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# Sport Sciences for Health

# Effects of second generation and indoor sports surfaces on knee joint kinetics and kinematics during 45° and 180° cutting manoeuvres; and exploration using statistical parametric mapping and Bayesian analyses. --Manuscript Draft--

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| Abstract:  | Purpose: The aim of the current investigation was to examine the influence of second generation (2G) and indoor surfaces on knee joint kinetics, kinematics, frictional and muscle force parameters during 45° and 180° change of direction movements using statistical parametric mapping (SPM) and Bayesian analyses.<br>Methods: Twenty male participants performed 45° and 180° change of direction movements on 2G and indoor surfaces. Lower limb kinematics were collected using an eight-camera motion capture system and ground reaction forces were quantified using an embedded force platform. ACL, patellar tendon and patellofemoral loading was examined via a musculoskeletal modelling approaches and the frictional properties of the surfaces were examined using ground reaction force information. Differences between surfaces were examined using SPM and Bayesian analyses.<br>Results: Both SPM and Bayesian analyses showed that ACL loading parameters were greater in the 2G condition in relation to the indoor surface. Conversely, SPM and Bayesian analyses confirmed that patellofemoral/ patellar tendon loading alongside the coefficient of friction and peak rotational moment were larger in the indoor condition compared to the 2G surface.<br>Conclusions: This study indicates that the indoor surface may improve change of direction performance owing to enhanced friction at the shoe-surface interface but augment the risk from patellar tendon/ patellofemoral injuries; whereas the 2G condition may enhance the risk from ACL pathologies. |
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| 1  | Effects of second generation and indoor sports surfaces on knee joint kinetics and                                    |
|----|---|
| 2  | kinematics during 45 $^\circ$ and 180 $^\circ$ cutting manoeuvres; and exploration using statistical                  |
| 3  | parametric mapping and Bayesian analyses.   |
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| 18 | Keywords: Biomechanics; surface; statistical parametric mapping; Bayesian; sport.                                     |
| 19 |   |
| 20 | Abstract  |
| 21 | Purpose: The aim of the current investigation was to examine the influence of second                                  |
| 22 | generation (2G) and indoor surfaces on knee joint kinetics, kinematics, frictional and muscle                         |
| 23 | force parameters during $45^{\circ}$ and $180^{\circ}$ change of direction movements using statistical                |
| 24 | parametric mapping (SPM) and Bayesian analyses.   |

Methods: Twenty male participants performed 45° and 180° change of direction movements on 2G and indoor surfaces. Lower limb kinematics were collected using an eight-camera motion capture system and ground reaction forces were quantified using an embedded force platform. ACL, patellar tendon and patellofemoral loading was examined via a musculoskeletal modelling approaches and the frictional properties of the surfaces were examined using ground reaction force information. Differences between surfaces were examined using SPM and Bayesian analyses.

32 Results: Both SPM and Bayesian analyses showed that ACL loading parameters were greater 33 in the 2G condition in relation to the indoor surface. Conversely, SPM and Bayesian analyses 34 confirmed that patellofemoral/ patellar tendon loading alongside the coefficient of friction and 35 peak rotational moment were larger in the indoor condition compared to the 2G surface.

36 Conclusions: This study indicates that the indoor surface may improve change of direction 37 performance owing to enhanced friction at the shoe-surface interface but augment the risk from 38 patellar tendon/ patellofemoral injuries; whereas the 2G condition may enhance the risk from 39 ACL pathologies.

40

## 41 Introduction

The benefits of physical activity/ sport are unequivocal [1] and initiatives to improve participation are commonplace [2]. However, sports/ physical activity is associated with a high incidence of injuries [3, 4]. The annual cost of treating sports injuries in high school athletes alone is estimated to be >\$2 billion [4], with 1/5 school children absent at least one day per year [6] and 1/3 working adults losing at least one day a year to sports injuries [6].

47

48 Importantly, Hootman et al., [7] showed in their aetiological examination of 15 different sports,

49 that the lower extremities were the most common location for injury. Specifically, the knee

50 joint is the most commonly injured musculoskeletal structure in athletes, accounting for over 51 30% of all reported sports injuries [8]. The most frequently reported knee condition in sports medicine clinics is patellofemoral pain which has a prevalence cited between 22.7-28.9% [9], 52 53 and manifests as dull retropatellar pain, aggravated by activities that frequently and excessively load the joint [10]. Chronic patellar tendinopathy is also a common musculoskeletal condition 54 that presents clinically as localised pain at the proximal tendon attachment [11]. Aetiological 55 analyses have shown that the incidence of patellar tendinopathy may be as high as 36%, with 56 this specific condition accounting for as many as 25% of all soft tissue injuries [12]. 57 58 Tendinopathy is mediated through excessively forces at the patellar tendon itself, with failed reparative response due to insufficient rest between loading exposures [11]. Similarly, the 59 anterior cruciate ligament (ACL) is the most frequently reported acute sports injury [13], with 60 61 over 175,000 ACL reconstruction surgeries being performed each year in the US alone [14]. ACL injuries are predominantly non-contact in nature, whereby the ligament becomes 62 compromised in the absence of physical contact between athletes [15]. Mechanically, ACL 63 64 injuries occur when the ligament experiences excessive tensile forces [16].

65

Given the prevalence and debilitating nature of sports injuries, considerable research attention 66 has been devoted to modifying the underlying mechanisms linked to the aetiology of common 67 sports-related pathologies. It has been strongly advocated that the properties of sports playing 68 69 surfaces can influence both the performance of athletes and the likelihood of injury occurrence [17]. Traditionally most sports were played on natural surfaces, however, owing to climatic and 70 economic factors, artificial alternatives have become increasing popular over the past 30 years, 71 72 with synthetic grass and indoor surfaces being the most commonly encountered [18]. Many athletic disciplines involve sprinting, stopping and rapid changes in movement direction [19]. 73 Frictional torque generated at the shoe-surface interface means that the knee may be subjected 74

to excessive stresses when rapid directional changes are undertaken [20]. Therefore, the level
of traction between the shoe and surface is one of the most commonly cited factors influencing
lower limb injury occurrence [21].

78

There are concerns that some of the mechanical properties of artificial sports-surfaces may be associated with acute and chronic knee injuries in relation to natural surface, and there is evidence from descriptive epidemiological analyses to support this notion [22]. However, clinical, biomechanical and epidemiological analyses have focused heavily on the differences between performing on natural vs. synthetic surfaces, and there has yet to be a published investigation examining different synthetic surfaces on the biomechanical mechanisms linked specifically to the aetiology of knee pathologies.

86

Furthermore, whilst aetiological literature has through prospective and retrospective analyses 87 been able to identify the risk factors linked to the aetiology of knee pathologies, these 88 89 biomechanical parameters are explored in the scientific literature through discrete point analysis [23]. For time normalized biomechanical parameters, statistical parametric mapping (SPM) 90 may represent a more efficacious process, as it is able to examine an entire time-based data 91 sequence and reduces the likelihood of a type II error by eliminating the need for multiple 92 analyses [23]. Similarly, in recent years Bayesian assessments have gained considerable 93 94 acceptance and practicability, although in spite of their prospective benefits [24], their utilization in biomechanical analyses remains limited. To date there has yet to be a 95 biomechanical investigation examining the effects of different synthetic surfaces on the 96 97 biomechanical parameters linked to the aetiology of knee pathologies using a concurrent SPM and Bayesian approach. 98

100 Therefore, the aim of the current investigation was to examine the influence of second 101 generation (2G) and indoor surfaces on knee joint kinetics, kinematics, frictional and muscle 102 force parameters during 45° and 180° change of direction movements using SPM and Bayesian 103 analyses.

104

#### 105 Methods

#### 106 Participants

107 Twenty male recreational athletes (age =  $23.00\pm2.51$  years, stature =  $176.22\pm8.36$ cm and mass 108 =  $76.79\pm10.60$ kg) volunteered to take part in this study. The procedure utilized for this 109 investigation was approved by an institutional ethical committee. All participants were free 110 from musculoskeletal pathology at the time of data collection and had not previously undergone 111 knee surgery. Written informed consent was obtained in accordance with the principles outlined 112 in the Declaration of Helsinki.

113

#### 114 *Surfaces*

The data collection took place in an indoor biomechanics laboratory. The indoor surface 115 (MondoSport Ramflex, Mondo, Italy) had a total thickness of 6 mm, with a vulcanized rubber 116 construction. The indoor surface was comprised of a 2 mm surface layer and a 4 mm base layer 117 and was mounted over an underlying concrete surface. The 2G surface utilized for this 118 119 investigation was an 8 mm polyethylene, synthetic turf. For the 2G surface condition, the turf was strong affixed to the existing laboratory surface and force platform using double-sided 120 carpet tape. Following the completion of their data collection protocol, participants were asked 121 to subjectively indicate which surface that they preferred, and which surface they felt provided 122 more traction. 123

#### 125 *Procedure*

Participants completed five repeats of two sport-specific movements 45° and 180° change of 126 direction in the two surface conditions. To control for any order effects, the order in which 127 participants performed in each movement and surface condition was counterbalanced. 128 Kinematic information was obtained using an eight-camera motion capture system (Qualisys 129 Medical AB, Goteburg, Sweden) using a capture frequency of 250 Hz. Dynamic calibration of 130 131 the system was performed before each data collection session. Calibrations producing residuals <0.85 mm and points above 4000 in all cameras were considered acceptable. To measure kinetic 132 133 information an embedded piezoelectric force platform (Kistler National Instruments, Model 9281CA) operating at 1000 Hz was utilised. The kinetic and kinematic information were 134 synchronously obtained using an analogue to digital board and interfaced using Qualisys track 135 136 manager.

137

Lower extremity segments were modelled in 6 degrees of freedom using the calibrated 138 anatomical systems technique [25]. To define the segment co-ordinate axes of the right foot, 139 shank and thigh, retroreflective markers were placed unilaterally onto the 1st metatarsal, 5th 140 metatarsal, calcaneus, medial and lateral malleoli, medial and lateral epicondyles of the femur. 141 To define the pelvis segment further markers were positioned onto the anterior (ASIS) and 142 posterior (PSIS) superior iliac spines. Carbon fiber tracking clusters were positioned onto the 143 144 shank and thigh segments (Figure 1). The foot was tracked using the 1st metatarsal, 5th metatarsal and calcaneus markers and the pelvis using the ASIS and PSIS markers. The centers 145 of the ankle and knee joints were delineated as the mid-point between the malleoli and femoral 146 147 epicondyle markers, whereas the hip joint centre was obtained using the positions of the ASIS markers. Static calibration trials (not normalized to static trial posture) were obtained in each 148 footwear allowing for the anatomical markers to be referenced in relation to the tracking 149

| 150 | markers/ clusters. The Z (transverse) axis was oriented vertically from the distal segment end      |
|-----|---|
| 151 | to the proximal segment end. The Y (coronal) axis was oriented in the segment from posterior        |
| 152 | to anterior. Finally, the X (sagittal) axis orientation was determined using the right-hand rule    |
| 153 | and was oriented from medial to lateral (Figure 2).   |
| 154 |   |
| 155 | @@@FIGURE 1 NEAR HERE@@@  |
| 156 | @@@FIGURE 2 NEAR HERE@@@  |
| 157 |   |
| 158 | Data were collected during the 45° and 180° change of direction movements as described below:       |
| 159 |   |
| 160 | 45° change of direction   |
| 161 | Participants completed 45° change of direction movements using an approach velocity of 4.0          |
| 162 | m/s $\pm$ 5% striking the force platform with their right (dominant) limb. Cut angles were measured |
| 163 | from the centre of the force plate and the corresponding line of movement was delineated using      |
| 164 | masking tape so that it was clearly evident to participants. The stance phase of this movement      |
| 165 | was defined as the duration over $> 20$ N of vertical ground reaction force (GRF) was applied to    |
| 166 | the force platform.   |
| 167 |   |
| 168 | 180° change of direction  |
| 169 | Participants completed 180° change of direction movements using an approach velocity of 4.0         |
| 170 | m/s $\pm$ 5% striking the force platform with their right (dominant) limb, then returning in the    |
| 171 | initial direction of travel. The stance phase of this movement was defined as the duration over     |
| 172 | > 20 N of vertical GRF was applied to the force platform.   |
| 173 |   |
|     |   |

174 Processing

Dynamic trials were digitized using Qualisys Track Manager in order to identify anatomical 175 and tracking markers then exported as C3D files to Visual 3D (C-Motion, Germantown, MD, 176 USA). All data were linearly normalized to 100% of the stance phase. GRF and kinematic data 177 were smoothed using cut-off frequencies of 50 and 12 Hz with a low-pass Butterworth 4th 178 order zero lag filter [26]. Three-dimensional kinematics of the knee were calculated using an 179 XYZ cardan sequence of rotations (where X=sagittal plane; Y=coronal plane and Z=transverse 180 181 anatomical planes). Joint moments were computed using Newton-Euler inverse-dynamics, allowing net knee joint moments to be calculated. To quantify joint moments segment mass, 182 183 segment length, GRF and angular kinematics were utilized.

184

Patellofemoral loading was quantified using a model adapted from van Eijden et al., [27], in 185 186 accordance with the protocol of Willson et al., [28] in that co-contraction of the knee flexor musculature was accounted for. This musculoskeletal model has been shown to be sufficiently 187 sensitive to resolve differences between different footwear [29], across different foot orthotic 188 configurations [30], different prophylactic knee sleeves [31], between sexes [32, 33] and 189 between those with and without patellofemoral pain [34]. Hamstring and gastrocnemius forces 190 were calculated in accordance with previously established procedures [35]. Hamstring and 191 gastrocnemius forces (N) were multiplied by their moment arms relative to the knee flexion 192 193 angle [36], and then summed to generate a knee flexor moment. The knee flexor moment was 194 added to the net knee extensor moment quantified using inverse dynamics and divided by the quadriceps moment arm [27], to obtain quadriceps force (N) adjusted for co-contraction of the 195 knee flexors. From the above processing quadriceps and hamstring force (N·s) impulses during 196 197 the stance phase were extracted using a trapezoidal function. Quadriceps and hamstring force (N/s) load rates were also extracted by obtaining the peak increase in force between adjacent 198 199 data points.

Patellofemoral force (N) was quantified in accordance with the protocol of van Eijden et al., 201 [27]. Patellofemoral joint stress (MPa) was quantified by dividing the patellofemoral force by 202 the patellofemoral contact area. Patellofemoral contact areas were obtained in accordance with 203 204 the sex specific data of Besier et al., [37]. From the above processing patellofemoral force  $(N \cdot s)$ and stress (MPa·s) impulses during the stance phase were extracted using a trapezoidal 205 function. Patellofemoral force (N/s) and stress (MPa/s) load rates were also extracted by 206 obtaining the peak increase in force/ stress between adjacent data points using the first 207 derivative function in Visual 3D. 208

209

210 In addition, patellar tendon loading was quantified using a musculoskeletal model similarly adapted from Janssen et al., [38]. This model has been shown to be sufficiently sensitive to 211 resolve differences in patellar tendon kinetics between different prophylactic knee sleeves [39], 212 between sexes [40], different rehabilitation mechanisms [41] and between dominant and non-213 dominant limbs [42]. Once again, the derived knee flexor moment was added to the net knee 214 extensor moment quantified using inverse dynamics, and then divided by the moment arm of 215 216 the patellar tendon, generating the patellar tendon force. The sex specific tendon moment arms 217 were quantified using the data of Herzog & Read, [43]. From the above processing, patellar tendon force  $(N \cdot s)$  impulse during the stance phase was extracted using a trapezoidal function. 218 Patellar tendon load rate (N/s) and was also extracted by obtaining the peak increase in force 219 220 between adjacent data points using the first derivative function in Visual 3D.

221

ACL loading was similarly quantified using a musculoskeletal modelling approach as described and validated by Dai and Yu, [44]. This approach has been shown to be sufficiently sensitive to resolve differences in ACL force during different movements [44], different 225 prophylactic knee sleeves [45], between sexes [46] and also as a function of different athletic footwear [20]. The face validity of this current model has been evaluated from two key aspects 226 in the scientific literature. Firstly, Dai and Yu, [44] showed that the model exhibited a high 227 228 level of consistency with values provided from in vivo ACL loading [47]. Secondly, the timing of ACL rupture in dynamic tasks occurs  $\leq$  50 ms following initial foot contact [48]. The timing 229 of the peak ACL force estimated using this model by Dai and Yu, [44] and Sinclair and Taylor 230 [45] shown to be <50 ms, is therefore consistent with this data and further supports the face 231 validity of the model. From the above processing, ACL force  $(N \cdot s)$  impulse during the stance 232 233 phase was extracted using a trapezoidal function. ACL load rate (N/s) and was also extracted by obtaining the peak increase in force between adjacent data points. Further, to the above the 234 knee abduction moment impulse (Nm·s) during the stance phase was extracted using a 235 236 trapezoidal function and the abduction moment load rate (Nm/s) was also extracted by obtaining the peak increase between adjacent data points using the first derivative function in 237 Visual 3D. 238

239

Finally, the loading rates (N/s) of the vertical and braking GRFs were also extracted by obtaining the peak increase in vertical and anterior-posterior GRF between adjacent data points. Furthermore, the peak translation coefficient of friction ( $\mu$ ) of each footwear was determined from the ratio of horizontal and vertical force components during the initial period of shoe motion [20]. The peak rotational moment of the GRF (Nm) was used to describe the rotational friction characteristics of the footwear [49].

246

Following this, three-dimensional knee joint kinematics, vertical GRF, anterior posterior GRF,
quadriceps force, hamstring force, patellofemoral force, patellofemoral stress, patellar tendon,

ACL and knee abduction moment parameters were extracted during the entire stance phase andtime normalized to 101 data points using linear interpolation for each participant.

251

#### 252 Statistical analyses

Differences across the entire stance phase were examined using 1-dimensional statistical
parametric mapping (SPM) with MATLAB 2018a (MATLAB, MathWorks, Natick, USA), in
accordance with Pataky et al., [50], using the source code available at <a href="http://www.spm1d.org/">http://www.spm1d.org/</a>.
Differences between surfaces were examined using paired t-tests (SPM t). The alpha (α) level
for statistical significance for SPM was set at the 0.05 level.

258

Differences in discrete biomechanical parameters that could not be contrasted using SPM were 259 260 examined using Bayesian factors (BF) to explore the extent to which the data supported the alternative (H<sub>1</sub>) or null (H<sub>0</sub>) hypotheses i.e. that there were or were no meaningful differences 261 between surface conditions for both males and females. Bayes factors were interpreted in 262 accordance with the recommendations of Jeffreys, [51], with values <1 indicating no evidence, 263 1-3 anecdotal evidence, 3-10 indicating substantial evidence, 10-30 strong evidence, 30-100 264 very strong evidence and >100 decisive evidence in support of H<sub>1</sub>. In accordance with the 265 aforementioned recommendations, values >3 were considered sufficient evidence in support of 266 H<sub>1</sub>. Finally, participants' subjective ratings were examined using Chi-squared  $(X^2)$  tests. 267 268 Discrete statistical tests were conducted using SPSS v25.0 (SPSS, USA).

269

#### 270 **Results**

Knee joint kinetics, kinematics, muscle forces and GRFs contrasted using SPM are presentedin figures 3-4 and the discrete parameters are found in tables 1-2.

| 274 | @@@TABLE 1 NEAR HERE@@@   |
|-----|---|
| 275 | @@@TABLE 2 NEAR HERE@@@   |
| 276 |   |
| 277 | 45° change of direction   |
| 278 | Statistical parametric mapping  |
| 279 | ACL force was shown to be significantly greater in the 2G surface from 15-25 and 70-100 %         |
| 280 | of the stance phase (Figure 3D). The SPM analyses also showed that patellar tendon force,         |
| 281 | patellofemoral force, patellofemoral stress and quadriceps force was significantly greater in the |
| 282 | indoor surface from 15-75 % of the stance phase (Figure 3E-H). In addition, hamstring forces      |
| 283 | (Figure 3I) were significantly greater in the 2G surface from 0-50 and 85-95 % of the stance      |
| 284 | phase and braking forces were significantly larger in the indoor surface from 0-5 and 20-80 $\%$  |
| 285 | of the stance phase (Figure 3K). Finally, the knee abduction moment was shown to be               |
| 286 | significantly greater in the 2G surface from 10-95 % of the stance phase (Figure 3L).             |
| 287 |   |
| 288 | @@@FIGURE 3 NEAR HERE@@@  |
| 289 |   |
| 290 | Discrete parameters   |
| 291 | For the translational coefficient of friction, quadriceps integral and peak rotational moment     |
| 292 | there was decisive evidence in favour of these parameters being greater in the indoor condition.  |
| 293 | There was also strong evidence in favour of the quadriceps force load rate being greater in the   |
| 294 | indoor condition. Furthermore, for the hamstring integral and hamstring load rate there was       |
| 295 | decisive evidence in favour of these parameters being greater in the 2G surface (Table 1).        |
| 296 |   |
| 297 | Furthermore, for the patellar integral, patellofemoral force integral and patellofemoral stress   |

integral there was decisive evidence in favour of these parameters being greater in the indoor

condition. There was also substantial-strong evidence that the patellar load rate, patellofemoral
force load rate and patellofemoral stress load rates were larger in the indoor surface. Finally,
for the ACL integral and knee abduction moment integral there was decisive evidence in favour
of these parameters being greater in the 2G condition and strong evidence in favour of the knee
abduction moment load rate being larger in the 2G surface (Table 2).

304

 $180^{\circ}$  change of direction

306 *Statistical parametric mapping* 

307 ACL force was shown to be significantly greater in the 2G surface from 5-95 % of the stance phase (Figure 4D). The SPM analyses also showed that patellar tendon force, patellofemoral 308 force, patellofemoral stress and quadriceps force was significantly greater in the indoor surface 309 310 from 20-30 % of the stance phase (Figure 4E-H). In addition, hamstring forces (Figure 4I) were significantly greater in the 2G surface from 15-20 and 70-95 % of the stance phase and braking 311 forces were significantly larger indoor surface from 10-90 % of the stance phase (Figure 4K). 312 Finally, the knee abduction moment was shown to be significantly greater in the 2G surface 313 from 10-90 % of the stance phase (Figure 4L). 314

315

316

#### @@@FIGURE 4 NEAR HERE@@@

317

#### 318 *Discrete parameters*

For the translational coefficient of friction, load rate braking and peak rotational moment there was decisive evidence in favour of these parameters being greater in the indoor condition. Furthermore, for the hamstring integral and there was decisive evidence in favour of these parameters being greater in the 2G surface (Table 1).

Finally, for the ACL integral and knee abduction moment integral there was decisive evidence in favour of these parameters being greater in the 2G condition and strong evidence in favour of the knee abduction moment load rate being larger in the 2G surface (Table 2).

327

#### 328 Subjective ratings

For the subjectively preferred surface, the chi-squared test was non-significant ( $X^2 = 0.20$ , P>0.05) with nine participants reporting a preference for the indoor surface and eleven for the G surface. However, for the subjective ratings of surface traction the chi-squared test was significant ( $X^2 = 5.00$ , P<0.05), with fifteen participants reporting that the indoor surface provided more traction and five participants indicating the 2G surface.

334

#### 335 Discussion

The aim of the current investigation was to examine the effects of 2G and indoor surfaces on knee joint kinetics, kinematics, frictional and muscle force parameters during 45° and 180° change of direction movements using SPM and Bayesian analyses. To the authors knowledge this is the first investigation of this nature and may provide further important information regarding the effects of different surfaces on the risk factors linked to the aetiology of knee pathologies during functional athletic tasks.

342

This investigation importantly confirmed that the coefficient of friction and peak rotational moment were greater in the indoor surface in relation to the 2G condition. This observation is in agreement with the subjective ratings, which similarly showed that participants rated that the indoor surface provided more traction. As there were notable differences in braking force parameters observed using SPM and Bayesian analyses yet no differences in vertical GRFs, it can be concluded that alterations in the coefficient of friction were mediated through alterations in anterior-posterior GRFs. Importantly, frictional forces allow the resultant GRF vector to be directed more effectively towards the intended direction, mediating enhanced linear acceleration [52]. However, increased traction has also been linked to the aetiology of injury [20, 53]. Nonetheless, owing to an enhanced coefficient of friction this observation suggests that the indoor surface mediated an increased resistance to sliding compared to the 2G condition.

355

Importantly the current investigation showed using SPM and Bayesian analyses that ACL 356 loading parameters were greater in the 2G condition, an interesting observation as hamstring 357 forces were larger and quadriceps forces were reduced in the 2G condition. As the quadriceps 358 load the ACL by mediating anterior tibial translation, and the hamstrings oppose tibial 359 translation and thus act to offload the ACL [20, 54], it could be expected that ACL forces would 360 be attenuated in the 2G surface. However, recent subject- specific musculoskeletal modelling 361 362 investigations have shown that the knee abduction moment is the inverse-dynamic mechanism that most strongly governs the magnitude of ACL loading [55]. Indeed, the knee abduction 363 364 moment influences ACL loading by altering the tolerance of the ACL to anterior tibial translation forces [55] and has been shown through a prospective in vivo investigation as the 365 biomechanical factor that most strongly predicts ACL injury [56]. It can therefore be 366 conjectured that the enhanced ACL loads experienced in the 2G surface conditions were 367 mediated via the enhanced knee abduction moments that were also revealed in this condition. 368 Importantly, the aetiology of ACL injuries in athletic populations is linked to excessive loading 369 of the ACL itself [16]. Therefore, owing to an enhanced ACL loading in the 2G surface, the 370 findings indicate that the specific 2G surface examined in this investigation may increase the 371 risk from ACL injury during sport specific change of direction movements compared to the 372 indoor surface. 373

375 In addition, the current study also showed using SPM and Bayesian analyses that both patellofemoral and patellar tendon loading were larger in the indoor condition compared to the 376 2G surface. It is proposed that these observations were mediated through the increased 377 quadriceps forces in the 2G surface, as previous analyses have shown that quadriceps kinetics 378 strongly affect patellar tendon/ patellofemoral loading [27, 38]. This observation concurs with 379 the conclusions of Yu et al., [57] who showed that an enhanced coefficient of friction directly 380 increases the force of contraction from the quadriceps. This observation may be clinically 381 important as excessive patellar tendon/ patellofemoral joint loading are the mechanisms most 382 383 strongly linked to the aetiology of pain symptoms in active individuals [10, 11]. It can be concluded on account of the enhanced tendon/ joint loading that the indoor surface examined 384 in the current investigation may increase the risk from chronic knee pathologies injury during 385 386 change of direction movements.

387

A potential limitation that should be acknowledged of the current investigation is that only male athletes were examined. Female athletes have been shown to exhibit distinct external joint moments [58], ACL loading [26, 46], lower extremity joint kinematics [58] and patellofemoral joint stress [32] compared to male athletes. This suggests that further investigation into the effects of different surfaces using a female sample is warranted before comprehensive conclusions can be drawn.

394

In conclusion, although previous investigations have examined the biomechanical influence of different surfaces, current knowledge regarding the effects of 2G and indoor surfaces on the is biomechanics of change of direction movements is limited. As such the current investigation contributes to biomechanical literature by providing a comprehensive examination of knee joint kinetics, kinematics, frictional and muscle force parameters during 45° and 180° change of 400 direction movements. Importantly, this study showed using both SPM and Bayesian analyses that ACL loading parameters were greater in the 2G condition in relation to the indoor surface. 401 Conversely, SPM and Bayesian analyses confirmed that patellofemoral/patellar tendon loading 402 403 alongside the coefficient of friction and peak rotational moment were larger in the indoor condition compared to the 2G surface. This study indicates that the indoor surface may improve 404 change of direction performance owing to enhanced friction at the shoe-surface interface but 405 augment the risk from patellar tendon/ patellofemoral injuries whereas the 2G condition may 406 enhance the risk from ACL pathologies. 407

408

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566

## 567 Figure labels

568 Figure 1: Experimental marker configuration.

- 569 Figure 2: Pelvic, thigh, tibial and foot segments, with segment co-ordinate system axes
- 570 (X=sagittal plane; Y=coronal plane and Z=transverse anatomical planes).
- 571 Figure 3: Kinetic and kinematic parameters as a function of surface for the 45° change of
- 572 direction movement (Black = 2G & Red = Indoor).
- 573 Figure 4: Kinetic and kinematic parameters as a function of surface for the 180° change of
- 574 direction movement (Black = 2G & Red = Indoor). (Black = 2G & Red = Indoor).

|  | 45°       |           |           |           | Dawas factor | 180°      |          |           |          | Bayes      |
|--|-----------|-----------|-----------|-----------|--------------|-----------|----------|-----------|----------|------------|
|  | 2G        |           | Indoor    |           | Bayes factor | 2G        |          | Indoor    |          | factor     |
|  | Mean      | SD        | Mean      | SD        |              | Mean      | SD       | Mean      | SD       |            |
| Translational coefficient of friction<br>(µ) | 0.18      | 0.06      | 0.43      | 0.22      | 1955.58      | 0.31      | 0.04     | 0.68      | 0.12     | 3790913148 |
| Load rate vertical GRF (N/s)                 | 257380.63 | 145386.71 | 213585.60 | 166827.07 | 0.98         | 91427.29  | 44069.39 | 90583.25  | 41206.03 | 0.22       |
| Load rate braking (N/s)                      | 105688.64 | 68411.50  | 113758.53 | 88612.97  | 0.25         | 41308.94  | 25208.46 | 57044.95  | 25020.64 | 256.22     |
| Quadriceps integral (N·s)                    | 519.07    | 205.05    | 799.63    | 327.81    | 2122.58      | 1232.12   | 617.79   | 1356.81   | 441.27   | 0.37       |
| Quadriceps force load rate (N/s)             | 294927.37 | 89591.22  | 400281.59 | 184320.64 | 13.81        | 182912.39 | 69116.06 | 215434.26 | 73472.04 | 1.45       |
| Hamstring integral (N·s)                     | 418.28    | 245.20    | 172.63    | 73.43     | 700.97       | 776.07    | 357.91   | 485.60    | 299.91   | 114.61     |
| Hamstring load rate (N/s)                    | 451553.79 | 262740.43 | 355276.42 | 218654.34 | 231.17       | 163808.19 | 68777.20 | 160825.39 | 62659.50 | 0.22       |
| Peak rotational moment (Nm)                  | 10.71     | 4.95      | 17.23     | 7.73      | 843.31       | 6.35      | 2.23     | 19.86     | 7.66     | 405689     |

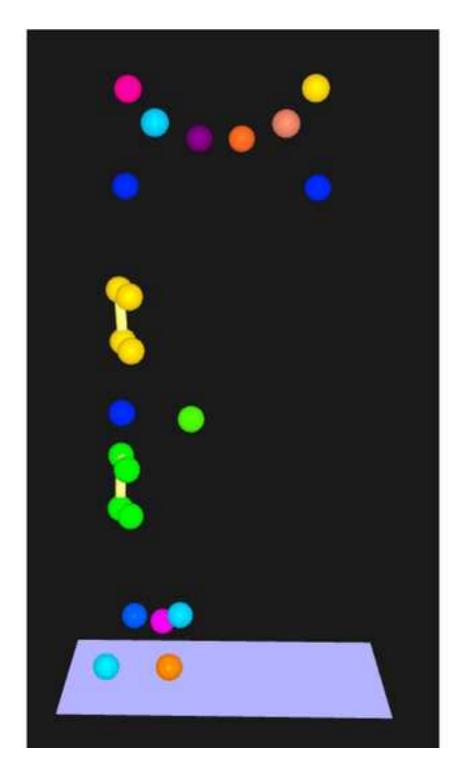
Table 1: Frictional and muscle force parameters (mean±SD) as a function of the experimental movement and surface conditions.

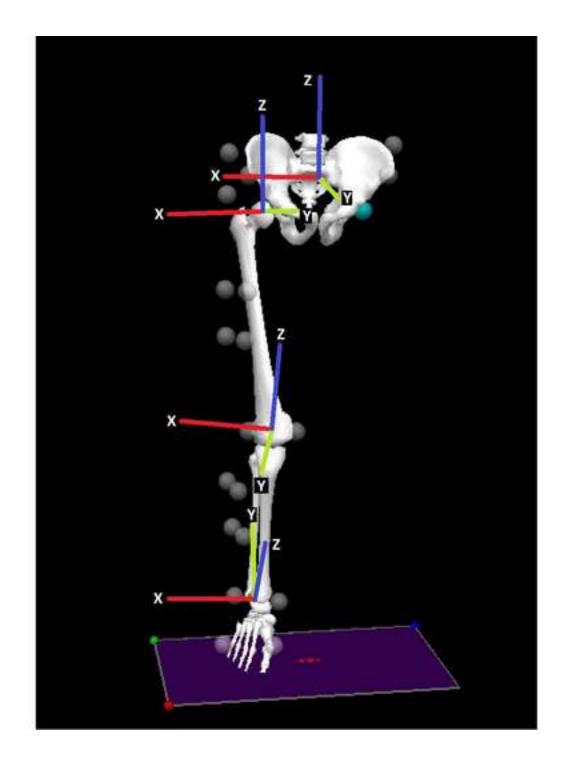
Notes: Bold Bayes factors indicate values >3, i.e. at least substantial evidence in support of  $H_1$ .

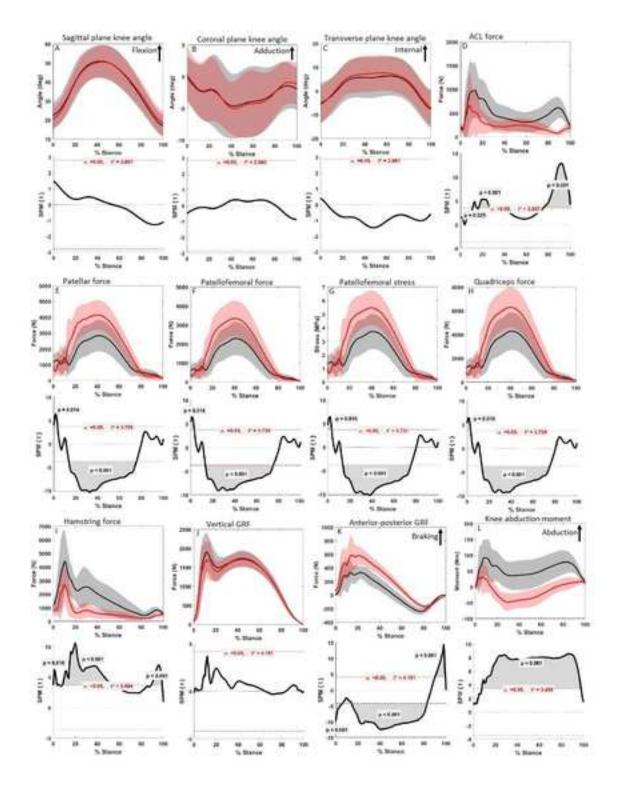
|   |           | 4        | 5°        |           | Bayes<br>factor |           |          |           |          | Bayes<br>factor |
|---|-----------|----------|-----------|-----------|-----------------|-----------|----------|-----------|----------|-----------------|
|   | 20        | 2G       |           | Indoor    |                 | 2G        |          | Indoor    |          | _               |
|   | Mean      | SD       | Mean      | SD        |                 | Mean      | SD       | Mean      | SD       |                 |
| ACL integral (N·s)                      | 120.04    | 60.17    | 60.12     | 29.30     | 184.85          | 569.31    | 157.10   | 336.58    | 103.41   | 9738740         |
| ACL load rate (N/s)                     | 130835.62 | 98683.33 | 101851.46 | 105484.49 | 2.92            | 151352.59 | 79027.39 | 136652.04 | 85639.02 | 0.39            |
| Patellar integral (N·s)                 | 368.81    | 126.16   | 556.94    | 201.50    | 2656.68         | 808.91    | 390.88   | 897.91    | 288.06   | 0.43            |
| Patellar load rate (N/s)                | 245518.44 | 75613.85 | 346615.91 | 189618.36 | 5.31            | 146763.38 | 51995.62 | 181376.58 | 77201.91 | 1.63            |
| Patellofemoral force integral (N·s)     | 272.08    | 112.17   | 419.84    | 178.95    | 1545.97         | 661.33    | 336.80   | 724.64    | 239.71   | 0.35            |
| Patellofemoral force load rate (N/s)    | 149456.58 | 46041.49 | 201387.17 | 90435.87  | 16.12           | 93317.93  | 35693.47 | 109493.77 | 36830.67 | 1.49            |
| Patellofemoral stress integral (MPa·s)  | 0.47      | 0.16     | 0.72      | 0.27      | 3993.67         | 1.04      | 0.51     | 1.16      | 0.37     | 0.45            |
| Patellofemoral stress load rate (MPa/s) | 295.30    | 88.25    | 409.72    | 207.64    | 7.63            | 179.01    | 64.70    | 216.02    | 81.14    | 1.62            |
| Knee abduction moment integral (Nm·s)   | 14.28     | 8.50     | -3.65     | 3.34      | 4436895         | 73.01     | 27.13    | 13.71     | 13.44    | 81161120        |
| Knee abduction moment load rate (Nm/s)  | 13815.90  | 7780.47  | 9684.01   | 7381.42   | 28.85           | 15426.31  | 7695.95  | 12834.24  | 10020.31 | 0.55            |

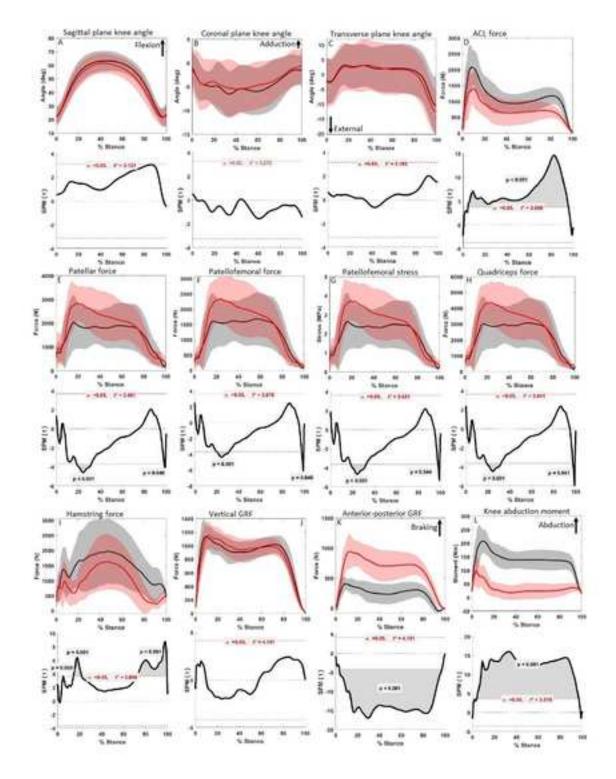
Table 2: Knee joint loading parameters (mean±SD) as a function of the experimental movement and surface conditions.

Notes: Bold Bayes factors indicate values >3, i.e. at least substantial evidence in support of  $H_1$ .









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Reviewer #1:

COMMENTS TO AUTHORS

Dear Authors,

I believe the topic of your article is interesting.

However, there are some points I would clarify, before publication.

I approve the publication of this paper after minor revision.

I have the following detailed comments:

TITLE

Ok

ABSTRACT

Well written

INTRODUCTION

The introduction provides adequate background.

METHODS

ОК

RESULTS

ОК

DISCUSSION/ CONCLUSION

It is recommend to reinforce the motivations and criteria that led you to the conclusions through this methodology and discuss why the applied method is appropriate.

**RESPONSE:** The mechanisms responsible for each conclusion is now added to each paragraph or relevance in the discussion.

With regards to the modelling methods with great respect, we feel that the efficacy of each model is already described in the methods section as part of the alterations included in the previous revision.

REFERENCES

Ok.

FIGURES

ОК