levels
<ul> <li>α-glucosidase inhibitors</li> <li>E.g., acarbose, miglitol</li> </ul>	Inhibits α-glucosidase in the small intestine leading to blocked carbohydrate digestion and glucose absorption	Similar efficacy to metformin and can be prescribed as 1 <sup>st</sup> line medication but has digestive side effects such as flatulence and diarrhea
<ul> <li>Bile acid sequestrants</li> <li>E.g., colesvelam, colestimide</li> </ul>	Inhibits gluconeogenesis through downstream inhibition of phosphoenolpyruvate carboxykinase production	Lowers low density lipoprotein and this has a cardioprotective effect
Dopamine-2 agonists <ul> <li>E.g., bromocriptine</li> </ul>	Works on the hypothalamus to reset for increased plasma glucose, free fatty acids and triglycerides	Can be used as monotherapy on in combination with other diabetic drugs Good side-effect profile

## Potential future therapeutic areas

Table 1 shows current pharmacological treatment options used for treatment in diabetes in a whole which focus on blood glucose control. In the presence of HF drugs such as beta blockers, angiotensin converting enzyme (ACE) inhibitors, angiotensin receptor blockers (ARBs), diuretics and calcium channel blockers (for diastolic dysfunction) are popular choices to add to therapy. In addition to current therapies in use to control the metabolic disease, new potential targets are being studied to target diabetes-induced changes to the heart. They include the following:

#### Gene therapy

The idea of being able to either up or downregulate the expression of key factors in the development of diabetic cardiomyopathy may be approaching through gene therapy [134]. For instance, reduced heart chamber compliance is a hallmark change in HFpEF associated with T2DM. It is partly due to altered titin phosphorylation leading to increased cardiomyocyte

stiffness [135]. Hopf et al. showed how treatment with Neuregulin1 (NRG-1) was able to rescue titin-based cardiomyocyte stiffening in DM rats via increased PKG and ERK1/2 activity and reduced PKCα activity. This in turn reversed DM-induced titin hypo-phosphorylation changes [136]. Currently, other targets which are being studied include forkhead box-containing protein 1, O subfamily (FoxO1) [137] and mitochondrial heat shock protein 70 (mtHsp70) [138]. Troponin and other regulatory cardiac proteins could be useful in phenotypic HFpEF classification or clinical trial selection criteria to target a specific HFpEF subset or a population with a higher-risk profile [134, 135, 139].

#### Non-coding RNAs as Biomarkers

MicroRNAs (miRNAs) are a class of non-coding RNAs that regulate gene expression at the post transcriptional level in both physiological and pathological conditions. There is opportunity to use miRNA as biomarkers for diabetic cardiomyopathy owing the possibility of its detection and stability in plasma [140]. Copier et al. suggested that the miRNAs miR-19b-3p and miR-181b-5p could be suitable biomarkers for diabetic cardiomyopathy in asymptomatic DM patients. They found both of these circulating and cardiac miRNAs were linked to cardiac dysfunction in rats with high fat diet during the development of diabetic cardiomyopathy [141]. Other non-coding RNAs being studied include long non-coding RNAs (lncRNAs), which were found to be independent predictors of diastolic function and remodelling in patients with T2DM [142].

#### Non-coding RNAs as treatment

Bcl-2 and Pim1 are anti-apoptotic and cardio-protective proteins. They are negatively regulated by the miRNA *miR-1* which constantly increases through the stages of diabetic cardiomyopathy. Transfection with *anti-miR1* activates pro-survival signals in cardiomyocytes and cardiac progenitor cells in a hyperglycaemic environment [143]. In another study, Yin et al. demonstrated at protective role of *miR-30c* in cardiac metabolism in diabetic rats (db/db) via modulation PGC-1 $\beta$ . They found overexpression of *miR-30c* improved glucose utilization, reduced excessive ROS production and lipid accumulation and subsequent attenuation of cardiomyocyte apoptosis and cardiac dysfunction in db/db mice [135]. Pathological remodelling of the heart and decreased inflammatory response were reduced by endothelial specific overexpression of *miR-146a* and hyperglycaemia reduces this miRNA's expression [144]. Moreover, overexpression of homeobox transcript antisense RNA (HOTAIR), an lncRNA which promoted Akt phosphorylation and improved AC16 Human cardiomyocyte cell line viability may also improve diabetic cardiomyopathy through activation of the PI3K/Akt pathway [145].

#### **Oxidative stress modulation**

The potential therapeutic action of nuclear factor-erythroid factor 2-related factor (2 Nrf2), a transcription factor in the treatment of several diseases including diabetic cardiomyopathy has been suggested [146]. Sulforaphane (SFN) is obtained from cruciferous vegetables (e.g. broccoli, cabbages, brussels sprouts) and shows antidiabetic as well as anti-cancer properties in experiments [147]. In one study, SFN was found to almost completely prevent diabetic cardiomyopathy in STZ rats (T1DM) through upregulation of NrF2 expression and transcription function in the heart. Nrf2 drives antioxidant and detoxifying defence to suppress oxidative stress–mediated cardiac protein injury and subsequent heart dysfunction [148]. Furthermore, Nrf2 promotes autophagic clearance of harmful ubiquitinated protein aggregates, thus protecting the heart from proteo-cytotoxicity [149].

Silencing the Nrf2 expression completely abolished this preventative property of SFN [150]. Similarly, diallyl trisulfide (DATS), a powerful antioxidant, was found to protect against hyperglycaemia-induced ROS-mediated apoptosis, with further protection through increased Nrf2 protein stability and nuclear translocation leading to Nrf2-regulated anti-oxidant enzymes in cardiomyocytes exposed to hyperglycaemia [151]. The proteasome inhibitor MG-132 was found to provide a therapeutic effect in diabetic cardiomyopathy possibly through upregulation of Nrf2-dependent anti-oxidative function and downregulation of NF- $\kappa$ B-mediated inflammation in OVE26 mice (T1DM) [152].

Coenzyme Q10 has also emerged as an effective antioxidant. Huynh et al. investigated its properties in STZ induced type 1 diabetic rats. They found upregulation of LV Nox2 and superoxide production with LV oxidative damage. Coenzyme Q10 was found to almost prevent these changes completely in rats given STZ and coenzyme Q10 simultaneously at the beginning of the study [153].

The development of diabetic cardiomyopathy in STZ-induced type 1 diabetic rats can also be prevented by the natural polyphenolic compounds, extracted from curcumin. Studies have shown this preventative property to be associated with suppression of NOX activation which further alleviates excessive generation of ROS and/or RNS [154, 155]. Other anti-oxidant therapy being studied with potential therapeutic effects on diabetic cardiomyopathy include thioredoxin 1, tempol, metallothionein and resveratrol [156-159].

#### Alpha-lipoic acid (ALA)

ALA was also found to alleviate changes in heart muscle. Li et al. found that ALA was able to attenuate TGF- $\beta$  expression. Additionally, in STZ-induced diabetic cardiomyopathy, ALA therapy reduced ventricular dysfunction, decreased LV Type I and III collagen deposition, and enhanced MMP-2 activity [160].

#### Healthy lifestyle habits to combat DM

Following unique practices can help to slow down the general effects of diabetes. The Indo-Mediterranean-type diet consists of bountiful antioxidants such as omega-3 fatty acids, polyphenolics and flavonoids which can help to maintain oxidative function and reduce damage to myocardial cells [79]. Conversely, Western diets predispose individuals to CVDs. This diet has shown to increase AGE which activates receptor for AGE (RAGE) leading to downstream oxidative stress, inflammation, and eventual cardiomyocyte hypertrophy [161].

Consuming large amounts of alcohol (> 5 drinks per day) seemingly increases the risk for ventricular arrhythmia and SCD. Interestingly, Tu et al. showed that consumption of large amounts of beer, spirits and cider correlated with enhanced SCD risk while red and white wine intake was associated with lower risk [162].

Physical activity, specifically aerobic exercise, has been shown to acutely increase insulin sensitivity in the body which enhances blood glucose for synthesis of glycogen and fat oxidation for storage [163]. Physical activity can also increase pancreatic beta cell mass and function by encouraging the pancreas to produce newly synthesized insulin content, thereby producing a synergistic effect with insulin {164].

Smoking has been known to increase SCD. Aune et al. found a three-fold increase in SCD in people who smoke while there was also a 38% increase in former smokers [165].

## Conclusion

In conclusion, this review attempted to explain the cellular and molecular mechanisms and to a lesser extent the genetic factor associated with the development of diabetes-induced cardiomyopathy which can subsequently lead to chronic heart failure and sudden cardiac death. The study focussed mainly on the ECC process highlighting the defects of calcium transporting (SERCA, NCX, RyR and connexin) and contractile regulatory (myosin, actin, titin and troponin) proteins in the heart. The study also highlighted new therapies which are currently in development to treat or prevent diabetic cardiomyopathy. Nevertheless, prevention is better than cure and as such figure 3 shows a flow diagram illustrating the different ways in either preventing or delaying the development of heart failure whether it is induced by diabetes mellitus, other causes or during a combination of the different factors.



**Figure 3:** Diagram illustrating the different ways in either preventing or delaying the development of heart failure.

## Declarations

#### **Ethical approval:**

Not applicable

#### **Competing interests:**

The authors have no conflict of interest to disclose.

#### **Authors' Contributions:**

The idea and design of the paper came from Ram B. Singh, Manal Smail and Jaipaul Singh. Sunil Rupee, Khemraj Rupee, Carlin Hanoman and Abla Mohammed Ahmed Ismail did the literature search, drew the diagrams and did the first version of the paper. Ram B. Singh, Manal Smail and Jaipaul Singh finally reviewed the paper for corrections and made additions. Sunil Rupee made the final version. Subsequent reviews and changes were done by Sunil Rupee, Jaipaul Singh and Ram B. Singh. Each author contributed more or less the same except for Sunil Rupee, who did more, and for this reason, he is the first/main author.

#### **Funding:**

The authors received no financial support for the compilation/authorship and/or publication of this paper.

#### Availability of data and materials:

Not applicable

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