Standardization of complex biologically-derived spectrochemical datasets

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Abstract

Spectroscopic techniques, such as Fourier-transform infrared (FTIR) spectroscopy, are used to study the interaction of light with biological materials. This interaction forms the basis of many analytical assays used in disease screening and diagnosis, microbiological studies, forensic and environmental investigations. Advantages of spectrochemical analysis are its low cost, minimal sample preparation, non-destructive nature and substantially accurate results. However, there is now an urgent need for repetition and validation of these methods in large-scale studies and across different research groups, which would bring the method closer to clinical and/or industrial implementation. In order for this to succeed, it is important to understand and reduce the effect of random spectral alterations caused by inter-individual, inter-instrument and/or inter-laboratory variations, such as variations in air humidity and CO_2 levels, and the aging of instrumental parts. Thus, it is evident that spectral standardization is crucial for the widespread adoption of these spectrochemical technologies. By using calibration transfer procedures, where the spectral response of a secondary instrument is standardized to resemble the spectral response of a primary instrument, different sources of variations can be normalized into a single model using computational-based methods, such as direct standardization (DS) and piecewise direct standardization (PDS); therefore, measurements performed under different conditions can generate the same result, eliminating the need for a full recalibration. In this paper, we have constructed a protocol for model standardization using different transfer technologies described for FTIR spectrochemical applications. This is a critical step towards the construction of a practical spectrochemical analysis model for daily routine analysis, where uncertain and random variations are present.

Introduction

Vibrational spectroscopy has shown great promise as an analytical tool for the investigation of numerous sample types with wide applications in diverse sectors, such as biomedicine, pharmaceutics or environmental sciences[1-5]. Fourier-transform infrared (FTIR) spectroscopy is one of the preferred techniques for identification of biomolecules through the study of their characteristic vibrational movements. Another commonly used approach is Raman spectroscopy, which provides complementary spectral information to IR. Raman spectroscopy exploits the inelastic scattering of light whereas IR studies light absorption. Both methods have their benefits and drawbacks. A limitation of IR, for instance, is that water generates undesired peaks at the region of interest, which can mask important biological information, and therefore extra sample preparation and/or spectral processing is necessary. On the contrary, Raman spectroscopy has an inherently weak signal and fluorescence interference, which can, however, be addressed by optimizing the experimental settings or by applying enhancement techniques to increase the Raman signal. For the purposes of this protocol we have used FTIR spectroscopy to demonstrate our standardization model.

Using chemometric approaches, the system is trained to recognize unique spectral features within a sample, so that when unknown samples are introduced an accurate classification is feasible. Alterations in the measurement parameters could interfere with the spectral signature and produce random variations. Therefore, a crucial step is spectral correction, or standardization, which would provide comparable results and allow system transferability. The idea is that non-biological variations, such as those arising from different users, locations or instruments, will no longer affect the classification result; therefore any collected data could be imported into a central database and handled for further exploration or diagnostic purposes. Several groups and companies

worldwide are developing spectrochemical approaches for diagnosis, discrimination and monitoring of diseases, as well as for other uses. Combination of multiple datasets would facilitate the conduction of large-scale studies which are still lacking in the field of bio-spectroscopy.

Sensor-based technologies

Sensor-based technologies are an integral part of daily life ranging from locating sensor-based technology, such as global positioning system (GPS)[6], to image biosensors, such as X-rays[7-10] and γ-rays[11-13], which are used extensively for medical applications. Other powerful approaches that make use of sensor-based technologies toward medical disease examination and diagnostics include circular dichroism (CD) spectroscopy[14-17], ultraviolet (UV) or visible spectroscopy[18,19], fluorescence[20-24], nuclear magnetic resonance (NMR) spectroscopy[25-29] and ultrasound (US) [7,30-33].

Over the last two decades, optical biosensors employing vibrational spectroscopy, particularly IR spectroscopy, have seen tremendous progress in biomedical and biological research. A number of studies using the above-mentioned methods have focused on cancer investigation with malignancies such as brain[34-37], breast[38-40], oesophagus[41,42], skin[43-47], colorectal[48-50], lung[51-53], ovarian[54-58], endometrial[55,59,60], cervical[61-64] and prostate[65-68] cancer being some of them. Non-cancerous diseases have also been examined, namely neurodegenerative disorders[69-72], HIV/AIDS[73], diabetes[74-76], rheumatoid arthritis[77,78], cardiovascular diseases[79,80], malaria[81-83], alkaptonuria[84], cystic fibrosis[85], thalassemia[86], prenatal disorders[87,88], macular degeneration[89,90], atherosclerosis[80,91] and osteoarthritis[92-94].

Limitations

Spectrochemical approaches are advantageous when compared with traditional molecular methods as they provide a holistic status of the sample under interrogation, thus generating typical spectral regions widely known as "fingerprint regions". These methods have also been shown to be rapid, inexpensive and non-destructive while they also improve diagnostic performance and eliminate subjective diagnosis (*e.g*., histopathological diagnosis), where inter- and intra-observer variability are present[95]. However, like any other analytical method, vibrational spectroscopy also comes with some limitations. For instance, prior to FTIR studies, optimization of instrumental settings, sample preparation and operation mode also needs to be conducted in order to improve the spectral quality and molecular sensitivity[4,96,97]. Overall, the above-mentioned barriers can be overcome after careful consideration of the experimental design.

A considerable limitation that is yet under-investigated in the field of spectrochemical techniques is associated with the difficulties entailed in data conformation and system standardization. Currently, there are multiple pilot studies showing promising results but an approach towards standardization for biological applications is lacking. Random variation between studies can originate from differences in instrumentation, operators, and environmental conditions, such as room temperature and humidity.

The main objective of this article is to present a protocol for model standardization which can be applied in FTIR spectrochemical techniques to rule out the chance of random spectral alterations. Inter-individual, inter-instrument, inter-sample and/or inter-laboratory variations can be a source of unwanted, non-biological alterations, thus leading to incorrect conclusions. However, for a method to become reliable and clinically translatable, it is important that measurements performed under different conditions generate comparable results. The aim of the

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spectral standardization model presented here is to expedite multi-centre studies with large numbers of samples; this would bring these spectrochemical techniques closer to clinical implementation and facilitate life-changing decisions. We describe a protocol that has four main components: (i) sample preparation, (ii) spectral acquisition, (iii) data pre-processing and (iv) model standardization. The current protocol has an in-depth insight obtained from cross-laboratory collaborations with leading experts in the field. This article offers a step-by-step procedure, which can be implemented by a non-specialist in spectrochemical studies. For further information about instrumental and software options, spectral acquisition steps and data analysis for a range of different analytical systems the reader is directed towards additional protocols[4,98-105].

Applications

Spectrochemical approaches, in combination with computational analysis, have been proven to be effective for biomedical research through facilitating the diagnosis, classification, prognosis, treatment stratification and modulation or monitoring of a disease and treatment. However, these techniques are widely applicable to other fields as well, namely food industry[106-109], toxicology[2,110-112], microbiology[113-118], forensics[119-123], pharmacy[2,3,124], environmental and plant science[125-127], as well as defence and security[128-130]. Applications of standardization algorithms vary according to the spectral technique and sample matrix studied, and have been mostly applied to Raman and Fourier-transform near-infrared (FT-NIR) spectroscopy. Table 1 summarizes some standardization applications.

1   **Table 1.** Examples of applications involving standardization techniques.

Sample matrix	Spectroscopic technique	Aim	Ref.
Tissue	Raman	Standardization of various perturbations on Raman spectra for diagnosis of breast cancer based on snap frozen tissues	[131]
	Raman	Standardization of spectra acquired in 3 different sites for analysing oesophageal samples based on snap frozen tissues	[132]
Cells	Raman	Standardization of spectra acquired with 4 different instruments for classification of three different cultured spore species	[133]
Biofluids	FT-NIR	Standardization of spectra acquired with 3 different instruments for measuring haematocrit in the blood of grazing cattle	[134]
	LC-MS	Standardization of spectra acquired with 2 different instruments for mapping rendition times and matching metabolite features of subjects diagnosed with small cell lung cancer based on blood serum and plasma samples analysis	[135]
Pharmaceutical materials	Raman	Standardization of spectra acquired with 5 different instruments for analysing various pharmaceutical excipients, active pharmaceutical ingredients (APIs) and common contaminants	[136]
	FT-NIR	Standardization of spectra acquired with 2 different instruments for simultaneous determination of rifampicin and isoniazid in pharmaceutical formulations	[137]
	FT-NIR	Standardization of spectra acquired with 2 different instruments for predicting content of 654 pharmaceutical tablets	[138]
Food	FT-NIR	Standardization of spectra acquired with 3 different instruments for predicting parameters in corn samples	[138] [139]
	FT-NIR	Standardization of spectra acquired with 2 different instruments for predicting vitamin C in navel orange	[140]
	FT-NIR	Standardization of spectra recorded in 4 different labs for determining moisture, proteins and oil content in soy seeds	[141]
	FT-NIR	Standardization of spectra acquired by a benchtop and portable instrument for determining total soluble solid contents in single grape berry	[142]
	UV-Vis	Standardization of visible spectra acquired with 3 different instruments for measuring pH of Sala mango	[143]
Plant	FT-NIR	Standardization of spectra acquired with 2 different instruments for predicting baicalin contents in radix scutellariae samples	[139]
	FT-NIR	Standardization of spectra acquired by 2 different instruments and in three physical states (powder, filament and intact leaf) for determining total sugars, reducing sugars and nicotine in tobacco leaf samples	[144]
	NMR	Standardization of spectra acquired with 3 different instruments for authenticity control of sunflower lecithin	[145]
Cosmetic	CD spectroscopy	Standardization of spectra acquired between standard and real-world samples for determining Pb^{2+} in cosmetic samples	[146]
Inorganic substances	FT-IR	Standardization of interferogram spectra acquired with 2 instruments for classifying acetone and SF_6 samples	[147]
Fuel	FT-IR	Standardization of spectra acquired with 2 different instruments for predicting density of crude oil samples	[148]

2

Model transferability

Transferability models have been previously developed, however this is still an under-investigated field, especially for biomedical applications. These models use computer-based methods to standardize spectral data generated across different experimental settings (*e.g.*, different instruments, operators or laboratories). An inclusive standardization protocol that could be implemented in a range of different spectrochemical approaches is of great need. Differences are present even between identical instruments; for instance, changes in signal intensity caused by replacement, alignment or ageing of optical and spectrometer components, natural variations in optics and detectors construction, changes in measurement conditions (temperature and humidity), changes in physical constitution of the sample (particle size and surface texture) and operator discrepancies could all lead to wavenumber shifts and artefacts in the spectra. In all of these cases, prediction errors of the estimated group categories (*e.g.*, whether the sample is classified as healthy or cancerous) can become very large, especially when the whole spectrum is used in the model. Standardization techniques aim to generate a uniform spectral response under differing conditions, ensuring the interchangeability of results obtained in different situations, without having to perform a full calibration for each situation.

Previous standardization methods include the use of simple slope and bias correction[149,150], direct standardization (DS)[151-155], piecewise direct standardization (PDS)[149,156-158], piecewise linear discriminant analysis (PLDA)[147], guided model reoptimization (GMR)[158], back-propagation neural network (BNN)[147], generalized least squares weighting (GLSW)[159], model updating (MU)[160,161], orthogonal signal correction (OSC)[162,163], orthogonal projections to latent structures (OPLS)[148], wavelet hybrid direct standardization (WHDS)[157], maximum likelihood PCA (MLPCA)[164], Shenk and Westerhaus method (SW)[165,166], positive matrix factorization (PMF)[167,168], artificial neural networks (ANN) drift correction[169], transfer *via* extreme learning machine auto-encoder method (TEAM)[170],

28 calibration transfer based on the maximum margin criterion (CTMMC)[171], calibration transfer

29 based on canonical correlation analysis (CTCCA)[172] and calibration methods, such as

30 wavenumber offset correction, instrument response correction and baseline correction[132]. In

31 this protocol, we use direct standardization (DS) and piecewise direct standardization (PDS),

32 because they are the most common methods for spectral standardization.

33 **Direct standardization.** DS is one of the most used methods for data standardization. It was

34 initially proposed to correct relatively large spectral differences between data collected from

35 the same sample measured by two different instruments[149]. In DS, the entire spectrum from a

36 new secondary response (*e.g.*, a different instrument) is transformed to resemble the spectrum

37 from the primary source (*e.g.*, original instrument)[151]. This is performed based on a linear

38 relationship between the data acquired under different circumstances[160]:

39 $$\mathbf{S}_1 = \mathbf{S}_2\mathbf{F} \tag{01}$$

40 where $\mathbf{S}_1$ represents the data acquired for the primary response; $\mathbf{S}_2$ represents the data acquired

41 for the secondary response; and $\mathbf{F}$ is the transformation matrix that maintains the relationship

42 between $\mathbf{S}_1$ and $\mathbf{S}_2$.

43 The transformation matrix $\mathbf{F}$ is estimated in a least-squares sense by[173]:

44 $$\mathbf{F} = \mathbf{S}_2^+\mathbf{S}_1 \tag{02}$$

45 where $\mathbf{S}_2^+$ is the pseudo-inverse of $\mathbf{S}_2$, calculated by:

46 $$\mathbf{S}_2^+ = (\mathbf{S}_2^T\mathbf{S}_2)^{-1}\mathbf{S}_2^T \tag{03}$$

47 in which T stands for the matrix transpose operation.

48 Then, when samples are measured under the secondary system, the signals generated $\mathbf{X}$

49 are transformed to resemble the primary system response by[160]:

50    $$\widehat{\mathbf{X}}^{\mathrm{T}} = \mathbf{X}^{\mathrm{T}}\mathbf{F} \qquad\qquad\qquad (04)$$

51   where $\widehat{\mathbf{X}}$ is the standardized response for $\mathbf{X}$.

52   Problems related to different background information between instruments can affect

53   the standardization procedure. To correct for this, the standardization process is usually adapted

54   with the background correction method[173], in which the transformation matrix described in Eq.

55   02 is calculated with a background correction factor ($\mathbf{F}_{\mathrm{b}}$) and an additive background correction

56   vector $\mathbf{b}_{\mathrm{s}}$ as follows:

57    $$\mathbf{S}_1 = \mathbf{S}_2\mathbf{F}_{\mathrm{b}} + \mathbf{1}\mathbf{b}_{\mathrm{s}}^{\mathrm{T}} \qquad\qquad\qquad (05)$$

58   where $\mathbf{1}$ is an all-ones vector and $\mathbf{b}_{\mathrm{s}}$ is obtained by:

59    $$\mathbf{b}_{\mathrm{s}} = \mathbf{s}_{1\mathrm{m}} - \mathbf{F}_{\mathrm{b}}^{\mathrm{T}}\mathbf{s}_{2\mathrm{m}} \qquad\qquad\qquad (06)$$

60   in which $\mathbf{s}_{1\mathrm{m}}$ is the mean vector of $\mathbf{S}_1$ and $\mathbf{s}_{2\mathrm{m}}$ is the mean vector of $\mathbf{S}_2$.

61   One of the key steps for DS is the selection of the number of samples to transfer (called

62   "transfer samples"). These are samples' spectra from the primary system ($\mathbf{S}_1$) that will be used

63   to transform the signal obtained using the secondary system ($\mathbf{S}_2$). The transfer samples are

64   obtained from a same cohort of samples (*e.g.*, plasma samples) measured in the two instruments

65   (primary and secondary systems). Usually, the procedure for selecting transfer samples is based

66   on sample selection techniques, such as Kennard-Stone (KS) algorithm[174] or leverage[149].

67   Subsequently, the number of transfer samples is evaluated using a validation set through an

68   arbitrary cost function. For quantification applications, a common cost function is the root-

69   mean-square error of prediction, while for classification one can use the misclassification rate.

70   A disadvantage of DS is that each transformed variable is calculated using the whole

71   spectrum, which carries a high risk of overfitting. The estimation of $\mathbf{F}$ in Eq. (02) is an ill-

72   conditioned problem, because the number of variables (*e.g.*, wavenumber) may be much larger

73   than the number of standard samples.

74   **Piecewise direct standardization.** PDS is another standardization procedure commonly

75   employed for system transferability. It is based on DS, however it uses windows (*e.g.*,

76   wavenumber portions) to make the standardization process more suitable for smaller regions

77   of the data. When compared to DS, PDS is calculated by using the transformation matrix **F**

78   with most of its off-diagonal elements set to zero[149]. With this, PDS fits minor spectral

79   modifications not covered by DS. PDS is the technique of preference for correcting smaller

80   spectral variations, such as small wavelengths shift, intensity variations, and bands enlargement

81   and reduction[149]. In addition, an advantage of PDS compared to DS is that the local rank of

82   each window will be smaller than the rank of the whole data matrix, which means that the

83   number of standard samples can be smaller, and indeed good results have been obtained with

84   very few samples.

85   One disadvantage of PDS is the need of an additional optimization process, because in

86   addition to the number of transfer samples, PDS also needs a window size optimization, which

87   might lead to a risk of overfitting. In this protocol, window size optimization is made using a

88   cost function expressed as the misclassification rate calculated for each window size tested,

89   being evaluated using a validation set where the window with smaller misclassification is

90   selected for final model construction.

91   Experimental Design

92   Any study using vibrational spectroscopy, follows these general steps: careful

93   experimental design, protocol optimisation and development of experimental procedure

94   document, sample collection and preparation, spectral collection, pre-processing of the derived

95   information and lastly the use of chemometrics for exploratory, classification and

standardization purposes. FTIR spectroscopy is described in more detail in this study, however, the standardization protocol described here can be adapted to a range of techniques, including attenuated total reflection (ATR-FTIR), transmission and transflection FTIR, near-IR (NIR), UV-visible, NMR spectroscopy and mass spectrometry (MS). Nevertheless, intrinsic features of each technique should be taken into consideration before standardization and the protocol may change depending on the application of interest.

A number of biological samples can be analyzed with the above-mentioned analytical methods such as tissues, cytological materials or biological fluids. Sample type and preparation may differ depending on the technique that is employed each time. For instance, IR spectroscopy is limited by water interference at the fingerprint region that can mask the signal of the analyte close to the water peak. This could be addressed with an extra step of sample drying, in contrast to Raman spectroscopy, for example, where water does not generate signal in this region.

Typical steps for sample preparation, acquisition of spectra and data pre-processing are briefly presented here. However, the main focus of this protocol is placed on the calibration transfer and standardization procedures. Readers are directed to additional literature for more detailed information regarding sample format and preparation[4,98-100,105,175-177], suitability of substrates[4,99], instrumentation settings[4,98,99,105,175,177,178] or available software packages (Table 2) and manufacturers[4,99].

Table 2. Software packages for data standardization.

Software	Website	Description	Availability
PLS_Toolbox	http://www.eigenvector.com/	MATLAB toolbox for chemometric analysis. Contains standardization routines using DS, PDS, double window PDS, spectral subspace transformation, GLSW, OSC, and alignment of matrices.	Commercial
Unscrambler® X	http://www.camo.com/	Software for multivariate data analysis and design of experiments.	Commercial

		Contains standardization routines using interpolation, bias and slope correction, and PDS.	
OPUS	https://www.bruker.com/	Spectral acquisition software with data processing features. Contains a standardization routine using PDS.	Commercial
Pirouette®	https://infometrix.com/	Chemometrics modelling software. Contains standardization routines using DS and PDS.	Commercial

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117 Experimental design: sampling

118 **Sample preparation.** Biological samples have been studied extensively with spectrochemical

119 techniques for disease research. Tissue specimens can be analysed fresh, snap-frozen or

120 formalin-fixed, paraffin-embedded (FFPE). Fresh or snap-frozen histology sections are

121 preferable as they are devoid of contaminants whereas FFPE treatment contributes to

122 characteristic peaks, hindering the biological information. FFPE tissues can be deparaffinized

123 either by chemical methods (*e.g*., incubation in xylene, hexane or Histo-Clear solutions)[4],

124 which can alter tissue structures and be inefficient for the complete wax removal[179], or by

125 applying chemometrics (*e.g*., digital dewaxing)[180,181], which keeps the tissue intact but might

126 introduce artefacts due to over- or under-estimation of the wax contribution[179].

127 Fixatives, such as ethanol, methanol or formalin, are often used for the preservation of

128 cytological material, also generating strong peaks and interfering with the spectra; thus, a

129 washing step is crucial before spectroscopic interrogation. Fixation in tissue or cells for

130 preservation purposes generates protein cross-linking which can cause changes in the spectra,

131 especially on the Amide I peak[182]. Alternatively, cells can be studied live after washing from

132 residual medium.

133 Preparation and pre-treatment of biological fluids depend on the sample type. Some of

134 the biofluids that have been previously used in spectroscopic studies include blood (whole

135 blood, plasma or serum), urine, sputum, saliva, tears, cerebrospinal fluid (CSF), synovial fluid,

136 ascitic fluid or amniotic fluid[183-185]. An initial centrifugation step should precede analysis in

13

137   cases where the cells present in these fluids are not the focus of the study; the supernatant could

138   then be kept for further analysis. In blood-based studies, the user should also consider the

139   anticoagulant of preference (*e.g*., EDTA, citrate or heparin) as it could generate unwanted

140   spectral peaks[186-188]. Careful planning of experiments as well as consistence throughout a study

141   are of great importance for the generation of robust results. Care should be taken to generate

142   samples that are stable, since the spectral differences between the data collected under different

143   situations (*e.g*., different instruments or temperature) should be directly related to the difference

144   between the systems and not a change caused by chemical or physical degradation of the

145   samples. Optimal sample thickness, suitability of substrates and sample formats can differ from

146   one analytical technique to another and thus the user should decide and tailor these according

147   to the study's objective (a list with appropriate substrates is given in the Materials-Equipment

148   section). Another consideration is the number of freeze-thaw cycles and long-term storage as

149   these could compromise the integrity of the samples[186,189]. Preferably, FFPE tissue samples

150   should be analysed after thorough dewaxing and freeze-thaw cycles or long-term storage

151   avoided since these could result in many confounding factors for analysis.

152   **Spectral acquisition.** Depending on the study's objective, FTIR spectral information can be

153   collected using either point spectra or imaging.

154   FTIR spectra can be collected in different operational modes, namely ATR-FTIR,

155   transmission or transflection. Instrument parameters such as resolution, aperture size,

156   interferometer mirror velocity and co-additions have to be optimised before acquisition of

157   spectra to achieve high SNR[4,98]. Metal surfaces can also be used to increase the IR signal in a

158   technique known as surface-enhanced IR absorption (SEIRA)[190,191]. As water interference can

159   mask biological information in IR spectra, the user can purge the spectrometer with dry air or

160   nitrogen gas to reduce the internal humidity of the instrument, or use computational analysis to

161   remove the water signature. In addition, samples should be dried until all water content

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162 evaporates; however, drying of a sample is not without consequences, since chemical changes

163 may occur such as loss of volatile compounds. A background sample is collected regularly to

164 account for any changes in the atmospheric or instrument conditions.

165   For analysing homogenous samples (*e.g.*, biofluids), measurements can be performed

166 by acquiring spectra on different regions of the centre of a drop and across its borders. In

167 transmission measurements, the sample can be measured raw or diluted. Usually, 10 spectra

168 are collected per sample. A higher number of spectral replicas can be performed to decrease

169 the standard-deviation (SD) between measurements, since the SD is proportion to $1/\sqrt{n}$, where

170 n is the number of replicas. For heterogeneously distributed samples (*e.g.*, tissues), spectra

171 should be acquired covering the sample surface as uniformly as possible, to ensure that all

172 sources of variation in the samples are stored in the spectral data. Samples replicas are also

173 recommended at least as triplicates. For precision estimation, at least six replicates at three

174 levels should be performed. The minimum number of samples for analysis can be estimated

175 using a power test at an 80% power[192]. Further details regarding sampling methodologies for

176 analysing biological materials using FT-IR spectroscopy can be found in our previous

177 protocols[4,98].

178 Experimental design: data quality evaluation

179   Before processing, the data can be assessed to identify presence of anomalous

180 behaviours or biased patterns. This can be made initially by visual inspection (*e.g.*,

181 identification of very anomalous spectra) followed by Hotelling T^2 *versus* Q residuals charts

182 using only the mean-centred spectra. PCA residuals[193] can be explored to identify biased

183 patterns, in which heteroscedastic distributions are signs of biased experimental measurements;

184 while homoscedastic distributions are associated with good sampling. SNR can be estimated

185 by dividing the power (*P*) of signal by the power of noise, that is SNR $= P_{signal}/P_{noise} =$

186 $\left(A_{signal}/A_{noise}\right)^2$, where A is the amplitude; or by the inverse of the coefficient of variation,

187 when only non-negative variables are measured. Collinearity can be evaluated by calculation

188 of the condition number, which is a matrix calculation that measures how sensitive the result

189 is to perturbations in the input data (*i.e.*, spectra) and to roundoff errors made during the

190 solution process. This value is naturally high for spectral data (high collinearity).

191 Experimental design: pre-processing

192   Data pre-processing is used to maximise the SNR. This process is fundamental for

193 correcting physical interferences, such as light scattering, different sample thickness, different

194 optical paths and instrumental noise. Therefore, the pre-processing step has fundamental

195 importance to highlight the signal of interest, reduce interferences and possibly correct

196 anomalous samples.

197   For standardization applications, the pre-processing step is also important for reducing

198 differences between the different systems that are used. Before any additional pre-processing,

199 the spectrum should be trunctated to the biofingerprint region (*e.g.*, 900-1800 cm^{-1}) before

200 analysis. This region contains the main absorptions from biochemical compounds and it suffers

201 only minor effects of environmental variability, such as air humidity (free νO-H = 3650–3600

202 cm^{-1}, hydrogen-bonded νO-H = 3400 – 3300 cm^{-1}) and air CO_2 ($\nu_s CO_2$ = 2350 cm^{-1})[194]. Table

203 **3** summarizes the main pre-processing techniques for correcting noise in biologically-derived

204 datasets.

205   **Table 3.** Main pre-processing used for biologically-derived datasets.

Pre-processing	Interfering	Technique	Advantage	Disadvantage	Optimization
Savitzky-Golay smoothing[195]	Instrumental noise	ATR-FTIR, FTIR, NIR, Raman, NMR, UV-Vis	Corrects spectral noise without changing the shape of data significantly	The polynomial order and window size for polynomial fit affects the result	The polynomial function should have an order similar to the spectral data (*e.g.*, 2nd order polynomial function for IR data) and the window size should be an odd number and not too small (keeping the noise) or too large (changing the spectral shape)
Multiplicative scatter correction (MSC)[196]	Light scattering (Mie scattering), different pressure over the sample when using ATR or probe, different lengths of optical path	ATR-FTIR, FTIR, NIR, Raman, NMR, UV-Vis	Corrects light scattering maintaining the same spectral shape and signal scale	Need of a reference spectrum representative of all measurements	The reference spectrum is regularly set as the average spectrum across all training samples
Standard normal variate (SNV)[197]	Light scattering (Mie scattering), different pressure over the sample when using ATR or probe, different lengths of optical path	ATR-FTIR, FTIR, NIR, Raman, NMR, UV-Vis	Corrects light scattering maintaining the same spectral shape	Creates negative signals since the data are centralized to zero (y-scale)	--
Spectral differentiation[195]	Light scattering (Mie scattering), different pressure over the sample when using ATR or probe, different lengths of optical path, background absorption interfering	ATR-FTIR, FTIR, NIR, Raman, NMR, UV-Vis	Corrects light scattering and baseline problems; highlights smaller spectral differences	Changes the signal scale, shifts the data and increases noise	The order of the derivative function should be used carefully to avoid increased noise (usually 1st or 2nd order differentiation is preferred). The differentiation can be coupled to Savitzky-Golay smoothing
Baseline correction[198]	Background absorption interfering	ATR-FTIR, FTIR, NIR, Raman, NMR, UV-Vis, MS	Corrects the baseline maintaining the same spectral shape	--	There are many methods for baseline correction (*e.g.*, rubber band, automatic weighted least squares, Whittaker filter). The method chosen should be maintained consistent for all systems used

Normalization[95]	Different sample thickness and concentration	ATR-FTIR, FTIR, Raman	Avoids influence of non-desired signals among the samples	The normalization might hide signal differences between samples at important bands, such as Amide I and Amide II; and also may introduce non-linearities	--

206

207   Figure 1 shows the effect of a pre-processing approach employed for a blood plasma

208   dataset acquired under different experimental conditions (*i.e.*, different systems and operators).

209   In this Figure, the reduction of the spectral differences between the systems is evident after

210   data pre-processing (Savitzky-Golay smoothing, MSC, baseline correction and normalization).

211   After pre-processing (Table 3), a scaling step should be done, because most

212   classification methods require all the variables (*e.g.*, wavenumbers) in the dataset to be at the

213   same scale in order to work properly.

214   For spectral data, mean-centring (also referred as "standardization" by Hastie et al.[199])

215   is a very reasonable approach, after which all variables in the dataset will have zero mean.

216   When data contain values represented by different scales (*e.g.*, after data fusion using both IR

217   and Raman spectra), block-scaling should be used, where each block of data (*i.e.*, data from

218   each instrumental technique) would have the same sum-of-squares (normally after mean-

219   centring).

220   Another important aspect of pre-processing is the order in which each step is applied.

221   Pre-processing should be employed in a logical order so that the next pre-processing step is not

222   affected by the previous one. For example, pure spectral differentiation cannot be employed

223   before smoothing, since the spectral differentiation will increase the original noise. Therefore,

224   smoothing should be applied before differentiation. Albeit, Savitzky-Golay routine

225   incorporates smoothing and spectral differentiation so, in practical terms, these can be

226   performed together. To summarise, the suggested order of pre-processing is as follows:

227   1. Spectral Truncation

228   2. Smoothing

229   3. Light scattering correction

230   4. Baseline correction

19

231   5. Normalization

232   6. Scaling

233   Further details about these pre-processing steps are provided in "Procedure: Data pre-

234   processing" section. When using different instruments but same type of sample, the pre-

235   processing steps should be the same for the data acquired under different circumstances.

236   Experimental design: data analysis

237   **Sample splitting.** Sample splitting is fundamental for constructing a predictive chemometric

238   model. It consists of a data analysis step performed before construction of a chemometric

239   model, in which a portion of the samples are assigned to a training set, while the remaining

240   samples are assigned to a validation and/or test set. The training set is used for model

241   construction, the validation set for model optimization, and the test set for final model

242   evaluation. The process of dividing the samples in three sets can be performed manually or by

243   computer-based methodologies. Manual splitting can generate biased results, therefore we

244   recommend a computational-based split instead. Some examples of these include random

245   selection, leverage[149] or the KS algorithm[174]. KS works based on Euclidian distance calculation

246   by firstly assigning the sample with the maximum distance to all other samples to the

247   calibration set, and then by selecting the samples which are as far away as possible from the

248   selected samples to this set, until the designed number of selected samples is reached. This

249   ensures that the calibration model will contain samples that uniformly cover the complete

250   sample space, where no or minimal extrapolation of the remaining samples are necessary;

251   avoiding problems of manual or random selection, such as non-reproducibility and non-

252   representative selection. Usually, the dataset is split with 70% of the samples assigned for

253   training, 15% for validation and 15% for test. In this case, the test set is dependent on the initial

254   group of samples measured, and it is not a regular independent test set where a new set of

255   similar samples are measured.

256   **Exploratory analysis.** Exploratory analysis is an important tool to provide an initial

257   assessment of the data. Using exploratory analysis, the analyst can see the clustering patterns

258   and then draw conclusions related to the nature of samples, outliers and experimental errors.

259   One of the most common techniques for exploratory analysis is principal component analysis

260   (PCA), in which the original data are decomposed into a few principal components (PCs)

261   responsible for most of the variance within the original dataset. The PCs are orthogonal to each

262   other and are generated in a decreasing order of explained variance, so that the first PC

263   represents most of the original data variance, followed by the second PC and so on[200].

264   Mathematically the decomposition takes the form:

265    $$\mathbf{X} = \mathbf{T}\mathbf{P}^{\mathrm{T}} + \mathbf{E} \tag{07}$$

266   where $\mathbf{X}$ represents the pre-processed data (*e.g.*, pre-processed samples' spectra); $\mathbf{T}$ are the

267   scores; $\mathbf{P}$ are the loadings; and $\mathbf{E}$ are the residuals.

268   The PCA scores represent the variance in the sample direction and they are used to

269   assess similarities/dissimilarities among the samples, thus detecting clustering patterns. The

270   PCA loadings represent the variance in the variable (*e.g.*, wavenumber) direction and they are

271   used to detect which variables show the highest importance for the pattern observed on the

272   scores. The PCA loadings are commonly employed as a tool for searching spectral markers

273   that distinguish different biological classes[201]. The PCA residuals represent the difference

274   between the decomposed and original data and can be used to identify experimental errors.

275   Ideally, the PCA residuals should be random and close to zero, representing a heteroscedastic

276   distribution. Otherwise, they can indicate experimental bias according to a homoscedastic

277   distribution.

278   For standardization applications, PCA is a fast, intuitive and reliable tool to observe if

279   there are differences between the spectra acquired by different systems. Ideally, if the same

280   sample is measured under different conditions (different laboratories, instrument

281   manufacturers or user operators) their PCA scores should be random and completely

282   superposed. If a discrimination pattern is observed on the PCA scores, then it is indicative that

283   the data need standardization. Figure 2 illustrates a PCA scores plot from the same samples

284   (blood plasma of healthy controls) measured using three IR instruments before (Fig. 2a) and

285   after (Fig. 2b) PDS. Even though the samples in Fig. 2a are pre-processed, three different

286   clusters are still evident. After PDS the samples measured using different systems are

287   normalized into a single cluster.

288   **Outlier detection.** Outlier detection is important to prevent samples, which differ from the

289   original dataset, from affecting the results using predictive models. Outliers can be attributed

290   to experimental errors, such as inconsistent sample preparation or spectral acquisition, or to

291   larger experimental noise, such as Johnson noise, shot noise, flicker noise and environmental

292   noise. These samples can have large leverage for classification, masking the real signal from

293   the samples of interest; therefore, it is advised that they be removed from the dataset used to

294   train the predictive model.

295   To detect outliers, techniques such as Jack-knife[202], Z-score[203] or *K*-modes clustering[204]

296   can be utilised among others[205]. One of the most popular and visually intuitive technique for

297   detecting outliers is the Hotelling T^2 *vs* Q residual test[206]. In this test, a chart is created using

298   the Hotelling T^2 values in *x*-axis and the Q residuals in the *y*-axis, generating a scatter plot. The

299   Hotelling T^2 represents the sum of the normalized squared scores, which is the distance from

300   the multivariate mean to the projection of the sample onto the PCs[207]. The Q residuals represent

301   the sum of squares of each sample in the error matrix, thus measuring the residues between a

302   sample and its projection onto the PCs[207]. All samples far from the origin of this graph are

303   considered outliers and should be removed one at a time, as the PCA is highly influenced by

304   the samples that are included in the model. Samples with high values in both Hotelling T^2 and

305 Q residuals are the worst outliers; while samples with high values in only one of these axis are

306 the second worst outliers. Supplementary Method 1 illustrates an example for outlier detection.

307 Squared confidence limits can be draw based on this graph; however, this can hinder outlier

308 detection. For example, if the confidence limits is set at a 95% level, certain amount of data-

309 points (5%) should be statistically outside these boundaries.

310 **Classification.** Classification techniques are employed for sample discrimination. Using

311 chemometric analysis, one can distinguish classes of samples based on their spectral features

312 and then make further predictions based on these. The prediction capability of a classification

313 model should be evaluated with external samples (unknown samples) through the calculation

314 of figures of merit, including accuracy (proportion of samples correctly classified considering

315 true positives and true negatives), sensitivity (proportion of positives that are correctly

316 identified) and specificity (proportion of negatives that are correctly identified)[208].

317   There are many types of classification techniques for spectral data. Table 4 summarizes

318 the main classification techniques employed for bio-spectroscopy applications, along with their

319 advantages and disadvantages.

320   **Table 4.** Classification techniques.

Classification Technique	Advantage	Disadvantage
Linear discriminant analysis (LDA)[209]	Simplicity, fast calculation	Needs data reduction, does not account for classes having different variance structures, greatly affected by classes having different sizes
Quadratic discriminant analysis (QDA)[209]	Fast calculation, accounts for classes having different variance structures, not much affected by classes having different sizes	Needs data reduction, higher risk of overfitting
Partial least squares discriminant analysis (PLS-DA)[210]	Fast calculation, high accuracy	Greatly affected by classes having different sizes, needs optimization of the number of latent variables (LVs)
K-Nearest Neighbours (KNN)[211]	Simplicity, non-parametric, suitable for large datasets	Time consuming, needs optimization of the distance calculation method and k value, highly sensitive to the "curse of dimensionality"[199]
Support vector machines (SVM)[212]	Non-linear classification nature, high accuracy	High complexity, high risk of overfitting, needs optimization of kernel function and SVM parameters, time consuming

Artificial neural networks (ANN)[213]	Non-linear classification nature, ability to work with incomplete knowledge, high accuracy	High computational cost, needs optimization of the number of neurons and layers, no interpretability ("black box" model)
Random forests[214]	Non-linear classification nature, high accuracy, relatively low computational cost	High risk of overfitting, needs optimization of the number of trees, no interpretability ("black box" model)
Deep learning approaches[215]	Non-linear classification nature, native feature extraction (e.g., in convolutional neural networks (CNN)), local spatial coherence (CNN), high accuracy	High computational cost, needs hyperparameter optimization, needs large datasets, time consuming, no interpretability ("black box" model)

321

When employing classification techniques, one must follow a parsimony order[216], where the simplest algorithms should be used first, reducing the need for more complex algorithms which would require more optimization steps. An order for using these classification algorithms is: LDA>PLS-DA>QDA>KNN>SVM>ANN>Random forests>Deep learning approaches, from the simplest to the most complex.

Classification algorithms can be coupled to feature extraction and feature selection techniques in order to reduce data collinearity/redundancy, thus reducing the risk of overfitting in the classifier training, and speeding up such training, as there are less variables involved. An additional benefit of such a feature extraction/selection step is to provide spectral markers identification as a "side-effect" (depending on the feature extraction/selection method applied). For feature extraction, the most popular technique is PCA. In this case, a PCA is firstly applied to the data, and then the PCA scores are used as the input variables (instead of the wavenumbers data points) for the classification techniques mentioned above[217]. PLS-DA is also a feature extraction technique[210], and normally it performs better than a PCA followed by LDA, as the scores from a PCA does not necessarily describe the difference between the samples, but rather the variance in the data. In PLS-DA, a partial least squares (PLS) model is applied to the data in an interactive process reducing the original variables to a few number of LVs, where a LDA is used for classifying the groups[218]. Other discriminant classifiers, in particular QDA, also could be used in this classification step to circumvent problems observed with LDA. For feature

selection, there are many techniques commonly employed in biological datasets, including genetic algorithm (GA)[219] and successive projections algorithm (SPA)[220]. The variables (*e.g.*, wavenumbers) selected by these techniques are used as input variables for the classification models described in Table 2. An important advantage of GA is its relatively low-computational cost compared to SPA and reduction of data collinearity. Furthermore, GA-based techniques are intuitive and simple to understand in the algorithmic sense but they also have a non-deterministic nature and require optimization of many parameters. SPA's advantage relies on its deterministic nature, minor parameter optimization and reduction of data collinearity, however, it is very time consuming. For hyperspectral imaging, feature selection can also be performed by Minimum Redundancy Maximum Relevance (mRMR) algorithm[221], where the selection process is based on maximizing the relevance of extracted features and simultaneously minimize redundancy between them.

Standardization. Data standardization should be employed when a primary classification model is built and new data comes to be predicted from a secondary system (different laboratory or instrument manufacturers), or when there is a change in instrument components (*e.g.*, laser, gratings, etc.) or when the data of the chemometric model are acquired under different circumstances (different analysts, days, instrumental settings, etc.). As previously mentioned, the most common and reliable methods for data standardization are the DS and PDS algorithms. These methods can be found in a few software packages (described in Table 3).

Figure 3 summarises the standardization protocol using DS applied to spectra acquired under different conditions. The first step consists of applying KS algorithm for selecting the number of transfer samples from the primary system as well as the number of training samples for the secondary systems, which is ideally 70% of the dataset. Thereafter, the DS transform generation algorithm is employed to estimate the transform matrix. The validation set of the

366 secondary system is then used with the classification model of the primary system to evaluate

367 the optimum number of transfer samples. This optimization step is repeated depending on the

368 number of transfer samples from the primary system. After this number is defined, the

369 validation set of the secondary system is finally standardized and the final classification model

370 is subsequently applied. This procedure is realized with a certain number of samples measured

371 in all instruments being standardized. This procedure should be realized in as similar manner

372 as possible to reduce spectral differences. After the model is standardized and proper validated,

373 new external samples can be measured in any of the instruments and predicted by the

374 standardized classification model.

375 For PDS, an extra step is added after defining the number of transfer samples to estimate

376 the optimum window size. The dashed region in Fig. 3 is repeated according to the window

377 size.

378 For multi-laboratory studies the flowchart depicted in Fig. 4 illustrates how the

379 standardization protocol should be employed.

380 In Fig. 4, spectra acquired under different experimental conditions are used for a global

381 standardization model. A primary system should be designated and then all spectra from

382 secondary systems are equally pre-processed, followed by an exploratory analysis to assess

383 samples' similarities/dissimilarities, outlier detection, standardization by the method outlined

384 in Figure 3; the final model construction follows last. With this, all sources of variations present

385 in different systems can be included into a general chemometric model.

386

MATERIALS

REAGENTS

- Biological samples (tissue, cells, biofluids)(see Reagent Setup).

  ▲ **CRITICAL** Human samples should be collected with appropriate local institutional review board for ethical approval and adhere to the Declaration of Helsinki principles. Similarly, for studies involving animals, all experiments should be performed in accordance with relevant guidelines and regulations. Ethical approval has to be obtained before any sample collection.

- Optimal cutting temperature (OCT) compound (Agar Scientific, cat. no. AGR1180)

- Liquid nitrogen (BOC, CAS no. 7727-37-9) **! CAUTION** Asphyxiation hazard; make sure room is well ventilated. Causes burns; wear face shield, gloves and protective clothing.

- Paraplast Plus paraffin wax (Thermo Fisher Scientific, cat. no. SKU502004)

- Isopentane (Fisher Scientific, cat. no. P/1030/08) **! CAUTION** Extremely flammable, irritant, aspiration hazard and toxic; use in a fume hood.

- Distilled water

- PBS (10×; MP Biomedicals, cat. no. 0919610)

- Virkon (Antec, DuPont, cat. no. A00960632)

- Trypsin–EDTA (0.05%, Sigma-Aldrich, Thermo Fisher Scientific cat. no. 25300054)

Anticoagulants

- EDTA (Thermo Fisher Scientific, BD Vacutainer, cat. no. 02-687-107)

- Sodium citrate (Thermo Fisher Scientific, BD Vacutainer)

- Lithium/sodium heparin (Thermo Fisher Scientific, BD Vacutainer)

Fixative and preservative agents

412   •   Formalin, 10% (vol/vol; Sigma-Aldrich, cat. no. HT501128) **! CAUTION** Potential

413   carcinogen, irritant and allergenic; use in a fume hood.

414   •   Ethanol (Fisher Scientific, cat. no. E/0600DF/17)

415   •   Methanol (Fisher Scientific, cat. no. A456-212) **! CAUTION** Toxic vapours; use in a fume

416   hood.

417   •   Acetone (Fisher Scientific, cat. no. A19-1) **! CAUTION** Acetone vapors may cause

418   dizziness; use in a fume hood.

419   •   ThinPrep (PreservCyt Solution, Cytyc Corp)

420   •   SurePath (Becton Dickinson Diagnostics)

421

422   **Dewaxing agents**

423   •   Xylene (Sigma-Aldrich, cat. no. 534056) **! CAUTION** Potential carcinogen, irritant and

424   allergenic; use in a fume hood.

425   •   Histo-Clear (Fisher Scientific, cat. no. HIS-010-010S) **! CAUTION** It is an irritant.

426   •   Hexane (Fisher Scientific, cat. no. 10764371) **! CAUTION** Extremely flammable liquid,

427   can cause skin irritation; use protective equipment as required; use in a fume hood.

428

429   EQUIPMENT

430   •   Microtome (Thermo Fisher Scientific, cat. no. 902100A; or cat. no. 956651)

431   •   Wax dispenser (Electrothermal, cat. no. MH8523B)

432   •   Sectioning bath (Electrothermal, cat. no. MH8517)

433   •   Centrifuge (Thermo Fisher Scientific, cat. no. 75002410)

434   •   Desiccator (Thermo Fisher Scientific, cat. no. 5311-0250)

435   •   Desiccant (Sigma-Aldrich, cat. no. 13767)

436   •   Laser power meter (Coherent, cat. no. 1098293)

437 • Spectrometer

438 • Computer system

439

440 **Substrates**

441 ▲ **CRITICAL** Substrate should be carefully chosen depending on the spectrochemical

442 approach and the experimental mode that will be used. For more details about the choice

443 of substrate see ref [4,99].

444 • Low-E slides (Kevley Technologies, CFR)

445 • BaF$_2$ slides (Photox Optical Systems)

446 • CaF$_2$ slides (Crystran, cat. no. CAFP10-10-1)

447 • Silicon multi-well plate (Bruker Optics)

448 • Glass slides (Fisher Scientific, cat. no. 12657956)

449 • Quartz slides (UQG Optics, cat. no. FQM-2521)

450 • Aluminum-coated slides (EMF, cat. no. AL134)

451 • Mirrored stainless steel (Renishaw, cat. no. A-9859-1825-01)

452

453 REAGENT SETUP

454 **Tissue** For FFPE tissue, the excised specimen is immersed in fixative (*e.g.*, formalin),

455 dehydrated in ethanol, cleared in xylene and embedded in paraffin wax. Specimens can then

456 be stored indefinitely at room temperature. For snap-frozen tissue, the specimen is immersed

457 in OCT, followed by cooling of isopentane with liquid N$_2$.

458 ▲ **CRITICAL** Snap-frozen tissue should be thawed before analysis. Spectroscopic analysis

459 should be performed directly after excision in case of fresh tissue to avoid sample degradation.

460 **Cells** Cells can be treated with a suitable fixative or preservative solution or studied alive.

461   ▲ **CRITICAL** In case cells are fixed or stored in a preservative solution, a number of washing

462   steps using centrifugation should be followed prior to spectroscopic analysis to remove

463   unwanted signature. If cells are studied alive, optimum living conditions (*e.g.*, growth medium,

464   temperature and pH) should be maintained; washing of live cells from medium is also

465   necessary.

466   **Biofluids** Biofluids can be collected in designated, sterile tubes using standard operating

467   procedures to achieve uniformity of performance. Preparation of biofluids depends on the

468   sample type and the experiment's objective. If cellular material is not directly studied, it should

469   be removed from the biofluid before storage. Biofluids can be analysed right after their

470   collection or stored at a -80°C freezer.

471   ▲ **CRITICAL** If biofluids have been stored in a freezer, it is essential that they are fully

472   thawed before acquiring aliquots for spectroscopic analysis.

473   ▲ **CRITICAL** Users are advised to store biofluids in smaller, single-use aliquots at -80°C to

474   avoid repeated freeze-thaw cycles.

475

476   EQUIPMENT SETUP

477   The user can choose from a range of different instrumental setups and spectral acquisition

478   modes. General information about FTIR systems is provided below. For more details about

479   equipment setup see refs.[4,98,99].

480   The FTIR spectrometer can be left on for long periods of time. Before spectral acquisition, the

481   user should check the interferogram signal for amplitude and position and keep a record of the

482   measurements.

483   ▲ **CRITICAL** For detectors that require a prior cooling step using liquid nitrogen (*e.g.*,

484   mercury cadmium telluride (MCT) detectors), the signal should be allowed to stabilize for

485   approximately 10 min before data collection.

486   ▲ **CRITICAL** In case that the interferogram signal deviates from the last measurement, re-

487   alignment or part replacement may be required.

488   **Software**: Software for spectral acquisition is typically provided by the manufacturer. Software

489   packages for spectral analysis and data standardization are provided in Table 3.

490   PROCEDURE

491   Sample preparation

492   **1|** Prepare the biological samples for spectrochemical analysis using the following steps: option

493   A for FFPE tissue samples, option B for snap-frozen or fresh tissue samples, option C for cells

494   and option D for biofluids.

495   ▲ **CRITICAL** Sample preparation is briefly presented in this protocol. More details about

496   sample preparation can be found in refs.[4,98,99].

497   **(A) Tissue (FFPE) ● TIMING 1-1.5 h**

498   (i) Obtain FFPE tissue blocks.

499   (ii) Section the whole tissue block using a microtome to obtain tissue sections at desired

500   thickness (2-10 μm).

501   ▲ **CRITICAL STEP** Cooling of the tissue on an ice block for 10 min prior to sectioning,

502   hardens the wax and allows easier cutting.

503   (iii) Float the tissue ribbons in a warm H_2O bath (40-44°C) and then deposit onto the

504   substrate of choice.

505   (iv) Allow the tissue sections to dry either at room temperature (30 min) or in a 60°C

506   oven (10 min).

507   ▲ **CRITICAL STEP** The tissue slide may be dried in the oven for longer periods of time,

508   depending on the type of tissue, to ensure optimal, initial melting of the wax.

509   (v) Dewax the samples by performing three sequential immersions in a dewaxing

510   reagent such as fresh xylene, Histo-Clear solution or hexane (each immersion should last at

511   least 5 min).

512   ▲ **CRITICAL STEP** Thorough dewaxing is important for eliminating all spectral peaks

513   attributed to paraffin.

514   (vi) Immerse the tissue slide in acetone or ethanol (5 min) to remove the xylene and

515   then left to air-dry.

516   ■ **PAUSE POINT** Slides can be stored in a desiccator at room temperature for at least 1 year.

517   **(B) Tissue (Snap-frozen or fresh) ● TIMING 2 h + drying time (3 h for FTIR only)**

518   ▲ **CRITICAL** Snap-frozen tissue can be stored at -80°C for several months.

519   ▲ **CRITICAL** For fresh tissue, proceed to step 1B(ii).

520   (i) Acquire snap-frozen tissue from freezer and place onto a cryostat (30 min) to allow

521   the tissue to reach the cryostat's temperature (-20°C).

522   (ii) Use a cryostat to obtain tissue sections at desired thickness (8-10 μm).

523   (iii) Deposit the tissue sections onto an appropriate substrate before spectra are

524   collected (see a list of substrates in the Materials-Equipment section).

525   ▲ **CRITICAL** For FTIR studies the tissue sections need to dry for at least 3 h to remove the

526   H_2O interference from the IR spectra.

32

527 ▲ **CRITICAL** Exposure to light should be minimised to prevent sample degradation due to

528 oxidation.

529 **(C) Cells (fixed or live) ● TIMING 30 min + desiccation time (3 h for FTIR only)**

530 ▲ **CRITICAL** If you are working with fixed cells, do step 1C(i) and then proceed to step

531 1C(iii). If you are working with live cells, proceed to step 1C(ii)

532   (i) Wash fixed cells to remove the fixative or preservative solution as these chemicals

533 cause spectral interference in the fingerprint region. Three sequential washes with distilled H_2O

534 or PBS have been shown to remove unwanted peaks.

535   (ii) Detach cultured cells from the growth substrate adding 2-3 mL of fresh warm

536 trypsin/EDTA solution to the side wall of the flask; gently swirl the contents to cover the cell

537 layer. Wash with warmed sterile PBS to remove the medium and trypsin ($\times$3 times; gentle

538 centrifuge at 300 g for 7 min).

539 ▲ **CRITICAL STEP** All reagents should be warmed to 37°C to reduce the shock to cells and

540 maintain morphology.

541   (iii) After the final wash, resuspend the remaining cell pellet in distilled H_2O (~50-100

542 μL) and mount onto a substrate of choice; allow sample to dry before analysis.

543 ▲ **CRITICAL STEP** The final suspension of cells (~50-100 μL) should be evenly deposited

544 on the slide either by cytospinning or by micro-pipetting. For cytospinning, take a maximum

545 volume of 200 μL of cells in suspension (spin-fixed cells at 800 g for 5 min). After spinning,

546 leave the slide to air-dry.

547 ▲ **CRITICAL** For FTIR studies the sample needs to dry for at least 3 h.

548   **(D) Biofluids (frozen or fresh) ● TIMING 5 min + thawing (20 min) + drying (1-1.5 h)**

549   **▲ CRITICAL** If biofluids are analysed fresh, immediately after collection, continue to step

550   1D(ii).

551   (i) Acquire biofluids from the -80°C freezer and allow them to fully thaw.

552   (ii) Mix or gently vortex the sample before obtaining the desired volume for analysis.

553   **▲ CRITICAL STEP** Only a small amount of the biofluid is typically required for

554   spectroscopic studies (1-100 μL). However, this depends and should be tailored according to

555   the study and experimental design. For instance, in case a substrate is used for experiments in

556   the ATR mode, a larger volume is preferred as it allows spectral acquisition from multiple

557   locations of the blood spot. On the contrary, if no substrate is used, such as in the case of the

558   direct deposition of the sample on the ATR crystal, smaller volumes can also be used.

559   (iii) Deposit the biological fluid onto an appropriate substrate.

560   **▲ CRITICAL STEP** For ATR-FTIR spectroscopic studies, an alternative option is to deposit

561   the sample directly on the ATR crystal instead of a substrate if the instrumentation setting

562   allows (*i.e.*, if crystal is facing upwards). However, if the sample is sufficiently thick (>2-3 μm)

563   to avoid substrate interference, then the use of a holding substrate is advantageous as it allows

564   measurements from multiple locations as well as longer storage.

565   **▲ CRITICAL STEP** For FTIR studies the sample needs to dry adequately before

566   spectroscopic analysis (50 μL dry within approximately 1 h at room temperature). Drying can

567   be sped up by using a gentle stream of air over the sample at a specific flow rate (in a sterile

568   laminal flow hood).

569

570 Spectral acquisition for **FTIR spectroscopy ● TIMING 2 - 5 min per spectrum**

571 ▲ **CRITICAL** Spectrochemical information can be collected as follows for FTIR

572 spectroscopy.

573 ▲ **CRITICAL** Spectral acquisition is briefly presented in this protocol. More details can be

574 found in refs.[4,98,99].

575

576 2| Optimise the settings before each new study to increase the SNR (see 'Experimental

577   design: spectral acquisition').

578   ▲ **CRITICAL STEP** Some of the parameters that need to be adjusted include the

579   resolution, spectral range, co-additions, aperture size, interferometer mirror velocity,

580   and interferogram zero-filling.

581   ▲ **CRITICAL STEP** To improve reproducibility and decrease differences between

582   the data collected by different operators, the spectral resolution should be set constant,

583   since it can cause major differences between data collected across different

584   experimental setups.

585   ▲ **CRITICAL STEP** The pressure applied on the sample in the ATR mode affects the

586   signal intensity (*i.e*., absorbance) between data collected by different instruments and

587   operators. Thus, the pressure applied on the sample should be as similar as possible

588   across different experimental setups to reduce differences between the spectra

589   collected. Depending on the sampling mode that has been chosen (ATR-FTIR,

590   transmission or transflection), deposit the sample onto the appropriate holding

591   substrate.

592 3| Acquire a background spectrum to account for atmospheric changes.

593   ▲ **CRITICAL STEP** This should be done before every sample.

594   4 | Load the sample and visualise the region of interest; information can then be acquired

595   either as point map or as image maps.

596   ▲ **CRITICAL** Typically, 5-25 point spectra are collected per sample while for image

597   maps the step size should be the same or smaller than the selected aperture size divided

598   by two. Sampling can be performed with 6 replicates in 3 levels.

599

600   ■ **PAUSE POINT** Save the acquired data in a database until further analysis.

601   Data quality evaluation ● **TIMING 15 min – 4 h (depending on the size of the dataset)**

602   5 | Evaluate the raw data using quality tests to identify anomalous spectra or biased

603   patterns before applying pre-processing. This can be made by visual inspection of the

604   collected spectra followed by Hotelling T^2 *versus* Q residuals charts (see Supplementary

605   Method 1) using only the mean-centred data, and analysis of PCA residuals. Samples

606   far from the origin of the Hotelling T^2 *versus* Q residuals chart should be removed, and

607   PCA residuals should be random and close to zero. Further instructions about data

608   quality evaluation can be found at "Experimental Design: data quality evaluation"

609   section.

610   Data pre-processing ● **TIMING 15 min – 4 h (depending on the size of the dataset)**

611   ▲ **CRITICAL** Steps 6-11 below can be modified depending on the nature of the dataset. Table

612   1 provides more details about these pre-processing steps. In case of an ATR-FTIR dataset

613   where samples were acquired and analysed under different experimental conditions, the pre-

614   processing method should follow this order:

615   6 | **Cutting at biofingerprint region (900-1800 cm^{-1}).** Truncate the spectra to the

616   biofingerprint region, to eliminate atmospheric interference present in other regions of

617   the spectra.

618 7 | **Savitzky-Golay smoothing for removing spectral-noise.** Window size varies

619   according to the size of the spectra dataset (*e.g.*, wavenumber). The window size should

620   be an odd number, since a central data point is required for the smoothing process. Try

621   different window sizes from 3 to 21 and observe how the spectra change (in shape) and

622   how the noise is reduced. Use the smallest window that removes the noise considerably

623   whilst maintaining the original spectral shape. Using a spectral resolution of 4 cm^{-1}, the

624   biofingerprint region (900-1800 cm^{-1}) usually contains 235 wavenumbers. In that case,

625   a window size of 5 points should be used. The polynomial order for Savitzky-Golay

626   fitting should be 2nd order for IR spectroscopy due to the band shape.

627 8 | **Light scattering correction using either multiplicative scatter correction (MSC),**

628   **SNV or 2nd derivative.** First try using MSC or SNV, as MSC maintains the spectral

629   scale and both methods maintain the original spectral shape. If the results are not

630   satisfactory (*e.g.*, classification accuracy $< 75\%$), try using the 2nd derivative spectra.

631 9 | **Perform baseline correction using automatic weighted least squares or rubber**

632   **band baseline correction.** If spectral differentiation is applied as light scattering

633   correction method, baseline correction is not necessary.

634 10 |**Normalization** Normalize the spectrum to the amide I peak or amide II peak, or

635   perform a vector normalization (2-Norm, length $= 1$) to correct different scales across

636   spectra (*e.g.*, due to different sample thicknesses when using FTIR in transmission

637   mode).

638 11 |**Scaling** Mean-centre the data for each variable, and divide this value by the variable

639   standard deviation. In case of data fusion, block-scaling should be used.

640   Data analysis

641   **Exploratory analysis. ● TIMING 1h – 4 d (depending on the data size)**

642   12 |Determine whether a standardisation procedure is necessary by performing PCA. The

643   PCA scores plot (PC1 *vs* PC2) should generate a unique clustering pattern for the same

644   type of sample. If two or more clusters are observed for the same type of sample

645   measured under different experimental conditions, then a standardisation procedure is

646   necessary (see Figure 2).

647   **Outlier detection. ● TIMING 1h – 1 d (depending on the data size)**

648   13 |Apply PCA to the dataset and then estimate the Q residuals and Hotelling T^2 values.

649   Use the chart of Q residuals *versus* Hotelling T^2 to identify outliers. The outliers (*e.g.*,

650   cosmic rays, artefacts, low signal spectra and substrate only (non-tissue) spectra) should

651   be removed from the data set before proceeding to the next steps.

652   **Sample split. ● TIMING 1 – 4 h (depending on the data size)**

653   14 |Separate the samples that will be used for the training and the test sets. Sample split

654   should be performed before construction of standardization of multivariate

655   classification models. The samples can be split into training (70%) and test (30%) sets,

656   using a cross-validated model; or split into training (70%), validation (15%) and test

657   (15%) sets without using cross-validation. To maintain consistency and account for a

658   well-balanced training model, KS algorithm should be employed to separate the

659   samples   into   each   set.   KS   algorithm   is   freely   available   at

660   https://doi.org/10.6084/m9.figshare.7607420.v1.

661   **Standardization. ● TIMING 1h – 4 d (depending on the data size)**

662   **▲ CRITICAL** Standardization methods should be employed in the following order:

663   DS > PDS (DS should be done before PDS), since the latter is more complex and

requires an additional optimization step (window size optimization). The data from the secondary response should be separated into training (70%), validation (15%) and test (15%) sets using KS algorithm. The number of transfer samples should be firstly optimized using the validation set from the secondary response. Then, when employing PDS, the window size should be optimized according to the size of the dataset.

15 |Use DS to vary the number of transfer samples from 10-100% of the training set from the primary system. Use the validation set from the secondary instrument to find the optimum number of transfer samples using the misclassification rate as cost function.

16 |Perform PDS using the optimum number of samples found with DS. Test different window sizes using the validation set from the secondary system with the misclassification rate as cost function. The window size should vary from 3-29 for a spectral set with resolution of 4 cm^{-1} in the biofingerprint region (235 variables).

Model construction. ● TIMING 1h – 4 d (depending on the data size)

▲ **CRITICAL** Feature extraction (*e.g*., by means of PCA) or feature selection (*e.g*., by means of GA or SPA) should be employed to reduce data collinearity and speed up data processing and analysis time. PLS-DA is already a feature extraction method, thus the performance of prior feature extraction is not necessary in this case. The classification technique employed must follow a parsimony order: LDA>PLS-DA>QDA>KNN>SVM>ANN>Random forests>Deep learning approaches.

17 |Apply the feature extraction or selection technique. The optimization of the number of PCs during PCA can be performed using an external validation set (15% of the original dataset) or using cross-validation (leave-one-out for small dataset [ppl samples] or venetian blinds [sample splitting: 10] for large datasets [>20 samples]). GA should be realized three-times starting from different initial populations and the best result using an external validation set (15% of the original dataset) should be used. Cross-over

689   probability should be set for 40% and mutation probability should be set for 1-10%

690   according to the size of the dataset.

691   18 |The classification method should be employed using optimization with an external

692   validation set or cross-validation, especially for selecting the number of latent variables

693   of PLS-DA and the kernel parameters for SVM. The kernel function for SVM should

694   be RBF kernel, due to its adaptation to different data distributions. To avoid overfitting,

695   cross-validation should be always performed during model construction to estimate the

696   best RBF parameters.

697   **? TROUBLESHOOTING**

698   **Spectral acquisition:** Spectral resolution, spectral range, SNR and signal aperture should be

699   optimized during experimental setup. Operators using different systems should try to keep

700   these parameters constant to reduce spectral differences.

701   **Data pre-processing:** To reduce spectral differences, the same data pre-processing should be

702   applied for spectra acquired in different systems.

703   **Standardization**: To improve the prediction capability of the classification model, the primary

704   system used should be the one with highest spectral resolution and smallest noise, since all data

705   from the secondary systems will be standardized to this pattern.

706   **● TIMING**

707   **Sample preparation:**

708   **Step 1(A)** Tissue (FFPE): 1-1.5 h

709   **1(B)** Tissue (Snap-frozen or fresh): 2 h + drying time (3 h)

710   **1(C)** Cells (fixed or live): 30 min + desiccation time (3 h)

711   **1(D)** Biofluids (frozen or fresh): 5 min + thawing (20 min) + drying (1-1.5 h)

712 **Steps 2-4, Spectral acquisition:** 1 s – 5 min per spectrum (depending on the instrument and

713 spectral acquisition configurations)

714 Step 5, Data quality evaluation: **15 min – 4 h (depending on the size of the dataset)**

715 **Steps 6-11, Data pre-processing:** 15 min – 4 h

716 **Data analysis:**

717 **Step 12,** Exploratory analysis: 1 h – 4 d

718 **Step 13,** Outlier detection: 1 h – 1 d

719 **Step 14, Sample split: 1- 4h (depending on sample size)**

720 Step 15-16, Standardization: 1 h – 4 d

721 **Step 17-18,** Model construction: 1 h – 4 d

722 ANTICIPATED RESULTS

723 To illustrate how this protocol can be used in practice, we conducted a pilot study to

724 evaluate the effect of different instrument manufacturers and operators towards spectral

725 acquisition of healthy controls and ovarian cancer samples based on blood plasma (5 healthy

726 controls with 10 spectra per sample; 5 ovarian cancers with 10 spectra per sample) for a binary

727 classification model using ATR-FTIR spectroscopy. All specimens were collected with ethical

728 approval obtained at Royal Preston Hospital UK (16/EE/0010). Table 4 summarizes the

729 experimental conditions in which the experiments were performed.

730 **Table 4.** Experimental conditions for pilot study.

Instrument	Operator	Spectral range	Number of co-additions	Spectral resolution	Room temperature	Air humidity
A	1	4000-400 cm^{-1}	32	4 cm^{-1}	23.0ºC	23%
	2	4000-400 cm^{-1}	32	4 cm^{-1}	23.4ºC	26%
B	1	4000-400 cm^{-1}	32	4 cm^{-1}	24.0ºC	26%
	2	4000-400 cm^{-1}	32	4 cm^{-1}	24.9ºC	24%
C	1	4000-400 cm^{-1}	48	4 cm^{-1}	22.5ºC	28%
	2	4000-400 cm^{-1}	48	1 cm^{-1}	22.8ºC	26%

731

732   Instrument A and B were Bruker Tensor 27 with an HELIOS ATR attachment while

733   instrument C was an ATR-FTIR Thermo Scientific Nicolet iS10. The spectra were collected

734   for the same types of samples within three different days (operator 1: instrument A in day 1,

735   instrument B in day 3, and instrument C in day 2; operator 2: instrument A in day 2, instrument

736   B in day 1, and instrument C in day 3) and across two different laboratories (instrument A and

737   B in laboratory 1 and instrument C in laboratory 2). Each operator prepared the samples

738   individually from the same bulk, and measured them individually. Spectral acquisition times

739   were around 30 s for instruments A and B, and 40 s for instrument C.

740   **Effect of different instruments**

741   Three different ATR-FTIR spectrometers were used to analyse the samples. Data were

742   pre-processed by truncating at the biological fingerprint region (900-1800 cm^{-1}), followed by

743   Savitzky-Golay smoothing (window of 15 points, 2nd order polynomial function), MSC,

744   baseline correction using automatic weighted least squares and vector normalization (2-Norm,

745   length = 1). Each data set (A, B and C) was pre-processed individually. The raw and pre-

746   processed spectra for healthy controls and ovarian cancer samples are depicted in

747   Supplementary Figure 1. All spectra collected by the three instrument maintained the same

748   spectral shape, indicating that the chemical information stayed the same; however, large

749   differences between the absorbance intensity were observed between instrument C and the

750   others (A, B), being caused due to different pressures applied on the sample in the ATR module.

751   The pressure applied to keep the sample in contact with the ATR crystal directly affects the

752   spectral signal intensity, which for instrument A and B (same manufactures) were somewhere

753   controlled by a contra weight, while for instrument C the pressure was set based on a

754   mechanical screw on the device, thus being biased by the operator usage. The absorbance

755   intensity variation between A and B is observed for this same reason, but in a minor scale.

756　Outlier detection was performed using a Hotelling T^2 *versus* Q residual test (Supplementary

757　Figure 2).

758　　　**(i) Classification.** Classification was performed using PCA-LDA (10 PCs, explained

759　variance of 99.21%). Fig. 5a depicts the discriminant function (DF) score plot for PCA-LDA

760　using only the primary system (ATR-FTIR A). As observed, there is an almost perfect

761　separation between the samples from the two classes (accuracy = 100%, sensitivity = 100%,

762　specificity = 100%). However, when the spectra acquired using instruments B and C are

763　predicted using the model for A, the results decreased significantly (accuracy = 66.7%,

764　sensitivity = 83.2%, specificity = 48.9%) (Fig. 5b), necessitating the use of a standardization

765　procedure.

766　　　**(ii) Standardization.** Standardization was employed using both DS and PDS in order

767　to compare the two methods. The number of transfer samples for DS was optimized according

768　to the misclassification rate obtained for the validation set using the secondary system (Fig.

769　6a). An optimum number corresponding to 80% of the samples in the training set of the primary

770　system (55 transfer samples) was obtained, resulting to a misclassification rate of 22.2% in the

771　validation set of the secondary system. This improved the accuracy (77.8%) and specificity

772　(80.0%). Sensitivity decreased to 75.0%, which is an acceptable value. The results after DS are

773　better balanced than without standardization. Fig. 6b shows the DF plot for the PCA-LDA

774　model using the training of the primary system and prediction with the secondary system after

775　DS.

776　　　PDS was also applied. The number of transfer samples was maintained as 55 (80% of

777　the primary training set) and the window size was optimized by using the validation set of the

778　secondary system. An optimum window size of 23 wavenumbers was selected with a

779　misclassification rate of 25.9% (Fig. 6c). The accuracy, sensitivity and specificity using PDS

780   were 74.1%, 71.4% and 75.0%, respectively. The DS presented a slightly higher performance

781   than PDS for this dataset. However, DS generated some outliers not observed before, while

782   PDS did not. Thus, in general, PDS provided a better standardization of the data. The PCA-

783   LDA DF plot after PDS is depicted in Fig. 6d.

784

785   **Effect of different operators**

786   The effect of different user operators acquiring spectra from the same samples using

787   the same instruments was also evaluated. Similarly to before, data were pre-processed by

788   cutting the biological fingerprint region (900-1800 cm^{-1}), followed by Savitzky-Golay

789   smoothing (window of 15 points, 2nd order polynomial function), MSC, baseline correction

790   using automatic weighted least squares and vector normalization (2-Norm, length = 1). Each

791   dataset was pre-processed individually. All raw and pre-processed spectra varying operators

792   are depicted in Supplementary Figures 4 and 5. Outlier detection was performed using a

793   Hotelling T^2 *versus* Q residual test (Supplementary Figure 7). The PCA scores plots for the

794   pre-processed spectra are depicted in Supplementary Figure 6. The main difference between

795   the operators was observed for instrument C Supplementary Figure 5, since the spectral

796   resolutions used by them were different, which can cause major data distortion.

797   **(i) Classification.** Classification was performed using PCA-LDA (10 PCs, explained

798   variance of 98.62%). Fig. 7a depicts the DF score plot for PCA-LDA using only the primary

799   system (Operator 1). There is a significant separation between the samples from the two classes

800   (accuracy = 88.4%, sensitivity = 77.3%, specificity = 100%). When the spectra acquired by

801   Operator 2 are predicted using the model for Operator 1, the results decreased (accuracy =

802   75.6%, sensitivity = 66.7%, specificity = 84.6%) (Fig. 7b), which again necessitates the use of

803   a standardization procedure.

804   **(ii) Standardization.** DS and PDS were employed as standardization methods. The

805   number of transfer samples for DS was optimized according to the misclassification rate

806   obtained for the validation set using the secondary system (Operator 2) (Fig. 8a). An optimum

807   number of 59 transfer samples (30% of the samples in the training set of the primary system

808   [Operator 1]) was obtained, resulting in a misclassification rate of 17.8% in the validation set

809   of the secondary system. This improved the accuracy (82.2%), sensitivity (69.6%) and

810   specificity (95.5%) compared to the results without DS. Fig. 8b shows the DF plot for the PCA-

811   LDA model using the training of the primary system and prediction with the secondary system

812   after DS.

813   The number of transfer samples was maintained as 59 for PDS; and the window size

814   was optimized by using the validation set of the secondary system. An optimum window size

815   of 23 wavenumbers was selected with a misclassification rate of 22.2% (Fig. 8c). The accuracy,

816   sensitivity and specificity using PDS were 77.8%, 100% and 54.5%, respectively. Although

817   DS obtained an average better classification performance than PDS for this dataset, it also

818   generated some outliers as mentioned before. For this reason, the results after PDS seem better

819   standardized. The PCA-LDA DF plot after PDS is depicted in Fig. 8d.

820

827 Author contributions

828   F.L.M. is the principal investigator who conceived the idea for the manuscript;

829 C.L.M.M. and M.P. wrote the manuscript. All co-authors contributed recommendations and

830 provided feedback and changes to the manuscript; and, C.L.M.M., M.P. and F.L.M. brought

831 together the text and finalized the manuscript.

832 Competing financial interests

833   The authors declare no competing financial interest.

834 Data availability statement

835   The datasets generated during and/or analysed during the current study are available

836 from the corresponding authors on reasonable request.

837

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Figure legends

Figure 1. IR spectra of healthy control (absence of disease) samples varying ATR-FTIR

instruments and operators. Average (a) raw and (b) pre-processed IR spectra for healthy

control samples measured across three different ATR-FTIR spectrometers in the same institute

(A, B and C). Average (c) raw and (d) pre-processed IR spectra for healthy control samples

across two different operators (Operator 1 and 2).

Figure 2. PCA scores for healthy control (absence of disease) samples varying ATR-FTIR

instruments before and after standardization. (a) PCA scores for healthy control samples

across three different ATR-FTIR spectrometers in the same institute (A, B and C) after pre-

processing but before PDS; (b) PCA scores for healthy control samples across three different

ATR-FTIR spectrometers in the same institute (A, B and C) after PDS (model built with 55

transfer samples and window size of 23 wavenumbers). The dotted blue circle shows 95 %

confidence ellipse (two-sided). Each measurement observation (circle) corresponds to the data

acquired from a unique operator.

Figure 3. Flowchart for standardization using Direct Standardization (DS).

Figure 4. Flowchart for a standardization protocol using different experimental
conditions.

Figure 5. Discriminant function (DF) plots using PCA-LDA to discriminate healthy

control (absence of disease) samples from ovarian cancer samples varying the instrument.

(a) DF plot of the PCA-LDA model for the primary system; (b) DF plot of the PCA-LDA

model for the primary system predicting the samples from the secondary systems. Sample

index represents the number of samples' spectra.

Figure 6. PCA-LDA results for DS and PDS standardisation models for spectra collected

by the three different instruments. (a) Misclassification rate in % for the validation set of the

secondary system varying the number of transfer samples in % from the primary system for

DS optimization; (b) DF plot of the PCA-LDA model for the primary system predicting the

validation set from the secondary system after DS; (c) Misclassification rate in % for the

validation set of the secondary system varying the window size for PDS optimization; (d) DF

plot of the PCA-LDA model for the primary system predicting the validation set from the

secondary system after PDS. Transfer samples (%) refer to the percentage of training samples'

spectra from the primary instrument that are used to transform the signal obtained using the

secondary instrument.

Figure 7. Discriminant function (DF) plots using PCA-LDA to discriminate healthy

control (absence of disease) samples from ovarian cancer samples varying the operator.

(a) DF plot of the PCA-LDA model for the primary system (Operator 1); (b) DF plot of the

PCA-LDA model for the primary system predicting the samples from the secondary system

(Operator 2).

Figure 8. PCA-LDA results for DS and PDS standardisation models for spectra collected

by two different operators. (a) Misclassification rate in % for the validation set of the

secondary system (Operator 2) varying the number of transfer samples in % from the primary

system (Operator 1) for DS optimization; (b) DF plot of the PCA-LDA model for the primary

system predicting the validation set from the secondary system after DS; (c) Misclassification

rate in % for the validation set of the secondary system varying the window size for PDS

optimization; (d) DF plot of the PCA-LDA model for the primary system predicting the

validation set from the secondary system after PDS.