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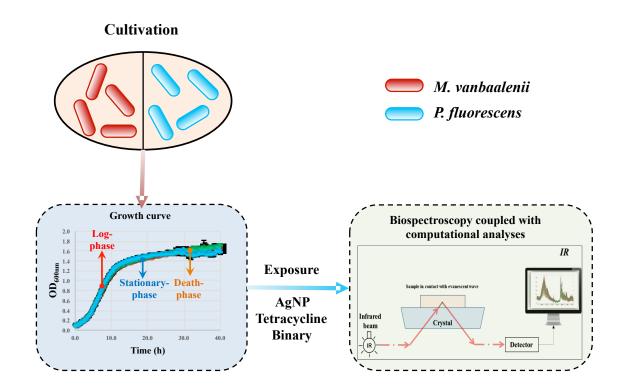
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1	Spectrochemical analyses of growth phase-related bacterial responses
2	to low (environmentally-relevant) concentrations of tetracycline and
3	nanoparticulate silver
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#### 22 Abstract

Exposure to environmental insults generally occurs at low levels, making it 23 24 challenging to measure bacterial responses to such interactions. Additionally, 25 microbial behaviour and phenotype varies in differing bacterial types or growth 26 phases, likely giving rise to growth- or species-specific responses to environmental 27 stimuli. The present study applied a spectrochemical tool, infrared (IR) spectral interrogation coupled with multivariate analysis, to investigate the growth- and 28 species-specific responses of two bacterial strains, Gram-negative Pseudomonas 29 30 fluorescens and Gram-positive Mycobacterium vanbaalenii, to low concentrations of tetracycline, nanoparticulate silver (AgNP) or mixtures thereof. Results indicate the 31 32 tendency for tetracycline-induced biospectral alterations to occur in outer-cellular components, e.g., phospholipids or proteins, while AgNPs-induced changes are 33 mainly associated with proteins (~ 964 cm<sup>-1</sup>, ~ 1485 cm<sup>-1</sup>, ~ 1550 cm<sup>-1</sup>, ~ 1650 34 cm<sup>-1</sup>). The primary altered targets are correlated with bacterial membranes or 35 outer-cellular components. Furthermore, significant lipid changes at 1705-1750 cm<sup>-1</sup> 36 were only present in P. fluorescens cells compared to M. vanbaalenii, owing to 37 38 differences in cell wall structure between Gram-positive and -negative bacteria. This 39 study also found distinct biospectral alterations in non-log phase compared to log 40 phase, confirming bacterial growth-dependent responses to environmental exposures. 41 It implies that previous studies on log phase only may underestimate the impacts from exposures of interest *in situ*, where bacteria stay in different growth stages. Our work 42 proves the feasibility of biospectroscopy in determining bacterial responses to 43 low-level environmental exposures in a fast and efficient manner, revealing sufficient 44 45 biochemical information continuously through growth phases. As a nondestructive 46 approach, biospectroscopy may provide deeper insights into the actual and in situ 47 interactions between microbes and environmental stimuli, regardless of the exposure level, growth phase, or bacterial types. 48



#### 55 Introduction

The impacts of environmental insults on microorganisms have been widely studied. 56 57 Antibiotics are a group of antimicrobial agents capable of causing environmental 58 insults of major concern from both a scientific and public perspective. A significant 59 number and quantity of antibiotics have been extensively applied in human and veterinary medicine<sup>1</sup>. Various means by which these drugs and their metabolites enter 60 61 the environment post-excretion have been examined. The most common way by which antibiotics are discharged is via sewage treatment plants with ultimate release 62 into surface or groundwater. Previous studies point to >40 different categories of 63 antibiotics found in groundwater and even drinking water, ranging from nanogram per 64 liter to microgram per liter<sup>1-4</sup>. Strikingly, residues of veterinary antibiotics enter the 65 environment in a much more direct way through application of liquid manure as 66 fertilizer<sup>5, 6</sup>. 67

68 Additionally, nanoparticles (NPs) may also pose high risks to our living environment. Nanoparticulate silver (AgNP) is one of the most widely-used NPs, 69 70 occurring in analytical pathogen-detecting devices, as antibacterial additives in commercial products (clothing, food containers, wound dressings, implant coatings, 71 72 and refrigerators), and in ultrafiltration membranes for water purification<sup>7-9</sup>. The abuse and widespread usage of antibiotics and AgNPs may exacerbate risks of 73 antimicrobial resistance<sup>10</sup>. Although many studies have addressed such consequences 74 in living microorganisms, they usually employ very high-level exposures of 75 antibiotics and AgNPs in the laboratory, generally 100- or even 1000-fold greater than 76 77 exposures in real-world scenarios. Thus, the *in-vitro* outcome of high-level exposure in reflecting real-world impacts is always questioned<sup>11, 12</sup>. 78

79 Bacteria play a critical role in geochemical processes and are ubiquitously present 80 in the environment as a unique group of microorganisms. They can be used 81 advantageously to study the impacts of environmental exposures, but their 82 communities are incredibly complicated with regards to composition, functions and dynamics<sup>13-16</sup>. Gram staining depending on cell membrane structure, for instance, is 83 84 the general classification method for categorizing bacteria into Gram-positive or 85 -negative groupings. The fundamental difference between these two categories is 86 membrane structure, *i.e.*, there is only a thin peptidoglycan layer (~2-3 nm) between 87 the cytoplasmic membrane and the outer membrane of Gram-negative bacteria while

88 Gram-positive bacteria exhibit a thick peptidoglycan layer of 30 nm but lack an outer membrane<sup>17</sup>. Differing attributes of membrane structure may result in distinct 89 90 responsive behaviors towards environmental exposures, for instance towards toxicity and anti-biocide actions<sup>18</sup>. Additionally, growth phase is another major concern in 91 92 studying bacterial communities. Bacterial growth is typically divided *via* growth rate, 93 *i.e.*, lag phase, acceleration phase, exponential phase (log-phase), retardation phase, 94 stationary phase, and phase of decline. In previous studies, log-phase has been the 95 most investigated, but this condition is seldom found in the natural environment due to rarity of optimal growth conditions<sup>19</sup>. Instead, stationary- to death-phase 96 representing nutrient depletion circumstances may be more representative<sup>20</sup>. 97

To date, a wide range of techniques have been used to assess bacterial responses 98 to environmental exposures, such as Christensen test-tube method (CTT)<sup>21, 22</sup>, Congo 99 red agar method (CRA)<sup>23</sup>, IcaADB gene detection using polymerase chain reaction 100 (PCR)<sup>24, 25</sup>, and pulsed-field gel electrophoresis (PFGE)<sup>26, 27</sup>. However, these 101 approaches target specific endpoints and are not feasible for diagnosing bacterial 102 103 responses to environmental exposures. CTT and CRA primarily require access to pure 104 cultures. The others are molecular-based, defining the genotype of a whole community rather than the individual phenotype or behavior. For instance, 105 106 distinguishing the functions or behaviours of individual bacterial cells within a 107 bacterial biofilm is almost impossible via molecular-based methods, owing to the 108 enormous diversity of bacterial strains and complexity in community structure. Additionally, these approaches have to be performed under restrictive laboratory 109 conditions<sup>28-30</sup>, making it unachievable to discriminate bacterial phenotypes within 110 complex bacterial communities in real environmental samples. Therefore, an 111 112 increasing need for novel high-throughput approaches is raised, allowing one to 113 analyze the real environmental microbiota in situ via a non-destructive means.

Biospectroscopy has a long history of application in microbiology since the 1960s<sup>31, 32</sup>. Attributes of biospectroscopy include non-destructive, non-invasive, high-throughput and label-free, which provide many advantages for the investigation of environmental and biochemical dynamic changes in low-level exposure circumstances. In recent studies, biospectroscopy has proved sensitive to physiological and morphological alterations resulted from low-level environmental exposures<sup>33-35</sup>. Specifically, infrared (IR) spectroscopy exploits the principle that

121 biochemical bonds perform some degree of vibrations induced by stretching, bending, scissoring or twisting after energy absorption at particular wavelengths. The 122 123 "biochemical-cell fingerprint" region is located within the mid-IR region, which is the most information-rich about biochemical structures<sup>36</sup>. Through assessing derived 124 spectral peaks or alterations, *i.e.*, bio-fingerprints, the biochemical structure of 125 interrogated targets can be revealed<sup>37-39</sup>. Additionally, Raman spectroscopy, as a 126 complementary method to IR, can provide information on chemical bonds and 127 composition even under a hydrated environment<sup>40, 41</sup>. Furthermore, another merit of 128 biospectroscopy over other techniques is that it allows investigations to be undertaken 129 130 *in situ* in real-time, which can generate continuous biochemical information through 131 the entire biological processes rather than only obtaining static results from specific 132 time points.

As a broad-spectrum of antibiotic, tetracycline is effective against both Gram-133 positive and -negative bacteria with only a few exceptions<sup>42</sup>, having a history of 134 several decades usage in animal feeds and consequently probably more ubiquitous in 135 the natural environment than other in-house antibiotics  $(e.g., kanamycin)^{43}$ . The 136 137 present study therefore chose tetracycline as the studied antibiotic, discriminating and assessing bacterial responses to tetracycline and AgNPs via biospectroscopy coupled 138 139 with multivariate analysis. Additionally, recent studies have reported the synergistic antibacterial effects of antibiotics and metals or NPs<sup>44</sup>, e.g., amoxicillin and AgNPs<sup>45</sup>, 140 141 but its effects on bacterial physiology remains unclear. Our work also considered and interrogated microbial responses to the binary-exposure of tetracycline and AgNPs via 142 143 IR spectroscopy. Regarding the real-world scenario, our results distinguished the distinct biospectral alterations in different bacterial types and growth phases, helping 144 one to understand the bacterial behaviour post-exposure to low-level antimicrobials. 145 We aim to demonstrate that biospectroscopy approaches can characterise 146 physiological features of bacteria and lend profound insights into the relationship 147 between these and bacterial responses to environmental insults. 148

#### 150 Materials and methods

#### 151 *Sample preparation*

152 Unless stated otherwise, all the chemicals used in this study were purchased from Sigma-Aldrich (UK). The AgNPs (catalogue no. 730785, Sigma-Aldrich, UK) have a 153 154 10-nm particle size at a stock concentration of 0.02 mg/mL, dissolved in aqueous buffer with sodium citrate as stabilizer. Two classic soil bacterial strains, 155 156 Mycobacterium vanbaalenii PYR-1 (Gram-positive) and Pseudomonas fluorescens (Gram-negative), were selected owing to their ubiquity and wide distribution in the 157 soil environment and their well-known physiological behaviour<sup>36, 46-48</sup>. These two 158 strains were cultured in Luria-Bertani (LB) broth at 30±2°C with 150 rpm shaking for 159 160 24 h. Bacterial growth was measured every 10 min by optical density at 600 nm  $(OD_{600})$  with a microplate reader (FLUOstar Omega, BMG Labtech, UK). AgNPs, 161 162 tetracycline or AgNPs-tetracycline mixture was added into cell suspensions in early 163 log-phase ( $OD_{600}=0.6$ ), respectively. To mimic the low-level exposure in natural environment<sup>49-52</sup>, the exposure concentration was set as 4  $\mu$ g/L for AgNPs and 1  $\mu$ g/L 164 for tetracycline. One millilitre of bacterial suspension was taken 2, 8 and 24 h 165 166 post-exposure, representing log-phase, stationary-phase and death-phase, respectively. 167 For each sample, bacterial cells were harvested by centrifugation at 4000 relative 168 centrifugal force (rcf) for 5 min, and the cell pellets were subsequently washed three times with sterile deionized water and 70% ethanol to fix bacteria and remove 169 170 residues of growth media. The fixed samples were then applied onto Low-E slides for 171 subsequent spectrochemical analysis.

#### 172 Spectrochemical analysis

173 IR spectra were acquired *via* a Bruker TENSOR 27 FTIR spectrometer (Bruker Optics 174 Ltd., UK) equipped with a Helios ATR attachment containing a diamond internal 175 reflection element (IRE). Instrument parameters were set at 32 scans and 16 cm<sup>-1</sup> 176 resolution. A total number of 30 spectra were acquired per sample through the ATR 177 magnification-limited viewfinder camera. Before measuring each new specimen, the 178 crystal was cleaned with deionized water, and background readings were retaken.

179 Raman spectra were acquired *via* an InVia Renishaw Raman spectrometer
180 (Renishaw Inc. Gloucester, Gloucestershire, UK). After calibration, sample slides
181 were placed on an operating stage (a Renishaw automated 100 nm encoded XYZ

stage), and a  $50\times$  objective (numeral aperture 0.75) was applied to focus on the cell 182 pellet. The parameters of measurement included: grating scan type (extended); 183 spectrum range (400 to 1800 cm<sup>-1</sup>); configuration (Laser, 785 nm edge); grating (1200 184 1/mm 633/790); exposure time (30 s); accumulations (1); and, laser power (100%). 185 When all the parameters were set up, a map measurement was used for analyzing each 186 colony. The spectrometer's entrance slit of 50 µm combined with a 1200 lines/mm 187  $(\sim 1.0 \text{ cm}^{-1} \text{ spectral resolution})$  diffraction grating dispersing Raman signals onto a 188 master Renishaw Pelletier cooled charge coupled detector (CCD). A white light 189 190 camera mounted on the microscope was used to obtain the darkfield images and visualize locations for spectral acquisition. For each captured picture of a cell pellet, 191 192 25 spectra were randomly obtained.

#### 193 Spectrochemical data processing

194 All the initial data generated from ATR-FTIR spectroscopy were analyzed using MATLAB R2011a (TheMathsWorks, Natick, MA, USA) coupled with the IRootLab 195 toolbox (http://irootlab.googlecode.com)<sup>53</sup>. The acquired IR spectra were cut to the 196 biochemical-cell fingerprint region (1800 - 900 cm<sup>-1</sup>), undertaken rubberband baseline 197 correction and normalized to Amide I (1650 cm<sup>-1</sup>). Multivariate analysis of principal 198 component analysis-linear discriminant analysis (PCA-LDA) was applied to the 199 200 pre-processed data to derive ten uncorrelated principal components (PCs) from acquired spectra, which account for >99% of the total variance and also maximize 201 inter-class variance whilst minimizing intra-class variance<sup>54-56</sup>. Cross-calculation was 202 subsequently performed to mitigate risk resulting from LDA overfitting<sup>57</sup>. The 203 PCA-LDA loadings using (n-1) samples (n = number of samples in dataset) was 204 trained via leave-one-out cross-validation and then calculated the scores of the rest 205 206 sample. This process was performed for all scores within the test. To investigate roles 207 of reactive oxygen species (ROS) in antibiotic action and resistance, the CySS-to-protein ratio was calculated from derived Raman spectra by dividing the 208 intensity of cysteine band (CySS, 668 cm<sup>-1</sup>) by that of protein band  $(1447 \text{ cm}^{-1})^{34}$ . 209

#### 210 Statistical analysis

211 Statistical significance of differences and variance analysis (*P*-value <0.05) of 212 biospectral alterations among different treatments of bacterial types and growth 213 phases was performed using one-way analysis of variance (ANOVA) with Tukey's post-hoc test. All statistical analyses were carried out in GraphPad Prism 6.
Multivariate regression trees (MRT) were used to analyze the influence of bacterial
type, growth phase and exposure on biospectral alterations using the R package
"mvpart". Herein, Gram-positive (*M. vanbaalenii*) and Gram-negative (*P. fluorescens*)
strains were assigned as 1 and 0. For growth phase, the log-phase, stationary-phase,
and death-phase were assigned as 1, 2 and 3, respectively. The exposure of AgNPs,
tetracycline and their mixtures were assigned as 1, 2 and 3, respectively.

221

#### 222 **Results and discussion**

#### 223 Growth curves post-exposure to AgNPs and tetracycline

224 Growth curves (Figure 1) for *M. vanbaalenii* (Figure 1A) and *P. fluorescens* (Figure 225 1B) show that both strains exhibit approximately a 3 h lag-phase and the log-phase 226 starts some 3.5 h after initial culture. Both enter the stationary-phase at approximately 227 11 h (OD<sub>600</sub>=1.2). After about 30 h culture, significant fluctuations indicate the death 228 phase stage for both strains. There was no significant difference regarding the growth 229 between control (non-exposure) and exposure groups (P > 0.05). Although previous work reports a remarkable inhibition of bacterial growth post-exposure to AgNPs<sup>58</sup>, 230 the exposure levels herein (4 ng/mL) are much lower than the previous study (10-100 231 232 µg/mL) and it therefore appeared not to significantly impact either bacterial strain. 233 Similarly, low concentrations of tetracycline did not induce any apparent changes on 234 the respective growth curves either. A previous study reports that Synechocystis sp. 235 exposure to 1 µg/L of tetracycline for five days exhibited no apparent effect, possibly because of natural variability in tetracycline resistance<sup>59</sup>. Additionally, *M. vanbaalenii* 236 and P. fluorescens are both environmental bacteria widespread in natural habitats 237 (water and soil), and they are reported to tolerate insults from low-level exposures of 238 antimicrobials<sup>36</sup>. Thus, their growth curves are hardly affected by low-level exposures 239 to AgNPs or tetracycline. 240

#### 241 Growth-dependent spectrochemical alterations derived from IR spectra

Raw spectra reveal very limited information because low-level exposures may only
induce miniscule alterations (Figure 1C and 1D). Multivariate analysis assists in
highlighting the spectral changes and key distinguishing biomarkers representing

spectral differences. Previous studies indicate that most features align along linear discriminant one (LD1), which includes most of the spectral information (Figure 2)<sup>34,</sup> <sup>36</sup>. The observed segregation in PCA-LDA scores plots (Figure 2A and 2E) is significant (P < 0.05), indicating distinct biospectral alterations between the growth phases. The results of one-way ANOVA [see Electronic Supporting Information (ESI) Table S1 and S2] also demonstrate the statistically significant means of all segregation categories in each growth phase (P < 0.05).

252 Biospectral discriminant peaks and their tentative assignments illustrate the 253 interactions between cellular components in different growth stages (Figure 3). The spectra of *M. vanbaalenii* show a broad range of variations in stationary-phase 254 compared to log-phase (Figure 3A), including glycogen (~1018 cm<sup>-1</sup>), carbohydrate 255 (~1165 cm<sup>-1</sup>), symmetric phosphate stretching vibrations ( $v_sPO_2$ ; ~1088 cm<sup>-1</sup>), COO-256 symmetric stretching vibrations of fatty acids and amino acid ( $\sim 1377 \text{ cm}^{-1}$ ), lipid 257  $(\sim 1701 \text{ cm}^{-1})$ , and proteins  $(\sim 1474 \text{ cm}^{-1})^{60}$ . The main discriminant peaks in 258 death-phase include Amide I (~1650 cm<sup>-1</sup>), Amide II (~1550 cm<sup>-1</sup>), glycogen (~1018 259 cm<sup>-1</sup>), and proteins (~1481 cm<sup>-1</sup>)<sup>36, 60</sup>. In contrast, the major spectral features of P. 260 fluorescens are identical in stationary-phase and death-phase (Figure 3E), mainly 261 comprising proteins and lipids, *i.e.*, Amide I (~1650 cm<sup>-1</sup>), Amide II (~1550 cm<sup>-1</sup>), 262 and lipids  $(1705-1750 \text{ cm}^{-1})^{34, 35}$ . For both strains, the degrees of all the 263 growth-related alterations illustrate an increasing tendency from stationary-phase to 264 death-phase, possibly attributed to cellular differentiation under nutrient depleted 265 conditions in stationary-phase and death-phase, which cause the changing cell wall 266 structure to adapt to growth circumstances<sup>20, 61, 62</sup>. The spectral differences between 267 the two strains is principally contributed by cell membrane structure in that 268 Gram-negative bacteria contain two lipid-associated bilayers compared to 269 Gram-positive cells<sup>17</sup>. The extra membrane in Gram-negative strains (*P. fluorescens*) 270 might increase more detectable alterations related to proteins and lipids across growth 271 272 phases.

#### 273 Spectrochemical alterations with AgNPs/tetracycline exposure

Although the exposures herein are low-level, characterizable effects can be identified post-exposure to AgNPs, tetracycline or their mixtures *via* biospectrsocopy coupled with multivariate analysis. The key alterations in *M. vanbaalenii* post-exposure to AgNPs include glycogen (~1022 cm<sup>-1</sup>), proteins (~1485 cm<sup>-1</sup>),  $v_sPO_2^-$  (~1088 cm<sup>-1</sup>,

1092 cm<sup>-1</sup>), carbohydrate (~1165 cm<sup>-1</sup>), lipids (~1705 cm<sup>-1</sup>, 1709 cm<sup>-1</sup>), Amide I 278  $(\sim 1670 \text{ cm}^{-1})$ , and protein phosphorylation  $(\sim 964 \text{ cm}^{-1})$  (Figure 3B)<sup>33, 36</sup>. Tetracycline 279 exposure led to discriminating alterations in M. vanbaalenii in Amide III (~1269 280 cm<sup>-1</sup>), protein phosphorylation (~964 cm<sup>-1</sup>), glycogen (~1022 cm<sup>-1</sup>), Amide I (~1609 281 cm<sup>-1</sup>, 1612 cm<sup>-1</sup>, 1659 cm<sup>-1</sup>), COO- symmetric stretching vibrations of fatty acids 282 and amino acid (~1408 cm<sup>-1</sup>), and lipids (~1701 cm<sup>-1</sup>, 1713 cm<sup>-1</sup>)<sup>60</sup> (Figure 3C). 283 However, for *P. fluorescens*, the biomarkers in both individual treatments are further 284 concentrated on proteins (~1650 cm<sup>-1</sup>, ~1550 cm<sup>-1</sup>) and lipids  $(1705-1750 \text{ cm}^{-1})^{34, 36}$ 285 (Figure 3F and 3G). 286

287 In binary exposure treatments, more complex profile differences in spectral alterations in ATR-FTIR spectra are observed. The primary changes in M. 288 *vanbaalenii* are consistent with proteins ( $\sim 1650 \text{ cm}^{-1}$ ) and lipids ( $1705-1750 \text{ cm}^{-1}$ ) in 289 all growth phases (Figure 3D)<sup>34, 36</sup>. In contrast, the exposure-associated spectral 290 alterations in P. fluorescens vary significantly with growth phase (Figure 3H), e.g., 291 the biomarkers in stationary-phase with binary effects include glycogen ( $\sim 1053 \text{ cm}^{-1}$ ), 292 Amide I (~1609 cm<sup>-1</sup>), COO- symmetric stretching vibrations of fatty acids and 293 amino acid (~1389 cm<sup>-1</sup>), asymmetric phosphate stretching vibrations ( $v_{as}PO_2$ ; ~ 294 1196 cm<sup>-1</sup>), lipid (~ 1732 cm<sup>-1</sup>), and Amide II (~1508 cm<sup>-1</sup>)<sup>35, 60</sup>. They change to 295 lipid (~1709 cm<sup>-1</sup> and 1751 cm<sup>-1</sup>), Amide I (~1609 cm<sup>-1</sup>), Amide II (~1543 cm<sup>-1</sup>), 296  $v_{as}PO_2^{-1}$  (~1211 cm<sup>-1</sup>) and glycogen (~1053 cm<sup>-1</sup>)<sup>36, 60</sup> in death-phase. 297

In general, most spectral alterations post-exposure are associated with lipids and 298 proteins indicating bacterial cell membranes are primary targets, probably because 299 300 tetracycline or AgNPs penetrate bacterial cells via passive diffusion and inhibits bacterial growth by disturbing protein synthesis or altering membrane structure<sup>63</sup>. 301 302 Through growth phases, Gram-negative bacteria inhibit a broad range of alterations associated with lipids, e.g.,  $(1705 - 1750 \text{ cm}^{-1})$ , which is absent in Gram-positive 303 304 bacteria, mainly attributed to their different cell wall composition. The rigidity and extended cross-linking may reduce target sites on cell wall for environmental 305 306 exposure and increase difficulties in antimicrobial penetration<sup>44</sup>.

307 *Factors influencing spectrochemical alteration* 

308 From conducted spectral analysis, factors inducing IR spectral alterations can be 309 classified as intrinsic and external categories. The inherent one includes bacterial type 310 (Gram-positive or Gram-negative) and growth phase, and the external category refers 311 to the types of exposure. These factors function and interact simultaneously, with distinct impacts on microbial responses to environmental exposures. To quantify the 312 313 importance of each factor, multivariate regression tree (MRT) analysis was conducted through the isolated discriminating biomarkers (characteristic peaks). The MRT graph 314 315 illustrates the relationship of spectral variations and impact factors with four splits according to bacterial types, growth phase and exposure groups, explaining 90.8% of 316 317 spectral variance (Figure 5).

318 Spectral variation is first split by bacterial type which accounts for 65.6% of the 319 total variation, owing to more changes associated with membrane components 320 observed in P. fluorescens (Gram-negative) than M. vanbaalenii (Gram-positive), e.g., proteins and phospholipid-derived fatty acids. Multivariate analysis also illustrates 321 various spectrochemical alterations between P. fluorescens and M. vanbaalenii. For 322 example, significant lipid changes (1705-1750  $\text{cm}^{-1}$ ) present in *P. fluorescens* 323 324 treatments are absent in M. vanbaalenii treatments. The cell membrane of 325 Gram-negative bacteria contains two lipid associated bilayers, which is likely to 326 increase the influence of applied exposure on the cell wall structure, while there is 327 only one lipid bilayer in the membrane and a thick ring of peptidoglycan and teichoic acid of Gram-positive bacteria<sup>17</sup>. This difference may influence the structural integrity 328 and eventually the microbial response to external stimuli. Furthermore, both 329 330 Gram-positive and -negative bacteria from stationary phase show a comprehensive range of alterations in cellular components (*i.e.*, Amide I, II, III, v<sub>as</sub>PO<sub>2</sub> / v<sub>s</sub>PO<sub>2</sub>, 331 332 glycogen, carbohydrates, lipids, etc.; Figure 6), indicating many underlying biological activities. This can be primarily attributed to a growing cell wall from log to 333 stationary phase, which increases the amounts of membrane components<sup>64</sup> and 334 induces changes on the surface of the bacterial envelope and protein synthesis<sup>20</sup>. Such 335 336 changes may have considerable influence on the rigidity of the cells, resistance to 337 environmental changes, as well as immunochemical properties. Results of both MRT 338 and multivariate analyses therefore suggest bacterial type as the primary intrinsic 339 factor determining IR alterations post-exposure to AgNP or tetracycline.

340 Growth phase also shows significant impacts on bacterial spectra after 341 environmental exposure. The Gram-negative group is further split in the MRT graph 342 by growth phase (*i.e.*, log-phase *vs.* stationary-phase and death-phase), owing to 343 increasing alterations of membrane components along with the growth stage, which explains 13.2% of total variation (Figure 5). Similarly, multivariate analysis 344 demonstrates the same growth-dependent results. Post-exposure to AgNP for instance, 345 the spectra of both strains in log-phase are clearly separated from those in 346 stationary-phase or death-phase (Figure 2B-D). The induced alterations from growth 347 348 phases are associated with various cellular components. Specifically in log-phase, IR 349 spectral biomarkers reflecting the major alterations of *M. vanbaalenii* post-exposure to AgNP are Amide I (~1612 cm<sup>-1</sup>), COO- symmetric stretching vibrations of fatty 350 acids and amino acids (~1381 cm<sup>-1</sup>), lipid (~1717 cm<sup>-1</sup>), glycogen (~1011 cm<sup>-1</sup>), 351  $v_{as}PO_2^{-1}$  (~1215 cm<sup>-1</sup>), and carbohydrate (~1165 cm<sup>-1</sup>) (Figure 6A)<sup>36, 60</sup>. However, 352 samples from stationary-phase exhibit a contrasting profile consisting only of 353 alterations of proteins (~1377 cm<sup>-1</sup>) and Amide I, II, III (~1609 cm<sup>-1</sup>, 1566 cm<sup>-1</sup>, 1254 354 cm<sup>-1</sup>) (Figure 6B)<sup>33</sup>. In tetracycline or tetracycline-AgNP mixture treatments, the 355 most-induced biomarkers in log-phase shift to proteins, *i.e.*, Amide I (~1163 cm<sup>-1</sup>, 356 1616 cm<sup>-1</sup>), Amide II (~1520 cm<sup>-1</sup>, 1558 cm<sup>-1</sup>) and Amide III (~1327 cm<sup>-1</sup>) (Figure 357  $(6A)^{33}$ , but they are associated more with cellular components in stationary-phase. 358 including Amide I (~1589 cm<sup>-1</sup>), Amide II (~1551 cm<sup>-1</sup>), Amide III (~1269 cm<sup>-1</sup>; 1273 359 cm<sup>-1</sup>), proteins (~1485 cm<sup>-1</sup>), lipid (~1717 cm<sup>-1</sup>, 1721 cm<sup>-1</sup>), COO- symmetric 360 stretching vibrations of fatty acids and amino acid (~1342 cm<sup>-1</sup>; 1381 cm<sup>-1</sup>), v<sub>s</sub>PO<sub>2</sub><sup>-1</sup> 361 (~1069 cm<sup>-1</sup>), v<sub>as</sub>PO<sub>2</sub><sup>-</sup> (~1196 cm<sup>-1</sup>) and glycogen (~1018 cm<sup>-1</sup>) (Figure 6B)<sup>60</sup>. 362 Samples from death-phase cultures exhibit distinct patterns as compared to log- or 363 364 stationary-phase in that AgNP and tetracycline-AgNP mixture show more impacts on proteins (Figure 6C). These results indicate the changing physiological profiles of 365 366 bacterial cells with growth stage, and the different modifications associated with cellular components with adaptation to the living environment. For instance, bacteria 367 368 facing nutrient depletion are reported to produce more hydrophobic molecules to protect the starved cells, resulting in less fluid and permeable membranes attributed to 369 the transformations within the fatty acid composition, which increase protection and 370 insulation from a stressful environment<sup>20, 61, 62</sup>. Moreover, entering stationary phase, a 371 wide range of protein synthesis is also altered by the global gene regulatory network, 372 373 which tends to swap the core functions from metabolism or catabolism to the maintenance of cellular viability<sup>20</sup>, possibly explaining more spectral alterations of 374 proteins in stationary- and death-phase than log-phase. 375

376 Besides intrinsic factors, external environmental exposure explains 12.0% of total variation in MRT for Gram-positive (2.7%) and Gram-negative (9.3%) bacteria. For 377 both strains, segregation mainly results from different spectrochemical alterations 378 between AgNP exposure and tetracycline/binary groups. The key spectral alterations 379 in the AgNP category are located at COO- symmetric stretching vibrations of fatty 380 acids and amino acid (~1373 cm<sup>-1</sup>), (~1736 cm<sup>-1</sup>), proteins (~984 cm<sup>-1</sup>, 988 cm<sup>-1</sup>), 381 Amide I (1612 cm<sup>-1</sup>, 1694), Amide II (1543 cm<sup>-1</sup>, 1562 cm<sup>-1</sup>), and  $v_8PO_2^-$  (1088 cm<sup>-1</sup>) 382 (Figure 3B and 3F)<sup>36, 60</sup>. In contrast, changes induced by tetracycline or 383 tetracycline-AgNP mixture are associated with COO- symmetric stretching vibrations 384 of fatty acids and amino acid (~1373 cm<sup>-1</sup>), proteins (~984 cm<sup>-1</sup>), lipids (~1697 cm<sup>-1</sup>; 385 1732 cm<sup>-1</sup>), and Amide I, II (~1562 cm<sup>-1</sup>, 1616 cm<sup>-1</sup>) (Figure 3C and 3G)<sup>60</sup>. 386

It can be concluded that AgNP-induced spectral changes are mainly associated 387 388 with proteins, whereas broader cellular components are affected post-exposure to 389 tetracycline or tetracycline-AgNP mixture. It might be explained by tetracycline 390 penetrating cells via passive diffusion, which alters bacterial growth by inhibiting protein synthesis or destroying the membrane. Phospho-lipids or proteins are 391 392 therefore more significantly affected as the primary receptors of passively accumulated tetracycline<sup>63</sup>. Although mechanisms of AgNP interacting with 393 cytoplasmic membranes and penetrating into cells remain unclear<sup>58, 65</sup>, our data 394 395 suggest that AgNP-induced alterations might be derived from some specific active 396 sites interacting with AgNP, e.g., sulfur-containing proteins following a similar mechanism as thiol groups of respiratory chain proteins and transport proteins<sup>66-68</sup>. 397

398 To further assess the impact of exposure, the inter-category multivariate distances 399 of each category were also evaluated. PCA-LDA scores plots that compare the 400 spectrochemical alterations of the two bacterial strains within the same growth phase 401 are shown in Figure 4. The results illustrate distinct clustering of tetracycline exposure away from the control category, but not for AgNP categories, whereas the 402 403 binary group is located between AgNP and tetracycline categories. The spectral 404 differences of *M. vanbaalenii* between categories of control and binary exposure are slightly reduced within 0.1 as compared to the tetracycline exposure category, 405 406 indicating that AgNP may confer the exposure effect of tetracycline. Similar alterations are observed for P. fluorescens. Additionally, for both M. vanbaalenii and 407 408 P. fluorescens, the AgNP and tetracycline categories in the stationary- or death-phase

409 shift in an opposite direction from the control, and the binary exposure category 410 locates closer to the tetracycline exposure group than control or AgNP exposure. This 411 finding is supported by a range of identical alterations identified in both AgNP and 412 binary exposure groups from stationary-phase to death-phase (*i.e.*, lipids, Amide I, II, 413 III,  $v_{as}PO_2^{-7}/v_sPO_2^{-5}$ ).

414 Additionally, the analysis of reactive oxygen species (ROS) was also conducted 415 to evaluate the exposure impacts by calculating the CySS-to-protein ratio, derived from Raman spectra (Figure 7), which points to cellular ROS levels<sup>34</sup>. Interestingly, 416 comparing to control category, only tetracycline-binary exposure appears to increase 417 418 ROS level (P < 0.05), whereas the induction of AgNP and tetracycline exposure is not 419 significant. Previous studies report the independent mechanisms of antimicrobials on the involvement of ROS<sup>69, 70</sup>. The results herein hint at no ROS generation from 420 individual AgNP or tetracycline exposure, and the spectrochemical alterations more 421 422 likely result from the direct inhibition of cell-wall assembly, protein synthesis and 423 DNA replication. In binary exposure, AgNP penetration across the cell membrane 424 might increase permeability and result in more tetracycline entering the bacterial cell, consequently triggering a ROS response<sup>44, 71-74</sup>. 425

#### 426 **Conclusions**

427 The present study demonstrates that spectrochemical techniques coupled with multivariate analysis are a robust tool for investigating the bacterial response to 428 environmental exposures, revealing biochemical information longnitudinally at 429 430 low-level exposures. This approach can be applied to characterize and assess bacterial 431 alterations effectively post-exposure to a tetracycline and/or AgNP through growth phases and is potentially feasible for *in situ* interrogation of antimicrobial effects in 432 433 real-time. Deeper insights into biospectral alterations in non-log phases pertaining 434 nutrient depletion conditions, which fits better with the real-world scenario of microcosms, uncovers the distinct changes of biochemical fingerprints across growth 435 436 periods, hinting at an underestimation of antimicrobial effects in previous studies. 437 Bacterial type is ranked as the primary factor affecting microbial response to 438 environmental stimuli by MRT analysis, followed by growth phase and types of 439 antimicrobials. These findings will help our better understanding of the real 440 interactions between microbes and low-level antimicrobials under natural environmental conditions, e.g., nutrient depletion. 441

442	
443	Conflicts of interest
444	There are no conflicts of interest to declare.
445	
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448	

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#### 603 **Figure Cations**

Figure 1. Growth curves of *M. vanbaalenii* (A) and *P. fluorescens* (B) under AgNP,
tetracycline and AgNP-tetracycline binary exposures. The exposure concentrations
were 4 μg/L for AgNP and 1 μg/L for tetracycline. IR spectral average of *M. vanbaalenii* (C) and *P. fluorescens* (D) in different exposure treatments. The groups
of "Log control", "Log silver", "Log tet" and "Log binary" refer to samples collected
at log-phase following treatments of control, silver, tetracycline and binary-exposure,
respectively.

**Figure 2.** Exposure effects within different growth phases (scale range of Y axis: -0.2  $\sim 0.2$ ). The Y axis refers to the values of LD1. *M. vanbaalenii*: control (A), post-exposure to AgNP (B), post-exposure to tetracycline (C), and post-exposure to AgNP-tetracycline mixture (D). *P. fluorescens*: control (E), post-exposure to AgNP (F), post-exposure to tetracycline (G), and post-exposure to AgNP-tetracycline mixture (H).

Figure 3. Vector-cluster analysis of exposure effects within different growth phases. *M. vanbaalenii*: control (A), post-exposure to AgNP (B), post-exposure to tetracycline
(C), and post-exposure to AgNP-tetracycline mixture (D). *P. fluorescens*: control (E),
post-exposure to AgNP (F), post-exposure to tetracycline (G), and post-exposure to
AgNP-tetracycline mixture (H).

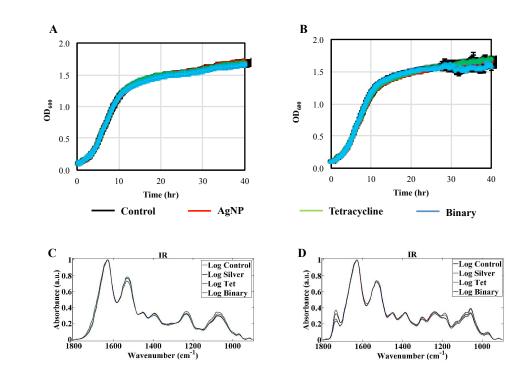
Figure 4. Exposure effects within the same growth phase. The Y axis refers to the
values of LD1 in range of -0.2 to 0.2. *M. vanbaalenii*: log-phase (A), stationary-phase
(B), and death-phase (C). *P. fluorescens*: log-phase (D), stationary-phase (E), and
death-phase (F).

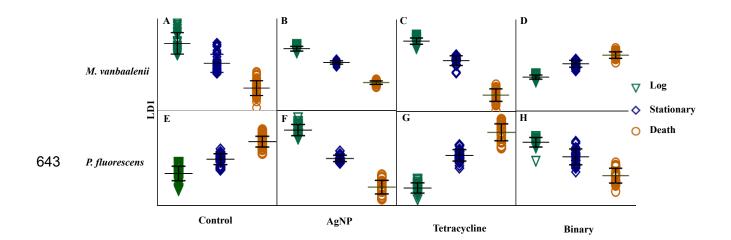
Figure 5. Multivariate regression tree (MRT) analysis of environmental variables explaining the discriminating biomarkers. The scale of the sub-figures represents the alteration degree (1.0 refers to the average level). Blue bars for wavelengths representing proteins, yellow bars for phospholipid-derived fatty acids, and grey bars for other cellular components.

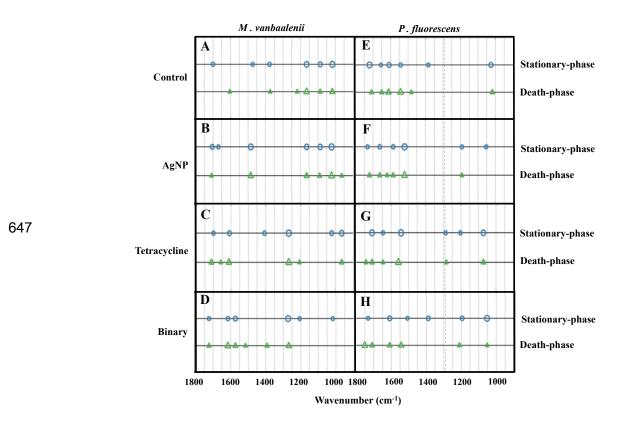
Figure 6. Vector-cluster analysis of exposure effects within the same growth phase. *M. vanbaalenii*: log-phase (A), stationary-phase (B), and death-phase (C). *P. fluorescens*: log-phase (D), stationary-phase (E), and death-phase (F).

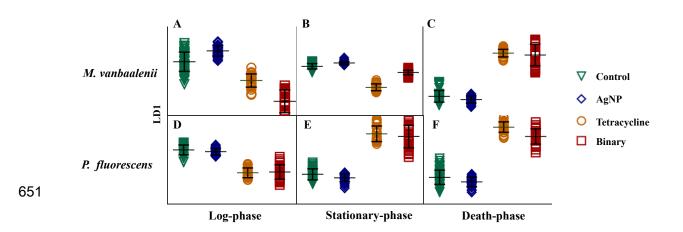
- **Figure 7.** Ratio of cysteine (CySS, 668 cm<sup>-1</sup>) to protein (1447 cm<sup>-1</sup>) derived from
- 635 Raman spectra. Data are presented in mean  $\pm$  standard error.

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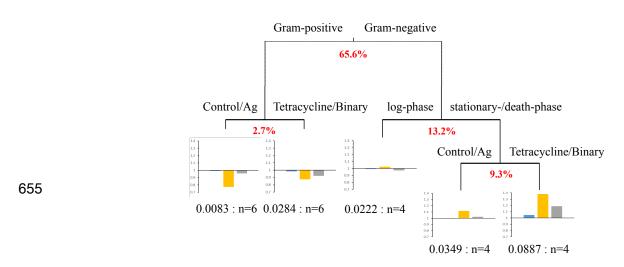






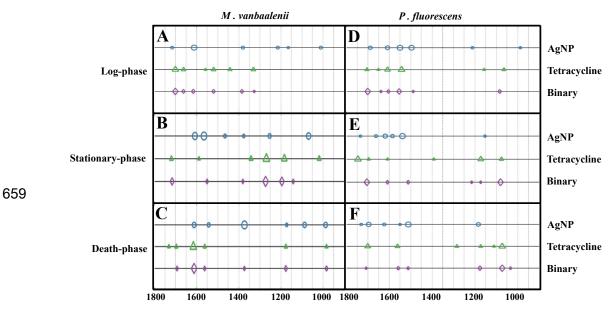


#### 654



Error: 0.0924 CV Error: 0.295 SE: 0.118

658



Wavenumber (cm<sup>-1</sup>)

